

Chapter 5: Information from Paleoclimate Archives

Coordinating Lead Authors: Valérie Masson-Delmotte (France), Michael Schulz (Germany)

Lead Authors: Ayako Abe-Ouchi (Japan), Juerg Beer (Switzerland), Andrey Ganopolski (Germany), Jesus Fidel González Rouco (Spain), Eystein Jansen (Norway), Kurt Lambeck (Australia), Juerg Luterbacher (Germany), Tim Naish (New Zealand), Timothy Osborn (UK), Bette Otto-Bliesner (USA), Terrence Quinn (USA), Rengaswamy Ramesh (India), Maisa Rojas (Chile), XueMei Shao (China), Axel Timmermann (USA)

Contributing Authors: Kevin Anchukaitis (USA), Patrick J. Bartlein (USA), Gerardo Benito (Spain), Peter Clark (USA), Thomas Crowley (USA), Patrick De Deckker (Australia), Barbara Delmonte (Italy), Pedro DiNezio (USA), Trond Dokken (Norway), Harry J. Dowsett, R. Lawrence Edwards (USA), Hubertus Fischer (Switzerland), Dominik Fleitmann (UK), Claus Fröhlich (Switzerland), Aline Govin (Germany), Alan Haywood (UK), Chris Hollis (New Zealand), Ben Horton (USA), Robert Kopp (USA), Amaelle Landais (France), Camille Li (Norway), Dan Lunt (UK), Natalie Mahowald (USA), Shayne McGregor (USA), Jerry X. Mitrovica (USA), Anders Moberg (Sweden), Daniel R. Muhs (USA), Stefan Mulitza (Germany), Frédéric Parrenin (France), Paul Pearson (UK), Alan Robock (USA), Eelco Rohling (UK), Ulrich Salzmann (UK), Joel Savarino (France), Jason Smerdon (USA), Olga Solomina (Russia), Pavel Tarasov (Germany), Claire Waelbroeck (France), Dieter Wolf-Gladrow (Germany), Yusuke Yokoyama (Japan), Masakazu Yoshimori (Japan), James Zachos (USA), Dan Zwartz (New Zealand)

Review Editors: Anil K. Gupta (India), Fatemeh Rahimzadeh (Iran), Dominique Raynaud (France), Heinz Wanner (Switzerland)

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1 **Executive Summary**

3 ***Greenhouse-Gas Variations and Past Climate Responses***

5 **Present-day concentrations of atmospheric greenhouse gases (CO₂, CH₄, and N₂O) exceed the range of**
6 **variability recorded in ice core records during past 800,000 years.** Past changes in atmospheric
7 greenhouse-gas concentrations can be determined with *high confidence* from polar ice cores. New data
8 extend the AR4 statement that present-day concentrations *likely* exceed the natural range of variability back
9 to 800,000 years ago. [5.2.2]

11 **Reconstructions from high-CO₂ climates provide further evidence for coupling between atmospheric**
12 **CO₂ concentration and global mean temperature.** During the middle Pliocene (3.3–3.0 Ma, million years
13 ago), atmospheric CO₂ concentrations between 330 ppm and 420 ppm (*medium confidence*) were associated
14 with global mean surface temperatures approximately 2–3.5°C (*low to medium confidence*) warmer than for
15 pre-industrial climate [5.3.1]. During the Early Eocene (52–48 Ma), atmospheric CO₂ concentration likely
16 reached ~1000 ppm and was associated with global mean surface temperatures 8–14°C (low confidence)
17 warmer than for pre-industrial conditions. [5.3.1]

19 **Proxy information has strengthened the notion of amplified temperature response in the Arctic.** For
20 high CO₂ climates such as the middle Pliocene and low CO₂ climates such as the Last Glacial Maximum,
21 temperature reconstructions show polar amplification for the Arctic (*high confidence*). Available simulations
22 from coupled climate models underestimate the strength of this amplification with respect to proxy-based
23 reconstructions, although the reason for this underestimation is unclear. [Box 5.2]

25 **Changes in atmospheric CO₂ concentration play an important role in glacial-interglacial cycles (*high***
26 ***confidence*).** While orbital forcing is the primary driver of glacial cycles, climate-ice sheet models of
27 different complexities as well as reconstructions show that the internal feedback of CO₂ accounts for a
28 significant fraction of glacial-interglacial climate variability. Since AR4, leads and lags between temperature
29 and CO₂ have been revised. New Antarctic data and dating methods depict simultaneous increases in
30 Antarctic temperature and CO₂ during the last glacial termination, preceding Northern Hemisphere warming
31 due to the bipolar seesaw (*high confidence*). [5.3.2]

33 **New estimates of Charney climate sensitivity using climate reconstructions and simulations for the**
34 **Last Glacial Maximum (LGM) indicate that values below 1.4°C or above 6°C are very unlikely.** This
35 range is also supported by evidence for the last 65 million years. However, asymmetries associated for
36 example with cloud feedbacks, suggest that sensitivity estimates for colder climate conditions are not
37 directly applicable to warmer climate conditions (*low confidence*). [5.3.3]

39 ***Climate Change and Sea Level Variations***

41 **There is *high confidence* that global mean sea level was above modern levels during some of the warm**
42 **intervals of the mid-Pliocene, implying reduced volume of polar ice sheets.** The best estimates from
43 various methods imply that sea level was ~10 m (±10 m) above present during the warmest periods of the
44 Pliocene (*medium confidence*). We have low confidence in estimates suggesting that sea level was more than
45 20 m above present. Direct geological evidence, supported by ice sheet simulations, suggests that most of the
46 variation in ice volume occurred in the Greenland and West Antarctic ice sheets while the East Antarctic ice
47 sheet was only slightly reduced compared to today. [5.6.1]

49 **The Greenland and West Antarctic ice sheets have retreated during periods of the past few million**
50 **years that were warmer than today.** It is *likely* that the Greenland ice sheet was strongly reduced and the
51 West Antarctic ice sheet was absent during warm periods of the middle Pliocene when CO₂ concentrations
52 were in the range 330–420 ppm [5.3; 5.6.1]. Ice sheet model simulation suggests that West Antarctic ice
53 sheet is very sensitive to subsurface southern ocean warming and imply with *low confidence* that a large part
54 of the West Antarctic ice sheet may eventually retreat if the atmospheric CO₂ concentration stays above
55 approximately 400 ppm for several millennia. [5.8]

1 **There is *high confidence* that during the last interglacial period, global mean sea level was between 6**
2 **and 10 m higher than present.** Global-mean annual temperature change for this period can only be
3 estimated with *medium confidence*. Data syntheses suggest maximum global warmth of 1–2°C above pre-
4 industrial temperatures, with larger Arctic and Antarctic warming. Climate models simulate no significant
5 global-average temperature change, but produce Arctic warming in response to orbital forcing. There is
6 *medium confidence* that globally the oceans were not significantly warmer than present, precluding a
7 significant contribution from thermal expansion. New Greenland ice core data can only be reconciled with
8 ice-sheet simulations that show limited Greenland ice-sheet retreat (< 2 m sea level equivalent; *medium*
9 *confidence*), implying a larger contribution from the West and/or East Antarctic ice sheets than previously
10 estimated. [5.3.4; 5.6.2]

11
12 **The rate of current global mean sea level change is unusually high in the context of the past**
13 **millennium [*medium confidence*].** For the last few thousand years, oscillations in sea level on centennial to
14 millennial timescales are unlikely [*medium confidence*] to have exceeded 25 cm. [5.6.3]

15 *Hemispheric and Regional Climate Variations*

16
17
18 **20th century warming has reversed long-term cooling trends in mid-to-high latitudes of the Northern**
19 **Hemisphere.** Continental-scale temperature reconstructions reveal long-term trends throughout the last 2000
20 years, which are attributed to orbital forcing (*high confidence*). [5.5.1]

21
22 **Summer sea ice cover between 8 ka and 6.5 ka was reduced compared to late 20th Century levels both**
23 **in the Arctic Ocean and along East Greenland.** There is *medium confidence* that the modern ice loss and
24 increase of sea surface temperatures in the Arctic are anomalous in the perspective of at least the last two
25 millennia. [5.5.2]

26
27 **The current near-global recession of glacier length is unusual in the context of the last 2 millennia**
28 **[*medium confidence*] and consistent with reconstructed surface-temperature anomalies.** Retreating
29 glaciers are still larger now than in the early-to-mid Holocene in most regions (*high confidence*). [5.5.3]

30
31 **For average annual Northern Hemisphere temperatures, there is *medium confidence* that 1981–2010**
32 **CE was the warmest 30-year period of the last 1300 years and it is *very likely* that it was the warmest**
33 **of the last 800 years.** This is supported by multiple reconstructions using a variety of proxy data and
34 statistical methods. Before 1200 CE there is only *medium evidence* and *medium agreement* because there are
35 fewer independent reconstructions, they rely on fewer proxy records, and the published uncertainty ranges
36 may underestimate the true uncertainty. Simulations forced by natural and anthropogenic radiative changes
37 during the last millennium are consistent with reconstructions of Northern Hemisphere temperature, within
38 the broad uncertainty ranges (*high confidence*). [5.3.5]

39
40 **In contrast to the late 20th century there is *high confidence* that the Medieval Climate Anomaly was**
41 **not characterized by a pattern of higher temperatures that were consistent across seasons and regions.**
42 Internal variability as well as solar and volcanic forcing may have significantly influenced the patterns of
43 temperature difference between the Medieval Climate Anomaly and the Little Ice Age. Uncertainties in
44 forcings and reconstructed temperatures limit the value of comparisons between model results and
45 reconstructions. [5.3.5.3, 5.5.1]

46
47 **In most regions, proxy information from the last millennium provides evidence of megadroughts of**
48 **greater magnitude and longer duration than observed during the 20th century.** There is *high confidence*
49 that during the LIA more megadroughts occurred in Asia and wetter conditions prevailed in the South
50 American monsoon region compared to the Medieval Climate Anomaly and 20th century [5.5.4 and 5.5.5].

51
52 **Paleoflood discharges larger than recorded during the 20th century are reconstructed for the past**
53 **centuries in northern and central Europe, western Mediterranean region, and eastern Asia (*high***
54 ***confidence*).** In some regions (e.g., Near East, India, central North America) modern large floods are
55 comparable or surpass in magnitude and/or frequency historical floods. [5.5.5].

1 **Confidence in the link between changes in North-Atlantic climate and low-latitude hydroclimate has**
2 **increased since AR4.** Based on evidence from reconstructions supported by modelling experiments, it is
3 *very likely* that North Atlantic cooling during glacial stadials in combination with a weakening of the
4 Atlantic meridional overturning circulation caused southward shifts of the Atlantic intertropical convergence
5 zone and affected global monsoon systems, causing droughts in some regions and wet phases in others. [5.7]

6 *Past Changes in Climate Modes*

7
8
9 **A new reconstruction from the equatorial Pacific and modelling experiments show high levels of**
10 **variability in El Niño-Southern Oscillation (ENSO) behaviour throughout the entire Holocene.** This
11 weakens the evidence assessed in AR4 for reduced ENSO variance during the early-to-mid Holocene. [5.4]

12
13 **Changes in total solar irradiance have no discernible effect on ENSO variance while volcanic aerosol**
14 **forcing has a weak effect on ENSO statistics.** Multidecadal changes in ENSO variance over the last 500
15 years are unrelated (*high confidence*) to solar variability at timescales from decades to centuries. ENSO
16 reconstructions suggest with *medium confidence* an increased likelihood in the occurrence of El Niño events
17 in the two years following a major volcanic eruption. [5.4]

18
19 **Late 20th century North Atlantic Oscillation (NAO) behavior is not unusual in the context of the last**
20 **500 years.** Decadal periods of persistent positive NAO, similar to those observed from the late 1960s to the
21 mid-1990s are not unprecedented (*high confidence*) in the context of reconstructed NAO state during at least
22 the past 500 years. [5.4]

5.1 Introduction

This chapter assesses the information on past climate obtained prior to the instrumental period. The information is based on documentary and proxy data from various paleoclimatic archives as well as modeling past climate changes. The information updates Chapter 6 of AR4 of the IPCC Working Group I.

Paleoclimate data provide quantitative information on the Earth-system response to various natural climate forcings (solar, volcanic and orbital), which acted in the past and will affect future climate evolution. Paleoclimate information allows the study of Earth-system feedbacks (Box 5.1), which operate on timescales longer than a few centuries and cannot be evaluated from short instrumental records. Past climate changes also document transitions between different climate states, including abrupt events which occurred on timescales of decades to a few centuries.

Major progress since AR4 includes the acquisition of new and more precise information from paleoclimate archives, the synthesis of regional information, as well as transient and more comprehensive modelling of past climate responses to forcings. This chapter assesses the understanding of past climate variations, using paleoclimate reconstructions as well as climate models of varying complexity, while the model evaluation based on paleoclimate information is covered in Chapter 9. Additional paleoclimate perspectives are included in Chapters 6, 10, 13 and 14.

The chapter is organized by going from changes in atmospheric composition and external climate forcings, including solar and volcanic activity (Section 5.2, FAQ 5.1), to global and hemispheric responses (Section 5.3). Several open debates are covered, including the evolution of surface temperatures during the past 2000 years (Section 5.3.5), the temporal relationship between atmospheric CO₂ content and temperature during glacial-to-interglacial transitions (so-called terminations; [Section 5.3.2]) and paleoclimate constraints on climate sensitivity (Section 5.3.3).

After evaluating the evidence for past changes in climate modes of variability (Section 5.4), a specific focus is given to regional changes in temperature, cryosphere and hydroclimate during the current interglacial period (Section 5.5). Sections on sea level change (Section 5.6, FAQ 5.2), abrupt climate changes (Section 5.7) and illustrations of irreversibility and recovery time scales (Section 5.8), conclude the chapter. The relationships between ice sheets, sea level, atmospheric CO₂ concentration and climate are addressed in several sections (Sections 5.3.1, 5.5, and 5.8.1), while polar amplification is addressed in Box 5.2.

The content of this chapter is limited to topics for which significant new information has emerged since AR4. This includes revised proxy-based estimates of the atmospheric CO₂ content during the past ~65 million years (Section 5.2.2), and magnitudes of sea level variations during interglacial periods (Section 5.6.2). Information from glacial climates has only been reported when the underlying processes are of direct relevance for an assessment of the projected climate change. The impact of past climate changes on biological systems and past civilizations are not covered as these topics are beyond the scope of Working Group I.

Additional information to this chapter is available in Appendix 5.A. Processed data underlying the figures will be finally archived in the PANGAEA database (www.pangaea.de) while model output from the Paleoclimate Modelling Intercomparison Project (PMIP) is available from: pmip3.lsce.ipsl.fr/wiki/doku.php/pmip3:database:access.

In all sections, information is structured by going from past to present, and radiocarbon-based ages are quoted as the published calibrated ages.

5.2 Radiative Forcings and Radiative Perturbations from Earth System Feedbacks

5.2.1 External Forcings

5.2.1.1 Orbital Forcing

1 Orbital forcing is well-known from precise astronomical calculations for the past and future. Changes in
2 Earth's astronomical parameters – eccentricity, longitude of perihelion (precession), and axial tilt (obliquity)
3 (Berger and Loutre, 1991; Laskar et al., 2004) predominantly affect the seasonal and latitudinal distribution
4 and magnitude of solar energy received at the top of the atmosphere (Jansen et al., 2007) and the durations
5 and intensities of local seasons (Huybers, 2006; Timm et al., 2008). Obliquity also modulates the annual
6 insolation, with opposite effects at high and low latitudes. The term "orbital forcing" is used for the
7 astronomical forcing originating from changes in Earth's orbital parameters as well as changes in its axial
8 tilt. Orbital forcing is considered the pacemaker of transitions between glacials and interglacials and vice
9 versa (high confidence), although there is no consensus on exactly how it influences changes in ice-sheet
10 mass (Section 5.3.2). The different periodicities of the orbital parameters make each glacial and interglacial
11 period unique in its orbital configuration (e.g., Yin and Berger, 2010). Orbitally-induced insolation variations
12 over the last millennium (Schmidt et al., 2011) have also been invoked for explaining millennial trends
13 (Wanner et al., 2008).

14 5.2.1.2 Solar Forcing

15
16
17 A new lower absolute value for Total Solar Irradiance (TSI) of $1360.8 \pm 0.5 \text{ W m}^{-2}$ (Kopp and Lean, 2011)
18 was determined during the 2008 solar minimum, which is less than the previous estimate of 1365.5 W m^{-2}
19 used in some model runs and in Figure 5.1 (see also Chapter 8, Section 8.3.1.1.1). The effect of this
20 difference implies 1.2 W m^{-2} lower solar energy input for the global radiative energy budget (e.g., Loeb et
21 al., 2009). However, the lower TSI value does not affect the TSI long-term variations and thus this result is
22 not expected to be very significant for simulated climate changes. Since AR4, solar irradiance models (e.g.,
23 Wenzler et al., 2005) have been improved to explain the instrumental records of total and spectral
24 (wavelength dependent) solar irradiance (SSI). Typical changes measured over an 11-year solar cycle are
25 0.1% for TSI and several percent for the ultra-violet (UV) part of SSI (see Section 8.3). Changes in TSI
26 directly impact the Earth's surface, whereas changes in SSI primarily affect the stratosphere, but can
27 influence the tropospheric circulation through dynamical coupling (Gray et al., 2010). Most models attribute
28 all TSI and SSI changes exclusively to magnetic phenomena on the solar surface (sunspots, faculae,
29 magnetic network), neglecting any potential internal phenomena such as changes in energy transport. These
30 models can successfully reproduce the measured TSI changes between 1978 and 2003 (Balmaceda et al.,
31 2007; Crouch et al., 2008), but not necessarily the last minimum of 2008 (Krivova et al., 2011). The basic
32 concept of these models is to divide the solar surface into different magnetic features each with a specific
33 radiative flux. Sunspots are dark features that reduce irradiance; faculae and the magnetic network are bright
34 features enhancing irradiance. The contrasting dark and bright solar features provide a higher TSI value
35 during solar cycle maximum and at most wavelengths, but some wavelengths may be out of phase with the
36 solar cycle (Cahalan et al., 2010; Haigh et al., 2010; Harder et al., 2009). TSI and SSI are calculated by
37 adding the radiative fluxes of all features plus the contribution from the magnetically inactive surface. This
38 approach requires detailed information of all the magnetic features and their temporal changes (Krivova and
39 Solanki, 2008; Wenzler et al., 2006) (see also Section 8.3).

40
41 The extension of TSI and SSI into pre-satellite times poses two main challenges. First, the satellite period
42 (since 1978 CE) used to calibrate the models does not show any significant multi-decadal trend. Second,
43 detailed information about the various magnetic features is no longer available and must be deduced from
44 proxies such as sunspots for the last 400 years and cosmogenic radionuclides (^{10}Be and ^{14}C) for the past
45 millennia. Since all reconstructions rely ultimately on the same data (sunspots and cosmogenic
46 radionuclides), but differ in the details of the applied models, the reconstructions agree rather well in their
47 shape, but differ in their amplitude (Figure 5.1b) (Krivova et al., 2011; Lean et al., 2011; Schrijver et al.,
48 2011; Wang et al., 2005) (see Section 8.3.1). ^{10}Be and ^{14}C records reflect not only solar activity, but also the
49 geomagnetic field intensity and effects of their respective geochemical cycles (Pedro et al., 2011; Steinhilber
50 et al., 2012). Correcting for these non-solar components increases the uncertainty of the reconstructions
51 (grey band in Figure 5.1c).

52
53 TSI reconstructions are characterized by distinct grand solar minima lasting 50–100 years (e.g. the Maunder
54 Minimum, 1645–1715 CE) that are superimposed upon long-term changes. Spectral analysis of TSI reveals
55 periodicities of 87, 104, 150, 208, 350, 510, ~980, and ~2200 years (Stuiver and Braziunas, 1993) but
56 varying amplitudes (Steinhilber et al., 2009; Vieira et al., 2011). Most recent reconstructions show a
57 considerably smaller difference (<0.1%) in TSI between the averaged instrumental period and the Maunder

1 minimum (1645–1715 CE) when the Sun was very quiet, compared to the often used reconstruction of Lean
2 et al., (1995b) (0.24%). The effect of solar forcing on climate during the last millennium is assessed in
3 (Section 5.3.5).

4
5 Note that the current state of understanding the effects of galactic cosmic rays on clouds is discussed in
6 Section 8.3.1.5 and not in this chapter.

7 8 **[INSERT FIGURE 5.1 HERE]**

9 **Figure 5.1:** a) Two reconstructions of volcanic forcing for the past 1000 years derived from ice core sulfate and used
10 for PMIP3-CMIP5 (Coupled Model Intercomparison Project) simulations (Schmidt et al., 2011). GRA: (Gao et al.,
11 2008); CEA: (Crowley and Unterman, submitted; Crowley and Hyde, 2008; Timmreck et al., 2009). Volcanic sulfate
12 peaks identified from their isotopic composition as originating from the stratosphere (Cole-Dai et al., 2009) are
13 indicated by squares (green: Greenland; brown: Antarctica) (Baroni et al., 2008). b) TSI reconstructions back to 1000
14 CE. Proxies of solar activity (e.g., sunspots, ^{10}Be) are used to estimate the parameters of the models or directly TSI. All
15 records except LBB (Lean et al., 1995b) have been used for PMIP3-CMIP5 simulations (Schmidt et al., 2011). DB:
16 (Delaygue and Bard, 2011); MEA: (Muscheler et al., 2007); SBF: (Steinhilber et al., 2009); WLS: (Wang et al., 2005);
17 VSK: (Vieira et al., 2011). Before 1600 CE, the 11-year cycle has been added artificially to the original data. c) TSI
18 reconstruction (100-year low-pass filtered; grey shading: 1 standard deviation uncertainty range) for the past 9300 years
19 (Steinhilber et al., 2009). The reconstruction is based on ^{10}Be and calibrated using the relationship between instrumental
20 data of the open magnetic field, which modulates the production of ^{10}Be and TSI for the past 4 solar minima. d)
21 Wavelet analysis (Torrence and Compo, 1998) of TSI showing the existence of several preferred periodicities (87, 104,
22 150, 208, 350, 510, ~980, ~2200 years) with varying amplitudes.

23 24 *5.2.1.3 Volcanic Forcing*

25
26 Volcanic activity affects global climate through the radiative impacts of atmospheric sulphate aerosols
27 injected in the stratosphere by volcanic eruptions (see Chapters 2, 7, 8, 10 and 11). Quantifying pre-satellite
28 volcanic forcing is important for historical and last millennium climate simulations, climate-sensitivity
29 estimates and detection and attribution studies. Reconstructions of past volcanic forcing are based on
30 chemical records from multiple ice cores from Greenland and Antarctica, combined with atmospheric
31 modelling of aerosol distribution and optical depth.

32
33 Since AR4, two new reconstructions of spatial distribution of volcanic aerosol optical depth have been
34 produced from polar ice cores, spanning the past 1500 years (Gao et al., 2008; Gao et al., 2006) and 1200
35 years (Crowley and Unterman, submitted; Crowley and Hyde, 2008) (Figure 5.1a). The timing and relative
36 magnitude of large stratospheric volcanic aerosol injections is generally consistent amongst these and earlier
37 studies (Jansen et al., 2007) for the last 700 years; the 1783 CE Laki (Iceland) eruption being a notable
38 exception. The recurrence time of past large volcanic aerosol injections (eruptions with radiative forcing >1
39 W m^{-2}) is 35 ± 28 (1 standard deviation; Gao et al., 2008) and 39 ± 33 years (Crowley and Unterman,
40 submitted), with only 2–3 periods of 100 years without such eruptions since 850 CE.

41
42 Hegerl et al (2006) estimated the uncertainty of the radiative forcing for a given volcanic event to be ~50%.
43 Differences between reconstructions (Figure 5.1a) arise from the selection of different data; their scaling to
44 observations of volcanic aerosol radiative forcing; the identification of the type of injection (tropical vs. high
45 latitude, tropospheric vs. stratospheric); the methods to estimate spatial distributions of optical depths,
46 including atmospheric lifetime (Kravitz and Robock, 2011); the parameterization of scavenging processes
47 for large events (e.g., Kuwae, 1453 CE) (Timmreck et al., 2009). Key limitations are associated with ice core
48 age scales, proxy types (e.g., sulphate flux or electrical conductivity), identification of volcanic events and
49 spatio-temporal variability in deposition patterns (Moore et al., 2012).

50
51 A new independent methodology has recently been developed to distinguish between tropospheric and
52 stratospheric volcanic aerosol deposits, based on the specific sulphur isotopic composition caused by mass-
53 independent fractionation during photochemical reactions above the ozone layer (Baroni et al., 2007). The
54 stratospheric character of several large eruptions has started to be assessed from Greenland and/or Antarctic
55 ice core sulphur isotope data (Baroni et al., 2008; Cole-Dai et al., 2009) with a debate on data interpretation
56 for the Laki event (Lanciki et al., 2012; Schmidt et al., submitted) (Figure 5.1a).

1 The use of different volcanic forcing reconstructions in pre-Coupled Model Intercomparison Project (CMIP)
2 5 (see AR4 Chapter 6) and PMIP3/CMIP5 last millennium simulations (Schmidt et al., 2011), together with
3 the methods used to implement these volcanic indices with different representations of aerosols in climate
4 models is a source of uncertainty in model inter-comparisons. The impact of volcanic forcing on last
5 millennium climate is assessed in Sections 5.3, 5.4 and 5.5 and in Chapter 10, Section 10.7.

6 7 **5.2.2 Radiative Perturbations from Greenhouse Gases and Dust**

8 9 **5.2.2.1 Atmospheric Concentrations of CO₂, CH₄ and N₂O from Ice Cores**

10
11 Air enclosed in polar ice provides a direct, albeit low-pass filtered (Joos and Spahni, 2008; Köhler et al.,
12 2011), record of past atmospheric GHG (greenhouse gas) concentrations complementing instrumental data
13 (see Chapter 2). Since AR4, new and higher resolved records of CO₂, CH₄ and N₂O variations were obtained
14 (Ahn and Brook, 2008; Bereiter et al., 2012; Loulergue et al., 2008; Lüthi et al., 2008; MacFarling Meure et
15 al., 2006; Mischler et al., 2009; Schilt et al., 2010). Centennial variations of up to 10 ppm CO₂, 40 ppb CH₄
16 and 10 ppb N₂O occur throughout the late Holocene (see Chapter 6, Section 6.2.3 and Figure 6.6). Existing
17 long-term records have been extended from 650 ka to 800 ka (Figures 5.2, 5.3) (Loulergue et al., 2008; Lüthi
18 et al., 2008; Schilt et al., 2010), showing lower than pre-industrial (280 ppm) CO₂ concentration during pre-
19 420 ka interglacial periods. The long CO₂ record reveals subtle long-term (>200 thousand year [kyr]) trends
20 in addition to glacial interglacial variations (Lüthi et al., 2008).

21
22 The GHG concentrations stay within well-defined natural limits with maximum interglacial concentrations
23 of about 300 ppm, 800 ppb, and 300 ppb for CO₂, CH₄ and N₂O, respectively, and minimum glacial
24 concentrations of about 172 ppm, 350 ppb, and 200 ppb. The occurrence of a peak similar in size and
25 duration to the anthropogenic rise can be excluded to have occurred during the last 22,000 yr for CO₂ and
26 CH₄ and during the last 16,000 yr for N₂O with very high confidence, even after taking the low-pass filter of
27 the ice core gas archive into account (Joos and Spahni, 2008). The same holds true for rates of change.
28 Observed rates were not encountered during these time intervals and, with medium confidence, also not
29 during the last 800 kyr.

30
31 By combining different ice cores, stacked CO₂, CH₄, and N₂O records at centennial to millennial resolution
32 were compiled for the last glacial cycle (Schilt et al., 2010). Significant centennial variations in CH₄ and
33 N₂O during the last glacial are linked to Northern Hemisphere (NH) rapid climate changes, while millennial
34 CO₂ changes are connected to their Southern Hemisphere (SH) bipolar seesaw counterpart (Ahn and Brook,
35 2008; Bereiter et al., 2012; Capron et al., 2010b; Grachev et al., 2009; Loulergue et al., 2008; Lüthi et al.,
36 2008; Schilt et al., 2010).

37
38 New records of $\delta^{13}\text{C}$ of atmospheric CO₂ provide important constraints on the sources and processes
39 involved in past GHG changes, which are assessed in Chapter 6 (Sections 6.2.2 and 6.2.3). Significant
40 $\delta^{13}\text{CO}_2$ drops during the onset of the last two terminations are suggested to reflect upwelling of old, carbon-
41 enriched deep water contributing to the concurrent CO₂ increase (Lourantou et al., 2010a; Lourantou et al.,
42 2010b; Schmitt et al., 2012). The small late Holocene $\delta^{13}\text{CO}_2$ decrease suggests that the multi-millennial CO₂
43 increase of 20 ppm can be explained by carbonate compensation and coral reef formation (Elsig et al., 2009).
44 The open debate on the relative importance of ocean processes, land use changes, peat uptake (Yu et al.,
45 2010) as drivers of Holocene CO₂ is also addressed in Chapter 6 (Section 6.2.3).

46
47 For CH₄, the inter-hemispheric gradient as well as carbon and hydrogen isotopes support the role of changes
48 in boreal and tropical wetlands in explaining most of the observed long-term and centennial pre-industrial
49 changes (Bock et al., 2010; Fischer et al., 2008; Petrenko et al., 2009; Sowers, 2006; Sowers, 2010). These
50 CH₄ stable isotope data rule out, with high confidence, marine clathrate destabilization as a major driver of
51 glacial-interglacial CH₄ variations. The relative importance of anthropogenic (agricultural) versus natural
52 (wetland, biomass burning) causes for the CH₄ increase during the second half of the Holocene remains
53 disputed (Ruddiman et al., 2011; Singarayer et al., 2011) and is assessed in Chapter 6 (Section 6.2.3).

54
55 Finally, multiple, consistent ice core CO₂ and CH₄ isotope data show with very high confidence the
56 anthropogenic origin of ongoing changes, since two centuries (Ferretti et al., 2005; Francey et al., 1999;
57 Mischler et al., 2009), further supporting earlier IPCC assessments.

5.2.2.2 Atmospheric CO₂ Concentrations from Geological Proxy Data

Geological proxies provide indirect information on atmospheric CO₂ concentration for time intervals older than covered by ice-core records (see Section 5.2.2.1). Since AR4, the four primary proxy methods have undergone further development (Appendix 5.A, Table 5.A.1). A reassessment of biological respiration and carbonate formation has reduced CO₂ estimates from fossil soils by ~50% (Breecker et al., 2010). Bayesian statistical techniques for calibrating leaf stomatal density reconstructions produce consistently higher CO₂ estimates (Beerling et al., 2009), resulting in more convergence between estimates from the two terrestrial proxies. Recent CO₂ reconstructions using the boron isotope proxy utilise a corrected isotopic fractionation factor in foraminifera (Klochko et al., 2006), and an improved understanding of the evolution of seawater boron isotopes and alkalinity (Foster, 2008). Quantification of the phytoplankton cell-size effects on carbon isotope fractionation has also improved the consistency of the alkenone method (Henderiks and Pagani, 2007). These proxies have also been applied more widely and at higher resolution to a range geological records, resulting in an increased number of atmospheric CO₂ estimates since 65 Ma (Beerling and Royer, 2011). While there is improved consensus between the proxy CO₂ estimates, a significant degree of variation between the different techniques remains. All four techniques have been included in the assessment, as at present, there is insufficient knowledge to discriminate between different proxy estimates on the basis of confidence.

In the time interval between 65 and 45 Ma, the boron isotope proxy estimates span a range of 300 ppm to 3000 ppm, and estimates based on leaf stomatal density values are below 1000 ppm. An independent thermodynamic constraint on Early Eocene atmospheric CO₂ concentration is provided by the occurrence of the sodium carbonate mineral, nahcolite, in about 50-Myr old lake sediments in the Green River Basin, USA (Lowenstein and Demicco, 2006). Nahcolite precipitates in association with halite at the sediment-water interface only at CO₂ levels >1125 ppm, which is inconsistent with lower estimates from stomata and paleosols. While the reconstructions indicate a general decrease in CO₂ concentrations over the past ~50 Ma (Figure 5.2), the large scatter of proxy data does not allow for a firm assessment of such trend. For the “Early Eocene Climatic Optimum” (EECO; 54–48 Ma) paleo-CO₂ concentrations stayed likely above the pre-industrial level and below ~2000 ppm with a most likely value of ~1000 ppm (Figure 5.2).

New CO₂ reconstructions based on marine proxies undertaken for the Pliocene (~5.3–2.6 Ma) have produced consistent estimates mostly in the range 330 ppm to 420 ppm (Bartoli et al., 2011; Pagani et al., 2010; Seki et al., 2010). There is high confidence that CO₂ levels were above pre-industrial interglacial concentration (~280 ppm), but did not exceed ~500 ppm. Additional confidence can be placed on the boron- and alkenone-based CO₂ reconstructions for the Pliocene, because of the agreement between the two proxies, and that a boron-derived dataset for the last 2 Myr agrees within error (± 25 ppm) with the ice core record (Hönisch et al., 2009).

[INSERT FIGURE 5.2 HERE]

Figure 5.2: (Top) Radiative forcings and perturbations and orbital-scale Earth system responses 3.6 Ma to present. Reconstructed dust mass accumulation rate from the Atlantic sector of the Southern Ocean (red) (Martinez-Garcia et al., 2011). Sea level curve (purple) is the stacked benthic oxygen isotope proxy for ice volume and ocean temperature (Lisiecki and Raymo, 2005) calibrated to global average eustatic sea level (Miller et al., 2012; Naish and Wilson, 2009). Also shown are global eustatic sea level reconstructions for the last 500 kyr based on sea level calibration of the $\delta^{18}\text{O}$ curve using dated coral shorelines (grey line; Waelbroeck et al., 2002) and weighted mean estimates (2 standard deviation uncertainty) for far-field reconstructions of eustatic peaks during mid-Pliocene interglaciations (red dots; Miller et al., 2012). The dashed horizontal line represents modern sea level. Tropical sea surface temperature (black line) based on a stack of 4 alkenone-based SST reconstructions (Herbert et al., 2010). Atmospheric CO₂ measured from EPICA Dome C ice core (blue line; Lüthi et al., 2008), and estimates of CO₂ from boron $\delta^{11}\text{B}$ isotopes in foraminifera in marine sediments (blue triangles; Hönisch et al., 2009; Seki et al., 2010), and phytoplankton alkenone-derived carbon isotope proxies (red diamonds; Pagani et al., 2010; Seki et al., 2010), plotted with 2 standard deviation uncertainty. Present (2012 CE) and pre-industrial CO₂ concentrations are indicated with long-dashed and short-dashed grey lines, respectively. (Bottom) Concentration of atmospheric CO₂ for the last 65 Myr is reconstructed from marine and terrestrial proxies and compiled by Beerling and Royer (2011; additional boron CO₂ proxy data from Pearson and Palmer (2000) are also included). Individual proxy methods are colour-coded. Errors represent reported uncertainties (plotted with 2 standard deviation uncertainty; see also Table 5.1 for assessment of confidence of proxies). Most of the data points for CO₂ proxies are based on duplicate and multiple analyses. The blue line is a kernel regression through all the data points with a bandwidth of 8 Myr prior to 30 Ma, and 1 Myr to present. The blue shading is a 1 standard

1 deviation. Uncertainty band constructed using block bootstrap resampling (Mudelsee et al., submitted). Shaded grey
2 vertical band highlights global warmth during the Pliocene (~5–2.7 Ma; about +2–3°C global mean; see also Box 5.2
3 Figure 1). The red box labelled MPWP represents the Mid Pliocene Warm Period from 3.3–3 Ma)

5.2.2.3 Past Changes in Mineral Dust Aerosol (MDA) Concentrations

7 AR4 assessed the importance of the MDA radiative perturbation at the Last Glacial Maximum (LGM,
8 centered on 21 thousand years ago [ka]), with implications for estimating climate sensitivity. Since AR4,
9 new records of past MDA deposition have been obtained.

11 A MDA-flux reconstruction from the Southern Ocean imply reduced dust generation and transportation
12 during the Early Pliocene, with dust mass accumulation rates at or below Holocene levels and the first
13 significant rise around 2.7 Ma (Figure 5.2), coincident with the intensification of glaciation in the Northern
14 Hemisphere (Martinez-Garcia et al., 2011).

16 In central Antarctica, MDA fluxes are systematically higher by a factor of ~30 during glacial compared to
17 interglacial periods (Fischer et al., 2007; Lambert et al., 2008; Petit and Delmonte, 2009). This is due to
18 enhanced dust production in southern South America and Australia (De Deckker et al., 2010; Gabrielli et al.,
19 2010; Wegner et al., 2012), and to atmospheric MDA lifetime change (Petit and Delmonte, 2009). MDA flux
20 records from marine sediments from the Atlantic sector of the Southern Ocean (Martinez-Garcia et al., 2011)
21 show a glacial/interglacial decline of only a factor of 5 and may serve as first-order estimate for the changes
22 in Patagonian dust source strength (Martinez-Garcia et al., 2011). Equatorial Pacific glacial-interglacial
23 MDA fluxes co-vary with Antarctic records, but with a factor of 3–4 between interglacial and glacial periods
24 (Winckler et al., 2008), attributed to enhanced dust production from Asian and northern South American
25 sources in glacial times (Maher et al., 2010).

27 In Greenland ice cores, dust concentrations are higher by 1 to 2 orders of magnitude during cold (glacial and
28 stadial) compared to warm (interglacial and interstadial) periods. This is mainly due to changes in the dust
29 sources for Greenland (Asian desert areas), atmospheric lifetime and transportation of MDA (Fischer et al.,
30 2007). A strong coherence is observed between dust in Greenland ice cores and aeolian deposition in
31 European loess formations (Antoine et al., 2009).

33 These spatial and temporal fluctuations in atmospheric MDA concentrations make it difficult to assess the
34 global radiative forcing linked with past MDA changes. Global data synthesis is so far only available for the
35 LGM, showing 2–4 times more dust deposition than today (Derbyshire, 2003; Maher et al., 2010). Estimates
36 of global mean LGM dust radiative forcing vary from -3 W m^{-2} to $+0.1 \text{ W m}^{-2}$, due to uncertainties in
37 radiative properties; the best estimate value however remains at -1 W m^{-2} as in AR4 (Claquin et al., 2003;
38 Mahowald et al., 2011; Mahowald et al., 2006; Patadia et al., 2009; Takemura et al., 2009; Yue et al., 2010).

40 **[START BOX 5.1 HERE]**

42 **Box 5.1: Earth-System Feedbacks and their Role in Climate Change**

44 The response of the Earth system to perturbations is determined by the adjustment time (inertia) of individual
45 components of the Earth system and by feedbacks within and between these components (some of which are
46 represented in Box 5.1, Figure 1). An initial response is amplified if positive feedbacks dominate, but
47 damped if negative feedbacks are stronger. The time-evolution of the response to a temporary perturbation,
48 such as a radiative forcing anomaly, is governed by the magnitude and timescale of these processes. The
49 Earth system may then return to its initial state on a timescale controlled by its inertia and by the relative
50 strength of negative and positive feedbacks. Alternatively, the changes may be irreversible (Section 5.8) if
51 the temporary perturbation/forcing drives the Earth system to a new (quasi)-equilibrium state in which it
52 remains for a very long time, even after the removal of the initial perturbation/forcing. In this case one would
53 observe hysteresis behaviour. The presence of multiple equilibria is closely linked to the existence of
54 nonlinear feedbacks and bifurcations. A third type of response is the excitation of oscillatory behaviour,
55 which may result from a combination of positive and delayed negative feedbacks. To quantify the climate
56 response to external radiative perturbations/forcings for different climate background conditions, two
57 different concepts of equilibrium climate sensitivity have been introduced (see Chapter 1):

- The *Charney climate sensitivity* (CCS) (Hansen et al., 1985; Hansen et al., 2008; Schneider von Deimling et al., 2006a) characterizes the equilibrium climate response of the Earth system to radiative perturbations, allowing for fast feedbacks between atmosphere, ocean, sea ice and land, but assuming the stationarity of Earth system components, such as the carbon cycle, ice sheets and vegetation. This concept was adopted in TAR and AR4. Most recent AR5 updates on the probability distribution of the present-day Charney climate sensitivity can be found in Chapter 12.
- In contrast, the *Earth-system climate sensitivity* (ESCS) (Hansen et al., 2008; Lunt et al., 2010) quantifies the global mean surface temperature response to radiative perturbations, accounting for other Earth System feedbacks, involving ice sheets, vegetation and the carbon cycle which often respond on timescales of hundreds to thousands of years and beyond.

To estimate the CCS from the difference between glacial and interglacial climate states, greenhouse gas, orbital, ice-sheet albedo and other processes causing radiative perturbations (e.g., linked with vegetation or dust) have to be considered. However, following the ESCS concept, greenhouse gas variations and ice-sheet albedo changes have to be treated as feedbacks, rather than as forcings. Both feedbacks operate overall as positive feedbacks and hence increase the ESCS relative to estimates of the CCS. While CCS and ESCS can be readily calculated from radiative perturbation experiments conducted with climate and Earth-System models, the applicability of these equilibrium concepts to paleo proxy data is less straightforward. On timescales of 10^4 – 10^5 years the Earth system is continuously forced by orbitally-induced variations in the distribution of solar radiation. As a result, the slow components of the Earth system, that have similar response timescales (10^3 – 10^5 years), such as ice sheets and the global carbon cycle, may in fact never be in equilibrium with the continuously varying external forcings. The implications of this potential non-equilibrium behaviour are far reaching and concern in particular estimates of ESCS. For instance, as a result of lower pre-industrial orbital eccentricity compared to the LGM, the global mean annual orbital forcing difference between these states amounts to about -0.014 W m^{-2} . Wrongly applying the ESCS concept and falsely assuming that the LGM was in equilibrium with the orbital forcing, one would obtain a very large and negative ESCS value, which is highly unrealistic. Moreover, the existence of multiple equilibria, irreversible responses and bifurcations in the Earth system can lead to widely different estimates of the ESCS and estimates that would differ depending on whether the system moves from cold to warm or from warm to cold conditions.

To further document the complexities resulting from the interactions of fast and slow components in the Earth system and the associated new modelling challenges, we focus on the above-mentioned example of climate-ice-sheet feedbacks. Ice sheets have played an essential role in Earth's climate history. They interact with the atmosphere, the ocean, the lithosphere and the surrounding vegetation (see Box 5.1, Figure 1). Ice sheets form when snow accumulation exceeds summer melting. Expansion of an ice sheet replaces previously vegetated areas, with relatively low albedo, by higher-albedo ice, leading to major changes in surface albedo and heat balance, and changing the mass balance of the ice sheet. As ice sheets grow in height and area, surface temperatures drop as a result of the lapse rate effect, but also snow accumulation decreases because colder air holds less moisture (inset in Box 5.1, Figure 1, shows typical vertical profiles of temperature and humidity). This so-called elevation-desert effect (Oerlemans, 1980) is an important negative feedback for ice-sheets and limits their growth under cold conditions. Ice sheets also affect the atmospheric stationary wave structure and associated regional patterns of temperature and snowfall (e.g., Roe and Lindzen, 2001). The enormous weight of mature ice sheets causes a depression of the underlying bedrock, a drop in ice sheet height and hence a warming of its surface as a result of the lapse rate effect. The lithospheric adjustment has been shown to play an important role in delaying the ice sheet response to orbital forcing (van den Berg et al., 2008). Higher ice sheets can be associated with enhanced calving at their margins, because the ice flow will be accelerated directly by increased surface slopes and indirectly by lubrication at the base of the ice sheet. Calving, basal lubrication and other forms of thermo-mechanical coupling may have played important roles in accelerating glacial terminations, and hence in contributing to the temporal saw-tooth structure of glacial cycles (Figure 5.3). Other important dynamical processes are ice-sheet/ice shelf ocean instabilities, which may have caused past variations of the West Antarctic ice-sheet (Pollard and DeConto, 2009) and Laurentide ice sheet (Alvarez-Solas et al 2010). Quantifying the magnitudes of these feedbacks relative to each other and for different past climate periods requires concerted

1 modelling efforts, using a range of Earth-System models in combination with the analysis of quantitative
2 reconstructions of past climate variations and present-day process studies.

3
4 Major uncertainties remain in our understanding of Earth-System feedbacks and how they contribute to
5 climate dynamics and ESCS. Whereas the initial response to anthropogenic greenhouse warming of some
6 Earth system components such as ice-sheets can be quite fast (order 10–100 years), their long-term
7 adjustment to the ongoing warming will exceed human lifetimes. Hence the evolution of the Greenland and
8 Antarctica ice-sheets for the next centuries will not be in equilibrium with the current radiative perturbations.
9 This also implies that current climate change is very likely to affect the ice-sheets for centuries with chances
10 of threshold behaviour, as discussed for Greenland (Robinson et al., 2012) and the West-Antarctic ice-sheet
11 (Kopp et al., 2009; Kriegler et al., 2009).

12
13 **[INSERT BOX 5.1, FIGURE 1 HERE]**

14 **Box 5.1, Figure 1:** Schematic illustration of multiple interactions between ice sheets, solid Earth, and climate system
15 which can drive internal variability and affect the coupled ice sheet–climate response to external forcings on timescales
16 of hours to millions of years.

17
18 **[END BOX 5.1 HERE]**

19 20 **5.3 Earth System Responses and Feedbacks at Global and Hemispheric Scales**

21
22 This section updates the information available since AR4 on changes in surface temperature on million-year
23 to orbital timescales and for the last 2000 years. New information on changes of the monsoon systems and
24 atmospheric circulation on glacial-interglacial timescales is also assessed.

25 26 **5.3.1 High CO₂ Worlds and Temperature**

27
28 Cenozoic (last 65 Ma) geological archives provide examples of natural climate states globally warmer than
29 the present, some of which are associated with atmospheric CO₂ concentrations above pre-industrial levels.
30 The relationship between times of global warmth and high CO₂ during the Cenozoic is far from
31 straightforward, and is complicated by factors such tectonics and the evolution of biological systems, which
32 play an important role in the carbon cycle (e.g., Zachos et al., 2008). Although new reconstructions of deep
33 ocean temperatures from benthic $\delta^{18}\text{O}$ records have been compiled since AR4 (e.g., Cramer et al., 2011), low
34 confidence remains in the precise relationship between CO₂ and deep-ocean temperature (e.g., Beerling and
35 Royer, 2011).

36
37 Since AR4 there are now sufficient proxy and model data from two warm periods in the Cenozoic to enable
38 an assessment of forcing, feedbacks and the surface temperature response (e.g., Dowsett et al., 2012; Lunt et
39 al., 2012). These are the “mid-Pliocene warm period” (MPWP; 3.3–3.0 Ma) and the Early Eocene climatic
40 optimum. Reconstructions of surface temperatures based on proxy data remain challenged by: (i) the limited
41 number and uneven geographical distribution of sites, (ii) seasonal and latitudinal biases and (iii), the
42 validity of assumptions required by each proxy method (assessed in Appendix 5.A, Table 5.A.2). There is
43 also a lack of consistency in the way uncertainties are reported for proxy climate estimates. In most cases
44 error bars represent the analytical and calibration error. In some compilations qualitative confidence
45 assessments are reported to account for the quality of the age control, number of samples, fossil preservation
46 and abundance, performance of the proxy method utilized, and agreement of multiple proxy estimates (e.g.,
47 Dowsett et al., 2012; MARGO Project Members, 2009).

48
49 Glacial-interglacial cycles inferred from Pliocene benthic $\delta^{18}\text{O}$ records imply moderate fluctuations in global
50 ice volume paced by the 41 kyr obliquity cycle (Lisiecki and Raymo, 2005; Naish et al., 2009a). This orbital
51 variability is also evident in a tropical Pacific sea surface temperature (SST) (Herbert et al., 2010) and
52 Southern Ocean mineral dust aerosol records (Martinez-Garcia et al., 2011), and indicate a close coupling
53 between temperature, atmospheric circulation and ice volume during MPWP (Figure 5.2). Model-data
54 comparisons (see also Box 5.2, Figure 1) provide high confidence that mean surface temperature was
55 warmer than pre-industrial for the average interglacial climate state during the MPWP (Dowsett et al., 2012;
56 Haywood et al., submitted; Salzmann et al., submitted). Estimated global mean SST was +1.7°C (without
57 given uncertainty) above the 1901–1920 CE mean (Lunt et al., 2010), based on a synthesis of 84 SST

1 estimates. GCMs agree with this SST anomaly (to within $\pm 0.5^{\circ}\text{C}$), and produce a range of global mean
2 surface air temperature anomalies of $1.9\text{--}3.5^{\circ}\text{C}$ (Haywood submitted). The MPWP represents the last time
3 atmospheric CO_2 concentrations were in the range $330\text{--}420$ ppm (Section 5.2.2.2). Weakened meridional
4 temperature gradients are shown by all GCM simulations, and have significant implications for the stability
5 of polar ice sheets and sea level (see Section 5.6). Vegetation reconstructions (Salzmann et al., 2008) imply
6 that the global extent of arid deserts decreased, and forests replaced tundra in the Northern Hemisphere, and
7 GCMs predict an enhanced hydrological cycle, but with large regional differences in total precipitation
8 between individual models (Haywood et al., submitted). The East Asian Summer Monsoon, as well as other
9 monsoon systems, may have been enhanced (e.g., Wan et al., 2010), and there is high confidence from
10 models of increased precipitation in the tropics during this time (Haywood et al., submitted).

11
12 The EECO represents the last time atmospheric CO_2 concentrations may have reached a level of ~ 1000 ppm
13 (Section 5.2.2.2). However, unlike the MPWP, there were no substantial polar ice sheets, and climatic
14 boundary conditions including oceanic and continental configurations, vegetation type and distribution were
15 significantly different from today. Proxy temperature estimates are few and of limited geographical
16 distribution. Therefore, while there is reasonably close agreement between proxy and model surface air
17 temperature (SAT) reconstructions (within 2 standard deviations) for the EECO (Hollis et al., submitted;
18 Huber and Caballero, 2011; Lunt et al., 2012), medium confidence is placed on the global mean surface
19 temperature anomaly estimate of $+8^{\circ}\text{C}$ to $+14^{\circ}\text{C}$ (Box 5.2, Figure 1).

20
21 Climate reconstructions for the warm periods of the Cenozoic also provide an opportunity to assess the long-
22 term climate sensitivity, or “Earth-system climate sensitivity” (ESCS) (Box 5.1). The limited number of
23 paleo-data and models for MPWP, which take into account slow feedbacks such as ice sheets and the carbon
24 cycle, imply with medium confidence ESCS may be up to 2 times the Charney Climate sensitivity (CCS)
25 (Haywood et al., submitted; Lunt et al., 2010; Pagani et al., 2010). However, if the slow amplifying
26 feedbacks associated with ice sheets and CO_2 are included in the forcing term then estimates of “paleo-
27 sensitivity” approximate the CCS from models (PALAEOSENS Project Members, accepted).

28
29 Complications arise estimating climate sensitivity from transient radiative perturbations further back in the
30 Cenozoic, due to long-term changes in the Earth system which change the background climate state. An
31 example is the pronounced transient global warming and carbon-cycle perturbation during the Paleocene-
32 Eocene Thermal Maximum, which punctuated an already warm climate state at the beginning of the EECO.
33 Uncertainties on both global temperature and CO_2 reconstruction preclude deriving robust quantitative
34 estimates of CCS or ESCS from the available proxy data (DeConto et al., 2012).

35
36 **[START BOX 5.2 HERE]**

37 38 **Box 5.2: Polar Amplification**

39
40 Polar amplification occurs when surface temperature change in high latitudes exceeds the global average
41 temperature change in response to climate forcings. Robust evidence for polar amplification in either one or
42 both hemispheres has been found in climate model experiments of past and future climate change, paleo-
43 climate data and recent instrumental temperature records. The relative strength of internal climate feedbacks
44 (Box 5.1) determines the transient and equilibrium response to external forcings. The specific mix of these
45 feedbacks is very much dependent on the region under consideration.

46
47 In the Arctic Ocean, the sea ice/ocean albedo surface feedback plays an important role (Curry et al., 1995;
48 Serreze and Barry, 2011). With retreating sea ice, surface albedo decreases, air temperatures increase and the
49 ocean can absorb more heat. The resulting ocean warming contributes to further sea ice melting. The sea ice
50 ocean surface albedo feedback can exhibit threshold behaviour when temperatures cross the freezing point of
51 sea ice. This may also translate into a strong seasonality of the response characteristics. Other feedbacks,
52 including water vapour and cloud feedbacks have been suggested as important amplifiers of Arctic Ocean
53 climate change (Abbot and Tziperman, 2008, 2009; Graverson and Wang, 2009; Lu and Cai, 2009; Screen
54 and Simmonds, 2010; Vavrus, 2004). In continental Arctic regions with seasonal snow-cover, changes in
55 radiative forcing can heavily influence the snow cover (Ghatak et al., 2010), and thus the surface albedo.
56 Other positive feedbacks operating on timescales of decades and centuries in continental high latitude
57 regions are associated with surface vegetation changes (Bhatt et al., 2010) and thawing permafrost (e.g.,

1 Walter et al., 2006). On glacial/interglacial timescales the very slow ice-sheet-albedo response to external
2 forcings (see Box 5.1) is an important contributor to polar-amplification in the Northern Hemisphere.

3
4 An amplified response of Southern Ocean air temperatures to radiative perturbations also emerges from the
5 sea ice albedo feedback. However, in contrast to the Arctic Ocean, which in parts is highly stratified, mixed
6 layer depths in the Southern Ocean typically exceed several hundreds of meters which allows the ocean to
7 take up vast amounts of heat (Gregory, 2000) and damp the sea-surface temperature response to external
8 forcing. This process is likely to affect the transient SST response for instance to rapid atmospheric CO₂
9 changes and requires a much longer equilibration time than in other areas of the world ocean. In the context
10 of greenhouse warming, one, hence, expects an asymmetric warming between Arctic and Southern Ocean,
11 with the former responding quicker to the current and projected changes in greenhouse gasses than the latter.
12 Above the Antarctic ice-sheet, however, surface-air temperature can respond quickly to radiative
13 perturbations.

14
15 Box 5.2, Figure 1 illustrates the polar amplification effect for three different periods of Earth's climate
16 history: (i) the Early Eocene Climatic Optimum, EECO (54–48Ma), (ii) the Mid-Pliocene Warm Period,
17 MPWP (3.3–3 Ma) and (iii) the Last Glacial Maximum, LGM (~21 ka). When comparing proxy data or
18 model simulations for the three different periods, one has to note that these past climate states also
19 corresponded to very different boundary conditions and forcings.

20
21 As a result of uncertainties in estimates of forcings and boundary conditions, proxy data interpretations and
22 calibrations, and possible model deficiencies, mismatches between model simulations and proxy
23 reconstructions are to be expected in the comparison shown in Box 5.2, Figure 1. In spite of this, all three
24 timeslices reveal, albeit for different reasons, robust Arctic and Antarctic surface air temperature
25 amplification of up to 2 times the global mean. The absence (EECO), or presence (LGM) of massive
26 extratropical ice-sheets is likely to affect the zonally averaged surface temperatures due to the lapse-rate
27 effect (see Box 5.1), hence contributing to the polar amplification.

28
29 Transient polar amplification as recorded in historical instrumental data and as projected by AOGCMs for
30 the 21st century involves different mechanisms than for the "equilibrium" past states featured in Box 5.2,
31 Figure 1. Instrumental temperature records show that the Arctic north of 60° latitude has warmed at a rate of
32 1.36°C per century since 1875, approximately twice as strong as the global average (Bekryaev et al., 2010),
33 with an even stronger rate of warming of 1.35°C during the last decade (2000–2010). These warming trends
34 can be attributed (Gillett et al., 2008) to a large extent to anthropogenic factors (see AR5 Chapter 10).
35 Available observations (Chylek et al., 2009; Polyakov et al., 2010; Screen and Simmonds, 2010; Semenov et
36 al., 2010; Serreze et al., 2009; Spielhagen et al., 2011) further confirm that the strongest recent warming
37 occurs in autumn and winter, strongly linking Arctic amplification to feedbacks associated with the seasonal
38 reduction in sea ice extent and duration, as well as the insulating effect of sea ice in winter (e.g., Serreze and
39 Barry, 2011; Serreze et al., 2009; Soden et al., 2008). For future model projections, following the RCP4.5
40 scenario, annual mean Arctic (70°N–90°N) warming is expected to exceed the global average 2.7-fold for the
41 period 2081–2100 compared to 1986–2005 (see Chapter 12).

42
43 Currently there is no compelling observational evidence for a robust CO₂-induced polar amplification in
44 Antarctica. Whereas the Antarctic Peninsula is experiencing one of the strongest regional warming trends
45 (0.5°C decade⁻¹ over the past 50 years), almost twice that of the global mean temperature, zonal mean
46 Antarctic surface warming has been modest at 0.1°C per decade over the same time period (O'Donnell et al.,
47 2010; Steig et al., 2009). West Antarctic Ice Sheet (WAIS) Divide borehole measurements indicate warming
48 of 0.8°C per decade during the last two decades (Orsi et al., 2012), but it remains unclear if this trend
49 represents long term polar amplification, or is within the range of regional decadal variability (see also AR5
50 Chapter 2). Polar amplification in the Southern Ocean and Antarctica is virtually absent in the transient
51 CMIP5 RCP4.5 future simulations (see Chapter 12).

52
53 The magnitude of polar amplification is of concern due to its impacts on ice sheet stability and sea level (see
54 Sections 5.6, 5.8.1 and Chapter 13) and for the carbon cycle feedbacks such as those linked with permafrost
55 melting (see Chapter 6).

56
57 **[INSERT BOX 5.2, FIGURE 1 HERE]**

Box 5.2, Figure 1: Data and multi model mean (MMM) comparisons of a) SST, b) zonally-averaged MMM SST gradient and proxy SST, c) zonally averaged MMM surface air temperature (SAT) gradient and proxy SAT, and d) SAT anomalies for the Early Eocene Climatic Optimum (EECO, top row), the mid-Pliocene warm period (MPWP, middle row) and the LGM (bottom row). Model temperature anomalies are calculated relative to the pre-industrial value of each model in the ensemble prior to calculating the MMM anomaly (a, d; colour shading). Zonal MMM gradients (b, c) are plotted with a shaded band indicating 2 standard deviation uncertainty. Site specific temperature anomalies estimated from proxy data are calculated relative to present site temperatures and are plotted (a, d) using the same colour scale as the model data, and a circle size scaled to estimates of confidence. In the zonal plots (b, c) the proxy data anomalies are shown with error bars indicating 2 standard deviation uncertainty. Proxy data compilations for the LGM are from MARGO Project Members (2009) and Bartlein et al., (2011), for the MPWP are from Dowsett et al., (2012) and Salzmann et al., (2008), and for the EECO are from Hollis et al., (submitted) and Lunt et al., (2012). Model ensemble simulations for the LGM are after (Harrison et al., submitted), for the Pliocene are from Haywood et al., (submitted), and for the EECO are after Lunt et al., (2012).

[END BOX 5.2 HERE]

5.3.2 *Glacial-Interglacial Dynamics*

5.3.2.1 *Role of CO₂ in Glacial Cycles*

Numerous paleoclimate records demonstrate that climate variability increased considerably around 2.7 Ma after the onset of glacial cycles with timescales close to the periods of Earth's orbital variations (approx. 21, 41 and 100 kyr) (Jansen et al., 2007). Recent modelling work provides strong support for the notion that variations in Earth's orbital parameters are one of the key factors in generating long-term variability of Earth's climate. In particular, simulations with GCMs (Carlson et al., 2012; Herrington and Poulsen, 2012) support the principal conjecture of the Milankovitch theory that a reduction in northern hemisphere summer insolation produces sufficient cooling to initiate ice sheet growth. Since orbital forcing can be accurately determined for the future (Berger and Loutre, 1991), efforts can be made to predict the onset of the next glacial period. However, the glaciation threshold depends not only on insolation but also on atmospheric CO₂ content (Archer and Ganopolski, 2005). Models of different complexity have been used to investigate the response to orbital forcing in the future for a range of atmospheric CO₂ levels. These results show consistently that a glacial inception is unlikely to happen within the next approximate 50 kyr if either atmospheric CO₂ concentrations remain above 300 ppm or cumulative carbon emissions exceed 1000 PgC (Archer and Ganopolski, 2005; Cochelin et al., 2006; Loutre and Berger, 2000). Only if atmospheric CO₂ content were below the pre-industrial level, would a glaciation be possible within the next several thousand years (Cochelin et al., 2006; Kutzbach et al., 2011; Loutre and Berger, 2000; Tzedakis et al., 2012; Vettoretti and Peltier, 2011). Even for the RCP 2.6 scenario, atmospheric CO₂ concentrations will exceed 300 ppm until the year 3000 CE (see Chapter 12). Based on our understanding of glacial inceptions during the late Quaternary, it seems therefore very unlikely that orbital forcing on its own would trigger a glacial inception before the end of the next millennium.

Comprehensive understanding of the dynamics of glacial cycles, especially its strongly nonlinear aspects, remains a scientific challenge. In particular, the mechanisms of abrupt glacial terminations after long periods of ice sheet build-up are still not well understood. New paleoclimate records document that all recent glacial terminations occurred during periods of high summer insolation in the northern hemisphere (Cheng et al., 2009; Kawamura et al., 2007). Climate-ice sheet models with varying degrees of complexity and forced by orbital variations and reconstructed atmospheric CO₂ concentrations simulate ice volume variations and other climate characteristics during the last and several previous glacial cycles consistent with paleoclimate records (Abe-Ouchi et al., 2007; Bonelli et al., 2009; Ganopolski et al., 2010) (see Figure 5.3).

There is high confidence that orbital forcing is the only external driver of glacial cycles. However, atmospheric CO₂ content plays an important role as internal feedback. Orbital-scale variability in CO₂ concentrations over the last several hundred thousand years covary (Figure 5.3) with proxy climate records including reconstructions of global ice-volume (Lisiecki and Raymo, 2005), climatic conditions in central Asia (Prokopenko et al., 2006), tropical (Herbert et al., 2010) and Southern Ocean SST (Lang and Wolff, 2011; Pahnke et al., 2003; Parrenin et al., submitted), deep ocean temperature (Elderfield et al., 2010), biogeochemical conditions in the North Pacific (Jaccard et al., 2010), and deep ocean ventilation (Lisiecki et al., 2008). Such close linkages between CO₂ concentration and climate variability are consistent with

1 modelling results suggesting with high confidence that glacial-interglacial variations of CO₂ and other
2 greenhouse gases explain a considerable fraction of glacial-interglacial climate variability in regions not
3 directly affected by the Northern Hemisphere continental ice sheets (Shakun et al., 2012; Timmermann et al.,
4 2009).

6 [INSERT FIGURE 5.3 HERE]

7 **Figure 5.3:** Orbital parameters and proxy records over the past 800 kyr. (a) Eccentricity, (b) obliquity, (c) precessional
8 parameter (Berger and Loutre, 1991), (d) atmospheric concentration of CO₂ from Antarctic ice cores (Ahn and Brook,
9 2008; Lüthi et al., 2008; Petit et al., 1999; Siegenthaler et al., 2005), (e) tropical SST stack (Herbert et al., 2010), (f)
10 Antarctic temperature stack based on up to seven different ice cores (Blunier and Brook, 2001; EPICA Community
11 Members, 2006; Jouzel et al., 2007; Petit et al., 1999; Stenni et al., 2011; Watanabe et al., 2003), (g) stack of benthic
12 $\delta^{18}\text{O}$, a proxy for global ice volume and deep ocean temperature (Lisiecki and Raymo, 2005), (h) reconstructed sea level
13 (Waelbroeck et al., 2002). Solid lines represent orbital forcing and proxy records, dashed lines depict results of
14 simulations with climate and climate-ice sheet models forced by variations of the orbital parameters and the
15 atmospheric concentrations of the major greenhouse gases. Short dashed line: CLIMBER-2 (Ganopolski and Calov,
16 2011), long dashed line: IceES (Abe-Ouchi et al., 2007), dotted line: Bern3D (Ritz et al., 2011). Note the change of the
17 age-axis scale at 140 ka.

18
19 Continental temperatures in Antarctica are governed by a number of processes, including the dominant CO₂
20 radiative effect, orbital forcing and changes in ice-sheet height. Previous reconstructions indicated a lead of
21 Antarctic temperature over CO₂ concentration during previous glacial terminations by 800 ± 600 years
22 (Caillon et al., 2003; Monnin et al., 2001; Appendix 5.A, Table 5.A.3). Recently an improved estimate of gas
23 ice-age differences in different ensembles of Antarctic ice cores suggested that earlier studies likely
24 overestimated this lead. For the last glacial termination the most recent estimate is indistinguishable from
25 zero (Parrenin et al., submitted; Pedro et al., 2012).

26
27 Large-scale reconstructions of Southern Hemisphere climate change (Shakun et al., 2012) for the last glacial
28 termination document a lead of Southern Hemisphere averaged temperature over Northern Hemisphere
29 temperature. This lead can be explained by the bipolar thermal seesaw concept (Stocker and Johnsen, 2003)
30 (see also Section 5.7) related to changes in the interhemispheric ocean heat transport caused by weakening of
31 the AMOC during glacial termination (Ganopolski and Roche, 2009). Southern Hemisphere warming prior
32 to Northern Hemisphere warming can also be explained by the fast sea ice response to changes in austral
33 spring insolation (Stott et al., 2007; Timmermann et al., 2009). According to these mechanisms, southern
34 temperature lead over Northern Hemisphere neither contradicts the northern hemisphere forcing of deglacial
35 ice volume changes (high confidence), nor the important role of CO₂ in generating glacial-interglacial
36 temperature variations due to the greenhouse effect.

38 5.3.2.2 Extratropical Atmospheric Circulation Changes

39
40 It has been suggested that the strength and position of Southern Hemisphere westerlies exerts an important
41 control on the partitioning of CO₂ between ocean and atmosphere via changes in upwelling intensity in the
42 Southern Ocean (Toggweiler et al., 2006). Accordingly, southward shift and intensification of the Southern
43 Hemisphere westerlies during a glacial termination are considered to enhance upwelling of carbon-rich
44 waters over the Southern Ocean. This mechanism provides a positive feedback to the climate-carbon system
45 during glacial terminations (see Chapter 6). However, the actual state of the Southern Hemisphere westerlies
46 under LGM conditions remains debated. Proxy records indicate consistently an intensification and/or
47 southward shift of the westerly belt through the last glacial termination, which extended into the late
48 Holocene (Anderson et al., 2009; Bard and Rickaby, 2009; Beal et al., 2011; De Deckker et al., 2012;
49 Fletcher and Moreno, 2011; Sikes et al., 2009), albeit with regional and seasonal complexity (Lamy et al.,
50 2010; Shulmeister et al., 2004). Contrary to the paleo-proxy interpretations, climate model experiments
51 using LGM boundary conditions do not present an unequivocal picture. Only some models show small
52 equatorward shifts or weakening of the southern hemisphere westerlies since the LGM (Chavaillaz et al.,
53 submitted; Rojas et al., 2009). Overall, while there is medium confidence in direction and magnitude of
54 reconstructed changes in the Southern Hemisphere westerlies, only low confidence exists with regard to the
55 modelled changes in Southern Hemisphere westerlies.

56
57 Based on a range of independent model experiments, there is high confidence that the paths of Northern
58 Hemisphere westerlies was orographically steered by the glacial ice-sheets over North America and Eurasia

(Eisenman et al., 2009; Justino et al., 2005; Li and Battisti, 2008; Pausata et al., 2011b) (see also Section 5.4.2).

5.3.2.3 Monsoon Systems

On glacial-interglacial timescales, most monsoon systems have been found to respond to orbital forcing and in particular to precession (Figure 5.4). Speleothem data from southeastern China (Wang et al., 2008) and northern Borneo (Meckler et al., 2012) document hydrological changes that are dominated by eccentricity-modulated precessional cycles, whereas monsoon proxies from regions closer to the major Northern Hemispheric ice sheets, such as Northern Africa, the Arabian Peninsula and Arabian Sea also exhibit high variance on glacial-interglacial timescales and reflect glacial inceptions and rapid terminations (Bar-Matthews et al., 2003; Schulz et al., 1998; Weldeab et al., 2007) (Figure 5.4), partly as a result of remote atmospheric influences from the Northern Hemisphere ice sheets (Qiuzhen et al., 2008; Timm et al., 2010). Notably, the weaker interglacial amplitudes prior to the Mid-Brunhes event (430 ka), recorded for instance in marine benthic oxygen isotopes, atmospheric CO₂ concentration, water isotopes in Antarctic ice cores and warm pool SST, and, are not captured in a speleothem record from Marine Isotopic Stage (MIS) 13 from Borneo, indicating limited impacts of glacial-interglacial changes in atmospheric composition or ice volume, relative to the orbital forcing (Meckler et al., 2012). On glacial-interglacial timescales, increasing (decreasing) boreal (austral) summer insolation leads to an out of phase interhemispheric change, with overall drying in Southern Hemispheric summer monsoon systems and an increased hydrological cycle in the Northern Hemisphere tropics (Figure 5.4a,d,g). Qualitatively similar out-of-phase interhemispheric responses to insolation forcing have also been documented in coupled time-slice and transient GCM simulations (Braconnot et al., 2008; Kutzbach et al., 2008) (Figure 5.4), thereby providing high confidence in the large-scale orbital control mechanism of interhemispheric rainfall variability and its representation in models. Across longitudes, the response of precipitation may, however, be different for the same orbital forcing. For instance drier conditions occurred during the mid-Holocene over central North America (Difffenbaugh et al., 2006) and wetter conditions in northern Africa (Hély et al., 2009; Liu et al., 2007b; Tierney et al., 2011), as well as east-west shifts of precipitation in South America (Cruz et al., 2009).

[INSERT FIGURE 5.4 HERE]

Figure 5.4: Interhemispheric response of monsoon systems to orbital forcing, AMOC changes, and radiative forcing since 850 CE: a. boreal summer insolation changes at 20°N (black) ($W m^{-2}$), austral summer insolation changes at 20°S (blue); b. Temperature changes in Greenland (°C) reconstructed from NGRIP ice core on SS09 timescale (Huber et al., 2006), location indicated by black circle in c; c. Location of proxy records displayed in relation to the global monsoon regions (Wang and Ding, 2008) North American Monsoon (NAMM), South American Monsoon (SAMM), NAFM (North African Monsoon), SAFM (South African Monsoon), IM (Indian Monsoon), EAM (East Asian Monsoon), WNPM (Western North Pacific Monsoon), AUM (Australian Monsoon). d. Reconstructed (purple) standardized negative $\delta^{18}O$ anomaly in East Asian Summer Monsoon region derived from Hulu cave (Wang et al., 2001) and Sanbao cave speleothem records, China (Wang et al., 2008) and simulated standardized multi-model average (black) of annual mean rainfall anomalies averaged over region 108°E–123°E and 25°N–40°N using the transient runs conducted with LOVECLIM (Timm et al., 2008), FAMOUS (Smith and Gregory, 2012), and the HadCM3 snapshot simulations (Singarayer and Valdes, 2010); e. $\delta^{18}O$ from Xiaobailong cave, China (Cai et al., 2010); f. standardized negative $\delta^{18}O$ anomalies (purple) in Huangye (Tan et al., 2011) and Wanxian Caves (Zhang et al., 2008), China, and simulated standardized annual mean and 30-year low pass filtered rainfall anomalies (black) in region 100°E–110°E, 20°N–35°N, ensemble averaged over the Last Millennium experiments conducted with CCSM4, ECHO-G, MPI-ESM, CSIRO-MARK3, MIROC, HADCM3; g. standardized negative $\delta^{18}O$ anomaly (blue) from Botuvera speleothem, Brazil (e.g., Cruz et al., 2009) and simulated standardized multi-model average (black) of annual mean rainfall anomalies averaged over region 45°W–60°W and 35°S–15°S using same experiments as in panel d; h. standardized negative $\delta^{18}O$ anomaly (brown) from Pacupahuain cave, Peru (Kanner et al., 2012); i. standardized $\delta^{18}O$ anomalies (blue) from Cascayunga Cave, Peru (Reuter et al., 2009) and Pumacocha Lake, Peru (Bird et al., 2011) and simulated standardized annual mean and 30-year low-pass filtered rainfall anomalies (black) in region 76°W–70°W, 15.7°S–8°S, ensemble averaged over the Last Millennium experiments from f.

5.3.3 Glacial Climate Sensitivity

The LGM is characterized by large response to relatively well defined radiative perturbations (Braconnot et al., 2012b; Section 5.2), allowing the use of LGM data and simulations to estimate climate sensitivity. Numerous new temperature reconstructions have been completed since AR4. Proxies used in glacial climate reconstructions include pollen data (Bartlein et al., 2011), isotope-based temperature proxies in ice cores, and

1 ocean circulation, temperature and salinity proxies from marine sediment cores (Butzin et al., 2005;
2 Tagliabue et al., 2009). The MARGO reconstruction (MARGO Project Members, 2009), the most recent
3 synthesis of the LGM SST, employed multiple proxy approaches to revise and refine previous synthesis
4 efforts such as CLIMAP (CLIMAP Project Members, 1976, 1981) and GLAMAP (Sarnthein et al., 2003a;
5 Sarnthein et al., 2003b). Annual mean cooling is largest (up to -10°C relative to 20th century) in the mid-
6 latitude North Atlantic with the western Mediterranean also cooling significantly (-6°C). Seasonally ice free
7 conditions were documented, however, in the northeastern North Atlantic and in the eastern Nordic Seas, in
8 contrast to the widely used CLIMAP reconstruction which suggested perennial sea ice for these areas. Proxy-
9 based reconstruction of the polar front in the Southern Ocean indicates a northward shift in position to 45°S ,
10 associated with a $2\text{--}6^{\circ}\text{C}$ cooling during glacial austral summer relative to the 20th century. Reconstruction of
11 annual mean SST in the inner tropics ($15^{\circ}\text{S}\text{--}15^{\circ}\text{N}$) indicates cooling relative to the 20th century of $2.9 \pm$
12 1.3°C in the Atlantic, $1.4 \pm 0.7^{\circ}\text{C}$ in the Indian, and $1.2 \pm 1.1^{\circ}\text{C}$ in the Pacific. There is, however, substantial
13 longitudinal variability and the uncertainty remains as to the interpretation of proxies such as biased
14 sensitivity of proxies to a particular season (Leduc et al., 2010). About $1.5 \pm 1.2^{\circ}\text{C}$ tropical ($30^{\circ}\text{S}\text{--}30^{\circ}\text{N}$)
15 mean SST cooling suggested by the new MARGO reconstruction is smaller than the estimate of about $2.7 \pm$
16 0.5°C cooling (Ballantyne et al., 2005) referenced in AR4. Uncertainties with depth calibration of some
17 proxies may also have underestimated LGM cooling in the tropics (Telford et al., submitted). There are,
18 however, many records in the western and eastern tropical Pacific that shows as much as $2\text{--}3^{\circ}\text{C}$ cooling
19 relative to the preindustrial period (de Garidel-Thoron et al., 2007; Koutavas and Sachs, 2008; Lea et al.,
20 2000; Lea et al., 2006; Leduc et al., 2007; Linsley et al., 2010; Pahnke et al., 2007; Steinke et al., 2008; Stott
21 et al., 2007), collectively pointing that large uncertainty still remains. A recent pollen-based synthesis of
22 terrestrial climates during the LGM (Bartlein et al., 2011) generally show year-round cooling in most
23 regions, with a few, so far unexplained, deviations in Alaska and in Africa. Isotopic analysis in ice cores
24 indicates a decrease of about $7\text{--}10^{\circ}\text{C}$ in Antarctica relative to the preindustrial period (Stenni et al., 2010;
25 Uemura et al., 2012), with essentially no change in the estimate since AR4. These LGM temperature
26 reconstructions of incomplete spatial coverage are used as constraints to estimate global mean temperature
27 with climate models. While studies using single intermediate complexity model suggested a wide range of
28 global mean cooling (Holden et al., 2010b; Schmittner et al., 2011; Schneider von Deimling et al., 2006a),
29 the most recent study combining proxy data with multiple GCMs yields $3.9 \pm 0.8^{\circ}\text{C}$ (95% confidence
30 interval) global cooling (Annan and Hargreaves, submitted).

31
32 GCMs reproduce the direction and large-scale patterns of reconstructed SST during the LGM (Figure 5.5c),
33 but tend to underestimate the magnitude of regional changes, most notably the longitudinal variations of
34 tropical SST (Otto-Bliesner et al., 2009). Since AR4, questions were raised regarding temperature simulation
35 of East Antarctica, concerning potential overestimation of ice sheet elevation, an important boundary
36 condition to the models (Masson-Delmotte et al., 2008). New ice sheet reconstructions based on several
37 different methods (Lambeck et al., 2010a; Tarasov and Peltier, 2007) were introduced for PMIP3 climate
38 model simulations, and the simulated temperature changes are shown to be mostly consistent with the
39 reconstructions (Figure 5.5e). As in AR4, Greenland temperature change in LGM is underestimated by
40 PMIP3 model simulations which show only about $7^{\circ}\text{C}\text{--}15^{\circ}\text{C}$ cooling relative to the preindustrial period.
41 While it is possible that this failure reflects model deficiencies, other possibilities also remain that the peak
42 cooling in Greenland does not represent the LGM period and/or climate forcing such as mineral dust (see
43 Section 5.2.2.3) is missing or underestimated (Lambert et al., submitted).

44
45 Temperature change recorded in proxies results from various feedback processes (see Box 5.1), and the
46 forcing (e.g., atmospheric CO_2 content) typically varies before equilibrium of the whole system (e.g., land
47 ice) is reached. Nevertheless, the CCS is estimated or constrained from paleoclimate archives by explicitly
48 counting the slow components of the processes as forcing, rather than as feedbacks (PALAEOSSENS Project
49 Members, accepted). This is achieved in three fundamentally different ways (Edwards et al., 2007; see also
50 Chapters 9 and 10). Firstly, climate sensitivity may be estimated by scaling temperature change in the past
51 with the radiative forcing difference of the past and $2 \times \text{CO}_2$. The results are subject to uncertainties in the
52 estimate of global mean temperature based on proxy records of incomplete spatial coverage (Köhler et al.,
53 2010; MARGO Project Members, 2009). In this approach, an important assumption is made that the climate
54 response to a certain amount of radiative forcing is the same even under different types of forcing (e.g., CO_2
55 or ice sheet) and climate states (e.g., warm or cold). Modelling studies have shown, however, that this is not
56 necessarily the case due to the difference in cloud feedback (Crucifix, 2006; Hargreaves et al., 2007;
57 Yoshimori et al., 2011; Figure 5.4b). This is confirmed in Figure 5.5b which shows different shortwave

cloud feedback strengths between LGM and projections in PMIP3/CMIP5 simulations. Correlation between simulated global LGM cooling and projected warming is weak (Figure 5.5a), and therefore the linear scaling of the global LGM temperature change may introduce errors into the climate sensitivity estimate. Secondly, an ensemble of LGM simulations is carried out using a single climate model in which each ensemble member typically differs in model parameters and the ensemble covers a range of climate sensitivity (Annan et al., 2005; Holden et al., 2010b; Schmittner et al., 2011; Schneider von Deimling et al., 2006a). Model parameters are then constrained by reconstructed LGM climate, and probability distribution of climate sensitivity is generated. While intermediate complexity models are often used in order to attain sufficiently large ensemble size, studies with GCMs point out the potential importance of asymmetric response between warming and cooling through the cloud feedback not included in many intermediate complexity models. Thirdly, multiple GCM simulations are compared to proxy data (Otto-Bliesner et al., 2009), and performance of the models and indirectly their climate sensitivity are assessed (Braconnot et al., 2012b). Dust and vegetation changes are in many cases not included in these model simulations and consequently there is also an uncertainty in their radiative forcing estimate (Lambert et al., submitted). While the definitive conclusion remains to be drawn, some studies have suggested that both processes generally amplify the initial temperature change (Lambert et al., 2008; Maher et al., 2010; Mahowald et al., 2006; McGee et al., 2010; Takemura et al., 2009; Winckler et al., 2008; see Section 5.2.2.3). Simulated LGM cooling is therefore likely underestimated in the absence of these two effects, and needs to be taken into account in assessing the climate sensitivity.

CCS is constrained by considering regional climate change in the past (Hargreaves et al., 2007), and it is estimated to lie very likely between 1.4 and 6°C (Annan and Hargreaves, 2006; Holden et al., 2010b; Köhler et al., 2010; MARGO Project Members, 2009; Schmittner et al., 2011; Schneider von Deimling et al., 2006a) although with remaining uncertainties it is not possible to establish tighter bounds using only data from past climates colder than today. This range is also supported by the estimate of 1.5°C–5.2°C (95% confidence interval) for the CCS based on the past 65 Myr of climate records (PALAEOSENS Project Members, accepted).

[INSERT FIGURE 5.5 HERE]

Figure 5.5: a) Relation between equilibrium climate sensitivity estimated from $2 \times \text{CO}_2$ or abrupt $4 \times \text{CO}_2$ experiments and that estimated from LGM simulations. Climate sensitivity of PMIP2 AOGCMs was taken from Crucifix (2006) and that of CMIP5/PMIP3 models was taken from Andrews et al., (2012) and Brady et al., (submitted). Climate sensitivity based on LGM simulations were derived by multiplying the ratio of radiative forcing between $2 \times \text{CO}_2$ and LGM (ΔF_{ratio}). ΔF_{ratio} for three PMIP2 models (CCSM3, HadCM, CM4) were taken from Crucifix (2006), and it was taken from Yoshimori et al., (2009) for MI3. Its range, -0.80 to -0.56 , with a mean of -0.67 was used for CMIP5/PMIP3 models. Horizontal bars represent 90% confidence interval for the estimated climate sensitivity based on glacial climates in literatures: “S06a”, “M09”, “H10”, “K10” and “S11” denote Schneider von Deimling et al., (2006a), MARGO Project Members (2009), Holden et al., (2010a), Köhler et al., (2010), and Schmittner et al., (2011), respectively. Vertical bars represent 90% confidence interval for LGM global mean temperature change in literatures multiplied by the mean of ΔF_{ratio} . “S06b” and “A12” denote Schneider von Deimling et al., (2006b) and Annan and Hargreaves (submitted), respectively. Also plotted is a one-to-one line; b) Strength of individual feedbacks for the CMIP5/PMIP3 abrupt $4 \times \text{CO}_2$ (131–150 years) and LGM (stable states) experiments following the method in Yoshimori et al., (2011). “WV+LR”, “A”, “CSW”, “CLW” denote water vapour plus lapse-rate, surface albedo, shortwave cloud, and longwave cloud feedbacks, respectively. “All” denotes the sum of all feedbacks except for the Planck response. Feedback parameter here is defined as the change in net radiation at the top of the atmosphere due to the change in individual fields such as water vapour, and it is normalized by the global mean surface air temperature change. Climate sensitivity depends on forcings, feedbacks and ocean heat uptake. Positive (negative) value indicates that the feedback amplifies (suppresses) the initial temperature response; c) Relation between models’ climate sensitivity and LGM tropical SST change from today; d) Same as in c but for tropical surface air temperature over land; and e) Same as in c but for surface air temperature in East Antarctica. Gray shadings in c-e are 90% confidence intervals for temperature reconstructions from Annan and Hargreaves (submitted) and a range for East Antarctica based on reconstructions from stable isotopes from several ice cores (Dome F, Vostok, EDC and EDML) from Stenni et al., (2010) and Uemura et al., (2012). Pink shading in c represents estimates by Ballantyne et al., (2005) with ± 1 standard deviation. “HadCM”, “CM4”, “MI3”, “CGCM3”, “MPESM”, “CM5A”, and “MIESM” denote “HadCM3”, “IPSL-CM4”, “MIROC3.2”, “MRI-CGCM3”, “MPI-ESM-P”, “IPSL-CM5A-LR”, and “MIROC-ESM”, respectively.

5.3.4 Interglacial Periods

1 Interglacial climate allow the current changes to be studied in the perspective of natural climate variability
2 and understand feedbacks determining responses to orbital forcing. The current interglacial, the Holocene,
3 extending from 11.7 ka to the present, is the most extensively studied (Section 5.5).

4
5 The Last Interglaciation (LIG, approximately 130–116 ka; Section 5.6.2) (Stirling et al., 1998), occurred
6 largely at greenhouse gas concentration characteristic of the pre-industrial Holocene (Figure 5.3). It
7 experienced stronger orbital forcing than the Holocene with the additive effects of large obliquity and
8 eccentricity (Figure 5.3), resulting in strong positive insolation anomalies during boreal summer in the NH
9 and austral spring in the SH. Quantitative data syntheses allow estimating maximum annual warmth around
10 the globe for the LIG (McKay et al., 2011; Turney and Jones, 2010) (Figure 5.6). These estimates assumed
11 that the warmest phases were globally synchronous. However, warming in the Southern Ocean and over
12 Antarctica led peak warmth in the North Atlantic and Nordic Seas by 3–5 kyr (Bauch et al., 2011; Jouzel et
13 al., 2007; Masson-Delmotte et al., 2010b). If available, uncertainties of reconstructed climate parameters
14 differ significantly between reconstruction methods and regions, especially due to seasonal effects (e.g.,
15 McKay et al., 2011; Tarasov et al., 2011; Velichko et al., 2008). A robust feature of transient LIG model
16 simulations is peak Northern Hemisphere summer warmth early in the LIG (~130–125 ka) in line with
17 orbital forcing (Bakker, submitted) with regional evolution dependent on the assumptions of NH ice sheets
18 and melt in individual simulations (Bakker, submitted; Sánchez Goñi et al., 2012; Swingedouw et al., 2009).

19
20 Large polar warming during the LIG has implications for the stability of the polar ice sheets (Sections 5.6
21 and 5.8). The Greenland NGRIP and NEEM ice-core stable isotope records indicate precipitation-weighted
22 annual warming of 5–8°C (Dahl-Jensen et al., submitted; Masson-Delmotte et al., 2011). Evidence, though
23 fragmentary, also indicates seasonally open water off northern Greenland and in the central Arctic at some
24 time during the LIG (Adler et al., 2009; Nørgaard-Pedersen et al., 2007), which could be important for
25 explaining the Greenland isotope record (Sime et al., submitted). East Antarctica ice cores record early LIG
26 warming, possibly more than 5°C warmer than present (Jouzel et al., 2007; Sime et al., 2009; Stenni et al.,
27 2010). Ocean-atmosphere coupled simulations underestimate the magnitude of polar ice-sheet warming,
28 particularly for Antarctica (Lunt, submitted; Otto-Bliesner et al., submitted; Overpeck et al., 2006) (Figure
29 5.6). Indirect feedbacks to a bipolar seesaw response to persistent iceberg melting at high northern latitudes
30 (Ganopolski and Roche, 2009; Govin et al., 2012) and/or disintegration of the West Antarctic Ice Sheet
31 (Holden et al., 2010b) may be needed for the LIG Antarctic warming.

32
33 Considering age-scale and proxy-calibration uncertainties, the global mean annual surface temperature
34 change for the LIG can only be estimated with medium confidence. Based on data syntheses of peak warmth,
35 global mean annual surface temperature ranges from about 1°C to 2°C warmer than pre-industrial (Otto-
36 Bliesner et al., submitted; Turney and Jones, 2010). In response to orbital forcing, PMIP2 and PMIP3 models
37 simulate an insignificant global mean annual surface temperature change, ranging from –0.2°C to +0.2°C
38 (Lunt, submitted). The models underestimate regional warming (Figure 5.6) possibly due to representation of
39 ice sheets, vegetation, sea ice, and cloud processes in models (Born et al., 2010; Fischer and Jungclaus,
40 2010; Holden et al., 2010b; Kim et al., 2010; Masson-Delmotte et al., 2011; Schurgers et al., 2007). Peak
41 LIG SST warming is estimated with medium confidence to be 0.7°C ± 0.6°C (McKay et al., 2011) with
42 models giving more confidence to the lower bound (Figure 5.6) (Otto-Bliesner et al., submitted). The data
43 suggests a strong land-ocean response to the forcing with peak LIG terrestrial warming estimated to be about
44 1.5°C. Model results give terrestrial warming of 0.9°C–1.1°C when sampled at the data locations but only
45 0°C–0.3°C when averaged over the full model grids, pointing to difficulties in estimating global mean annual
46 surface temperature with current data coverage (Otto-Bliesner et al., submitted).

47
48 Several recent compilations from marine, ice and terrestrial archives, with updated age models, have
49 provided an expanded view of the overall geographical patterns and strengths of earlier interglacials of the
50 last 800 kyr, though not on the temporal phasing because of the quality of the age controls (Jouzel et al.,
51 2007; Lang and Wolff, 2011; Rohling et al., 2012). There is currently no consensus on whether interglacials
52 changed in strength after the mid-Brunhes event ~430 ka. EPICA Dome C Antarctic ice cores record warmer
53 temperatures and higher CO₂ after the mid-Brunhes event (Masson-Delmotte et al., 2010a), and marine
54 records of deep-water temperatures are characterized by generally warmer values during post- mid-Brunhes
55 event interglacials than earlier interglacials (Lang and Wolff, 2011). In contrast, weaker earlier interglacials
56 (430–800 ka) are not observed in some terrestrial archives from Eurasia (Candy et al., 2010; Prokopenko et
57 al., 2002) or hydroclimate proxies of the western North Pacific monsoon (Section 5.3.2.3). Consistent with

1 this regional variability, recent modelling proposes that GHG changes (Section 5.3.2) play a dominant role in
 2 explaining the global and southern high latitude mean annual surface temperature variations, while seasonal
 3 insolation changes from orbital forcing and associated feedbacks of vegetation and sea ice explain northern
 4 high-latitude mean annual surface temperature (Yin and Berger, 2012). The warmest and coolest
 5 interglacials occur when GHGs and insolation reinforce each other (Herold et al., submitted; Yin and Berger,
 6 2012; Yin and Berger, 2010). Regionally, over the last 2.8 Myr, there is evidence for significantly higher
 7 Arctic amplification compared to the present and last interglacials (Melles et al., 2012).

8 [INSERT FIGURE 5.6 HERE]

9 **Figure 5.6:** Changes in surface temperature for the Last Interglacial as reconstructed from data and simulated by an
 10 ensemble of climate model experiments in response to orbital forcing. Top left: Proxy data syntheses of annual surface
 11 temperature anomalies as published by Turney and Jones (2010) and McKay et al., (2011) . McKay et al., calculated an
 12 annual anomaly for each record as the average SST of the 5-kyr period centered on the warmest temperature between
 13 135 ka and 118 ka and then subtracting the average SST of the late Holocene (5 ka to 0 ka). Turney and Jones
 14 calculated the annual temperature anomalies relative to 1961–1990 CE by averaging the LIG temperature estimates
 15 across the isotopic plateau in the marine and ice records and the period of maximum warmth in the terrestrial records
 16 (assuming globally synchronous terrestrial warmth). Top right: Proxy records of zonal mean anomalies of SST from
 17 Turney and Jones (annual) and McKay et al., (annual and seasonal) and terrestrial surface temperature from Turney and
 18 Jones (annual) and compiled from published literature by Tarasov and Müller (seasonal). SST anomalies larger than
 19 6°C (two annual in Nordic Sea, two annual in Southern Ocean, one annual in eastern tropical Pacific) and terrestrial
 20 anomalies larger than 10°C (four annual in Siberia, one DJF each in Siberia and Finland) or less than –6°C (two DJF in
 21 France) are not included in zonal averages. Bottom left: Multi-model average of annual surface air temperature
 22 anomalies simulated for the Last Interglacial computed with respect the pre-industrial control simulations. The results
 23 for the Last Interglacial are obtained from 18 simulations for 125–130ka conducted by 12 modeling groups
 24 (CCSM3_Bremen, CCSM3_LLN, CCSM3_NCAR, CLIMBER_LSCE, COSMOS_AWI, ECHAM5_ZMAW,
 25 HadCM3_Bristol, IPSL_LSCE, KCM_Kiehl, LOVECLIM_Amsterdam, LOVECLIM_LLN, and MIROC_Tokyo).
 26 Bottom right: Zonal mean anomalies of SST and terrestrial surface temperature from the multi-model mean for annual,
 27 June-July-August and December-January-February. Gray-shaded bands indicate the 2 standard deviation uncertainties
 28 of the annual mean anomalies.
 29

30 5.3.5 Temperature Variations During the Last 2000 Years

31 Research on hemispheric and global temperatures is important to improve understanding of climate
 32 responses to external forcings. Expanded proxy data networks and better understanding of existing and
 33 newly developed reconstruction methods have supported a more complete characterization of the
 34 spatiotemporal distribution of surface temperature changes (Section 5.3.5.1) and their associated
 35 uncertainties (Section 5.3.5.2) during the last 2000 years, as well as more extensive comparisons with GCM
 36 simulations (Section 5.3.5.3).
 37

38 5.3.5.1 Recent Warming in the Context of New Reconstructions

39 Since AR4, reconstructions using new statistical methods and additional proxy data have been published
 40 (Figure 5.7; Table 5.1; Appendix 5.A.1). This evidence, particularly in the NH, endorses the notion of a
 41 generally warm period during the so-called Medieval Climate Anomaly (MCA; Glossary), followed by the
 42 colder Little Ice Age (LIA; Glossary) that lasted from the middle centuries of the millennium to the rise in
 43 global temperatures that began in the late 19th century. The timing and spatial structure of the MCA and LIA
 44 are not well defined (see Box 6.4 in AR4 and Diaz et al., 2011), with different records exhibiting warm and
 45 cold conditions at different times for different regions. In some places we consider averages over the time
 46 intervals 950–1250 CE and 1400–1700 CE as representative of the MCA and LIA, although timings may
 47 differ between published records, particularly at regional scales (Section 5.5).
 48

49 Published reconstructions and their error estimates provide multiple lines of evidence (using different
 50 statistical methods or different compilations of proxy records; Appendix 5.A.1) to indicate, with high
 51 confidence, that modern (the last 30- or 50-year mean) NH temperatures very likely exceeded those from any
 52 previous 30- or 50-year mean in the last 800 years (Table 5.1). The timing of warm and cold periods is
 53 mostly consistent across reconstructions (in some cases this is because they use similar proxy compilations)
 54 but the magnitude of the changes is clearly sensitive to the statistical method and to the target domain (land
 55 or land and sea; the full hemisphere or only the extra-tropics; Figure 5.7a). Even accounting for these
 56
 57
 58

1 uncertainties, almost all reconstructions agree that each 30-year (50-year) period from 1200 CE to present
 2 was very likely colder in the NH than the 1981–2010 CE (1961–2010 CE) instrumental temperature.
 3

4 The NH temperature reconstructions that reach back to 800 CE or earlier suggest that this assessment might
 5 be extended back 1200 years or more, particularly when comparing the last 30 years that encompass the
 6 strongest recent warming (Table 5.1 and Figure 5.7a). However, some reconstructions use proxy records that
 7 may not fully resolve past variations on timescales as short as 30 years while comparison of 50-year means
 8 identifies more reconstructions for which it is ambiguous if modern temperatures were higher than those
 9 reconstructed during 800–1200 CE. Furthermore, they rely on fewer proxy records before 1200 CE, yielding
 10 less independence among the reconstructions while making them more susceptible to errors in individual
 11 proxy records, and the published uncertainty ranges do not include all sources of error (Section 5.3.5.2) and
 12 do not correspond exactly to the 30- and 50-year timescales considered in Table 5.1. Nevertheless, evidence
 13 for warmth in recent decades (Chapter 2) is more seasonally and geographically extensive than the evidence
 14 for Medieval warmth, providing medium confidence that 1961–2010 CE and 1981–2010 CE were the
 15 warmest 50- and 30-year periods in the last 1300 years.
 16

17 An increasing number of proxy records and regional reconstructions are being developed for the SH (Section
 18 5.5), but relatively few reconstructions of SH or global mean temperatures have been published (Figure 5.7b
 19 and c). These few indicate that the last decades are relatively warm for the SH and at global scales (Table
 20 5.1), but there is only limited evidence and therefore low confidence that the recent warming has exceeded
 21 the range of reconstructed temperatures over the last 4 centuries.
 22

23 **[INSERT FIGURE 5.7 HERE]**

24 **Figure 5.7:** Reconstructed (a) Northern and (b) Southern Hemisphere, and (c) global annual temperatures during the
 25 last 2000 years. Individual reconstructions (see Appendix 5.A.1 for further information about each one) are shown as
 26 indicated in the legends, grouped by colour according to their spatial representation (red: land-only all latitudes; orange:
 27 land-only extra-tropical latitudes; light blue: land and sea extra-tropical latitudes; dark blue: land and sea all latitudes)
 28 and instrumental temperatures shown in black (HadCRUT3 land and sea, and CRUTEM3 land-only). All series
 29 represent anomalies (°C) from the 1881–1980 CE mean (horizontal dashed line) and have been smoothed with a filter
 30 that reduces variations on timescales less than ~50 years.
 31
 32

33 **Table 5.1:** Comparison of recent hemispheric and global temperature estimates (reconstructions and instrumental data)
 34 with earlier reconstructed values, using published uncertainty ranges to assess likelihood of unusual warmth.

Region: Domain: Season: Study:	NH															SH			Global		
	Land and Sea				Land								Extratropics			Land			Land		
	Ann				Ann								Ann			Ann			Ann		
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	8	7	12	8	11	12
50-year	1600–1899	■	⊗	⊗	■	■	⊗	■	⊗	■	■	■	■	■	■	■	■	■	■	■	■
	1400–1899	■	⊗	⊗	⊗	■	⊗	■	⊗	■	■		■	■	■	■	■		■	■	■
	1200–1899	■	⊗	⊗	⊗	■	□	■	⊗	■	■		■	⊗	■	■	⊗		■	■	■
	1000–1899	⊗	⊗	□	⊗	⊗	□	■	□	■	⊗		⊗	⊗	⊗	■	⊗		■	■	■
	800–1899	⊗	□	□		⊗	□	■	□	■			⊗	⊗		□	⊗		■	■	■
	600–1899	⊗	□	□		⊗	□	■	□				⊗	⊗		□	⊗		■	■	■
	400–1899	⊗	□			⊗	□	⊗	□				⊗			□			■	■	■
	200–1899	⊗				⊗	□	⊗					⊗			□			■	■	■
	1–1899	⊗				⊗							⊗						■	■	■
30-year	1600–1899	■	⊗	⊗	■	■	■	■	⊗	■	■	■		■	■	■	■	■	■	■	■
	1400–1899	■	⊗	⊗	⊗	■	⊗	■	⊗	■	■		■	■		■	■		■	■	■
	1200–1899	■	⊗	⊗	⊗	■	⊗	■	⊗	■	■		■	■		■	⊗		■	■	■
	1000–1899	⊗	⊗	⊗	⊗	⊗	⊗	■	□	■	⊗		■	⊗		■	⊗		■	■	■
	800–1899	⊗	⊗	□		⊗	□	■	□	■			■			⊗	⊗		■	■	■
	600–1899	⊗	⊗	□		⊗	□	■	□				■			⊗	⊗		■	■	■

400–1899	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
200–1899	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
1–1899	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	

1 Notes:

2 Symbols indicate the likelihood (based on the published multi-decadal uncertainty ranges) that each 30- or 50-year
3 mean of the reconstructed temperature during the indicated period was colder than the warmest 30- or 50-year mean
4 after 1900. A reconstructed mean temperature X is considered to be likely (very likely) colder than a modern
5 temperature Y if $X+aE < Y$, where E is the reconstruction standard error and $a = 0.42$ (1.29).

6 indicates the reconstructed temperatures were likely colder than the 1981–2010 or 1961–2010 mean instrumental
7 temperature;

8 indicates that this is very likely, while

9 extends this to also very likely colder than the warmest 30- or 50-year mean of the post-1900 reconstruction (which
10 is typically not as warm as the end of the instrumental record);

11 means that at least one 30- or 50-year reconstructed mean is about as likely colder as warmer than the 1981–2010 or
12 1961–2010 mean instrumental temperature;

13 no symbol is given where the reconstruction does not fully cover the indicated period.

14 Further information for each study is given in Appendix 5.A, Table 5.A.4.

15 1 = Moberg et al., (2005);

16 2 = EIV_HADCRUT Mann et al., (2008);

17 3 = Mann et al., (2009);

18 4 = Ammann and Wahl (2007) with Mann et al., (1999) error estimates;

19 5 = Ljungqvist (2010);

20 6 = Loehle and McCulloch (2008);

21 7 = CPS_CRUTEM Mann et al., (2008);

22 8 = EIV_CRUTEM Mann et al., (2008);

23 9 = D'Arrigo et al., (2006);

24 10 = Juckes et al., (2007);

25 11 = Pollack and Smerdon (2004);

26 12 = Leclercq and Oerlemans (2012);

27 13 = Christiansen and Ljungqvist (2012) for 50-year timescale only;

28 14 = Hegerl et al., (2007); 15 = Frank et al., (2007).

29

30

31 5.3.5.2 Reconstruction Methods, Limitations and Uncertainties

32

33 Reconstructing NH, SH or global-mean temperature variations over the last 2000 years remains a challenge
34 due to limitations of spatial sampling, uncertainties in individual proxy records and challenges associated
35 with the statistical methods used to calibrate and integrate multi-proxy information (Frank et al., 2010a;
36 Hughes and Ammann, 2009; Jones et al., 2009). Since AR4, important new assessments of the statistical
37 methods used to reconstruct either global/hemispheric temperature averages or spatial fields of past
38 temperature anomalies have been published. The former include approaches for simple compositing and
39 scaling of local or regional proxy records into global and hemispheric averages using uniform or proxy-
40 dependent weighting (Christiansen and Ljungqvist, 2012; Hegerl et al., 2007; Juckes et al., 2007; Mann et
41 al., 2008). The latter correspond to improvements in climate field reconstruction methods (Mann et al., 2009;
42 Smerdon et al., 2011) that apply temporal and spatial relationships between instrumental and proxy records
43 to the pre-instrumental period. New developments for both reconstruction approaches include
44 implementations of Bayesian inference (Li et al., 2010a; McShane and Wyner, 2011; Tingley and Huybers,
45 2010; Werner et al., in press). In particular, Bayesian hierarchical methods enable a more explicit
46 representation of the underlying processes that relate proxy (and instrumental) records to climate, allowing a
47 more systematic treatment of the multiple uncertainties that affect the climate reconstruction process. This is
48 done by specifying simple parametric forms for the proxy-temperature relationships that are then used to
49 estimate a probability distribution of the reconstructed temperature evolution that is compatible with the
50 available data (Tingley et al., 2012).

51

52 An improved understanding of potential uncertainties and biases associated with reconstruction methods has
53 been achieved, particularly by using millennial GCM simulations as a surrogate reality in which pseudo-
54 proxy records are created and reconstruction methods are replicated and tested (Smerdon, 2012). A key
55 finding is that the methods used for many published reconstructions can underestimate the amplitude of the
56 low-frequency variability (Christiansen et al., 2009; Lee et al., 2008; Smerdon et al., 2010). The magnitude

1 of this amplitude attenuation in real-world reconstructions is uncertain but for affected methods the problem
2 will be larger: (i) for cases with weaker correlation between instrumental temperatures and proxies
3 (Christiansen et al., 2009; Lee et al., 2008; Smerdon et al., 2011); (ii) if errors in the proxy data are not
4 incorporated correctly (Ammann et al., 2010; Hegerl et al., 2007); or (iii) if the data are detrended in the
5 calibration phase (Christiansen et al., 2009; Lee et al., 2008). The 20th-century trends in proxies may contain
6 relevant temperature information (Ammann and Wahl, 2007), however calibration with detrended or
7 undetrended data has been an issue of debate (Mann et al., 2007; von Storch et al., 2006; Wahl et al., 2006)
8 because 20th century proxy trends can be induced by other (non-temperature) climate and non-climatic
9 influences (Gagen et al., 2011; Jones et al., 2009). Recent developments mitigate the loss of low-frequency
10 variance in global and hemispheric reconstructions by increasing the correlation between proxies and
11 temperature through temporal smoothing (Lee et al., 2008) or by correctly assigning part or all of the
12 temperature-proxy error to the proxy data (Hegerl et al., 2007; Juckes et al., 2007; Mann et al., 2008).
13 Pseudoproxy experiments have shown that a particular local calibration method (Christiansen, 2011;
14 Christiansen and Ljungqvist, 2012; Hegerl et al., 2007) can also avoid attenuation of low-frequency
15 variability, though it is debated whether it might instead inflate the variability and thus constitute an upper
16 bound for low-frequency variability (Moberg, 2012).

17
18 The fundamental limitations for deriving past temperature variability at global/hemispheric scales are the
19 relatively short instrumental period and the number, geographical distribution, reliability and climate signal
20 of proxy records (Jones et al., 2009). The database of high-resolution proxies has been expanded since AR4
21 (Mann et al., 2008; Neukom and Gergis, 2011; PAGES 2k Consortium, submitted; Wahl et al., 2010) but
22 data are still sparse in the tropics, SH and over the oceans (see new developments in Section 5.5). The short
23 instrumental period and the paucity of proxy data in specific regions may preclude obtaining accurate
24 estimates of the covariance of temperature and proxy records (Juckes et al., 2007), impacting the selection
25 and weighting of proxy records in global/hemispheric reconstructions (Bürger, 2007; Osborn and Briffa,
26 2007) and resulting in regional errors in climate field reconstructions (Smerdon et al., 2011)

27
28 Two further sources of uncertainty have been partially considered in the published literature. First, some
29 studies have used multiple statistical models (Mann et al., 2008) or generated ensembles of reconstructions
30 by sampling parameter space (Frank et al., 2010b), but this type of structural and parameter uncertainty
31 needs further consideration (Christiansen et al., 2009; Smerdon et al., 2011). Second, other climate and non-
32 climate influences on a proxy can lead to non-stationarity through time in proxy–temperature relationships, a
33 prominent example being the divergence between some tree-ring width and density chronologies and
34 instrumental temperatures during the last decades of the 20th century (Briffa et al., 1998). This phenomenon
35 does not affect all tree-ring records (Esper and Frank, 2009; Wilson et al., 2007) while other studies
36 (Büntgen et al., 2008; Esper et al., 2010) found no clear divergence in many hundreds of tree-ring
37 chronologies with careful selection of sites and consideration of standardization methods, calibration
38 uncertainties and instrumental data quality, indicating that divergence may be an artefact of particular
39 methods (Briffa and Melvin, 2011) rather than an inherent problem of tree-ring chronologies. In cases that do
40 show divergence, a number of factors may be responsible, such as direct temperature or drought stress on
41 trees, delayed snowmelt, changes in seasonality and reductions in solar radiation (D'Arrigo et al., 2008;
42 Lloyd and Bunn, 2007; Porter and Pisarcic, 2011). The ability of tree-ring proxies to fully respond to volcano-
43 induced cooling has recently been questioned (Mann et al., 2012), though the proposed explanation has little
44 support from either tree-ring data or tree-growth models (Anchukaitis et al., submitted; Esper et al.,
45 submitted).

46
47 The above limitations and uncertainties indicate that published error estimates likely underestimate the true
48 uncertainty of large-scale temperature reconstructions (see Section 5.3.5.1), fostering continued debate about
49 the ability of proxies to provide useful climate information (e.g., McShane and Wyner, 2011 and associating
50 comments and rejoinder). Future improvements in proxy availability, development of new proxy sources,
51 understanding of proxy-climate relationships and new statistical methods allowing for a better treatment of
52 processes will contribute to better characterized uncertainties and improved knowledge of temperature
53 variations during the last 2 ka (Hughes and Ammann, 2009; Tingley et al., 2012).

5.3.5.3 *Comparing Reconstructions and Simulations*

1 The number of GCM simulations of the last millennium has increased since AR4 (Fernández-Donado et al.,
2 submitted), complemented by the PMIP3/CMIP5 standardized experiments (Schmidt et al., 2011). The
3 simulations have used different estimates of natural and anthropogenic forcings (Appendix 5.A, Table
4 5.A.5). In particular, the PMIP3/CMIP5 simulations are driven by TSI that has smaller long term changes
5 (Section 5.2.1; Figure 5.1b): increases between the Maunder Minimum and the late 20th century $\leq 0.10\%$
6 (Schmidt et al., 2011), while previous simulations use increases between 0.23% and 0.29% (Fernández-
7 Donado et al., submitted). Simulated NH temperatures lie mostly within the uncertainties of the available
8 reconstructions during the last millennium (Figure 5.8a). While this is illustrative of the agreement between
9 GCMs and reconstructions, it is not very discriminating because models with different climate sensitivities
10 are consistent with the reconstructions when internal variability and uncertainties in the forcings and
11 reconstructions are taken into account.

12
13 Paleodata have also been assimilated into climate model experiments (see Sections 5.5 and 10.7) by either
14 nudging simulations to follow local or regional proxy-based reconstructions (Widmann et al., 2010) or by
15 selecting simulations from decade-by-decade ensembles to obtain the closest match to reconstructed climate
16 patterns (Goosse et al., 2012a). The resulting simulations are constrained by the proxy data, the external
17 forcings applied and the model dynamics and physics, and provide useful insight into the relative roles of
18 internal variability and external forcing (Goosse et al., 2012b), as well as process-based understanding of
19 mechanisms that may account for the spatial distribution of past climate anomalies (Crespin et al., 2009;
20 Palastanga et al., 2011).

21
22 Figure 5.8b-d provides additional tests of model-data agreement by compositing the temperature response to
23 a number of distinct forcing events. The models simulate a significant NH cooling in response to individual
24 volcanic events (Figure 5.8b; peaks between 0.09°C and 0.38°C depending on model) that lasts 3 to 5 years;
25 reconstructions with annual resolution also show cooling on average (0.05°C to 0.30°C). Despite the
26 overlapping ranges, it is likely that the models have a stronger response than the reconstructions because the
27 reconstructions mostly represent land temperatures, while the simulated values shown here are an average
28 over land and sea. Such differences could arise from deficiencies in the models, in the volcanic forcing
29 (omitting faster fallout of larger particles, Timmreck et al., (2009), or injection of stratospheric water vapour,
30 Joshi and Jones (2009)) or in the temperature reconstructions (see Section 5.3.5.2, but note that Brohan et al.,
31 (submitted) find that some climate models simulate greater cooling following the major 1809 and 1815
32 eruptions than is apparent in early instrumental data). Since many reconstructions do not have annual
33 resolution, similar composites (Figure 5.8c) are formed to show the response to changes in multi-decadal
34 volcanic forcings (representing clusters of eruptions). Both the simulated and reconstructed responses are
35 significant and comparable in magnitude, although simulations show a faster recovery after peak negative
36 forcing (< 5 years). Solar forcing estimated over the last millennium shows weaker variations than volcanic
37 forcing (Figure 5.8d, Section 5.2), even at multidecadal timescales. Compositing the response to these
38 weaker multi-decadal fluctuations in solar irradiance shows cooling in simulations and reconstructions of
39 NH temperature between 0.0°C and 0.2°C or 0.0°C and 0.1°C, respectively. In both cases, the cooling may
40 be partly a response to concurrent variations in volcanic forcing (green line in Figure 5.8d).

41
42 Other measures of the amplitude of NH temperature variations during the last millennium are the
43 temperature differences between the MCA and the LIA (Figures 5.8e, 5.9a–c) and between the LIA and the
44 20th century (Figures 5.8f, 5.9g–i). Despite the close correspondence between the multi-model and multi-
45 reconstruction means, the range of individual results is very wide (NH mean temperature differences from
46 less than 0.1°C to more than 0.8°C; see Chapters 9 and 10 for a comparison of reconstructed and simulated
47 variability across various frequency ranges). The warming from the LIA to the present in these simulations
48 covers a similar wide range as the reconstructed warming, with no clear difference between runs with weaker
49 or stronger solar forcing (Figure 5.8f; Section 10.7.2.2). The difference between the MCA and LIA
50 temperatures, however, has a smaller range for the model simulations than the reconstructions, and the
51 simulations with weaker solar forcing lie within the lower half of the reconstructed range of temperature
52 changes (Figure 5.8e). Recent studies (e.g., Bothe et al., submitted) have assessed the consistency of model
53 simulations at regional to global scales and (Hind and Moberg, submitted) found closer data-model
54 agreement for simulations with 0.1% TSI increase than 0.24% TSI increase, but they note that the result is
55 sensitive to the reconstruction uncertainty and the climate sensitivity of the model. Simulations with an Earth
56 system model of intermediate complexity using a much stronger solar forcing (0.44% TSI increase from

1 Maunder Minimum to present, Shapiro et al., (in press) appear to be incompatible with temperature
2 reconstructions (Feulner, 2011).

3
4 The spatial distributions of simulated and reconstructed (Ljungqvist et al., 2012; Mann et al., 2009)
5 temperature changes between the MCA, LIA and present are shown in Figure 5.9. Simulated changes tend to
6 be larger, particularly with stronger TSI forcing, over the continents and ice/snow-covered regions, showing
7 polar amplification (Box 5.2). The largest simulated and reconstructed changes are between the LIA and
8 present, and reconstructions (Figure 5.9i) indicate widespread warming except for negative anomalies in the
9 North Atlantic Ocean, south of Greenland. Models also simulate overall warming between the MCA and
10 present (Figure 5.9d,e), whereas the reconstructions indicate regional cooling (in the North Atlantic,
11 southwestern North America, and the mid-latitudes of the Pacific Ocean). This not surprising, because
12 greater regional variability is expected in the reconstructions compared with the mean of an ensemble of
13 model simulations. Reconstructed changes for such areas with few or no proxy data should also be
14 interpreted with caution (Smerdon et al., 2011). The reconstructed MCA-LIA temperature differences
15 (Figure 5.9c) indicate higher medieval temperatures over the Northern Hemisphere continents in agreement
16 with simulations (Figure 5.9a,b) under stronger TSI changes. For weaker TSI changes model results are
17 similar, but inter-model differences are more sensitive to internal variability. This pattern of change has been
18 shown in proxy assimilation experiments to be compatible with a direct response to a relatively weak solar
19 forcing and internal variability changes suggesting a positive Northern Annular Mode phase and northward
20 shift of the Kuroshio and Gulf Stream currents (Goosse et al., 2012b). For the tropical regions, an enhanced
21 zonal gradient produced by either a warmer Indian Ocean (Graham et al., 2011) or a cooler eastern Pacific
22 have been invoked as possible mechanism that could explain many of the large scale anomalies during the
23 MCA. A cooler eastern Pacific, referred to as a La Niña-like background state, is reconstructed for the MCA
24 in comparison to the LIA (Figure 5.9c), albeit not reproduced by model simulations (Figure 5.9a,b). The
25 existence of a warmer pool in the Indian ocean (Graham et al., 2011) or a La Niña-like state during the MCA
26 (Mann et al., 2009; Seager et al., 2007) is uncertain, but if confirmed could be either a response to external
27 forcing or part of a climate reorganization associated with internal variability, neither of which are
28 reproduced by the currently available simulations (Figure 5.9a,b; see Sections 5.5.1, and 5.4.1).

30 [INSERT FIGURE 5.8 HERE]

31 **Figure 5.8:** Comparisons of simulated and reconstructed NH temperature changes. (a) Changes over the last
32 millennium. (b) Response to individual volcanic events. (c) Response to multi-decadal periods of volcanic activity. (d)
33 Response to multi-decadal variations in solar activity. (e) Mean change from MCA to LIA. (f) Mean change from 20th
34 century to LIA. Note that some reconstructions represent a smaller spatial domain than the full NH or a specific season,
35 while annual temperatures for the full NH mean are shown for the simulations. (a) Simulations shown by coloured lines
36 (thick lines: multi-model-mean; thin lines: multi-model 90% range; red/blue lines: models forced by stronger/weaker
37 solar variability, though other forcings and model sensitivities also differ between the red and blue groups); overlap of
38 reconstructed temperatures shown by grey shading; all data are expressed as anomalies from their 1500–1850 CE mean
39 and smoothed. Superposed composites (time segments from selected periods positioned so that the years with peak
40 negative forcing are aligned) of the forcing and temperature response to (b) the 13 strongest individual volcanic forcing
41 events after 1400 CE (the data shown are not smoothed); (c) multi-decadal changes in volcanic activity; (d) multi-
42 decadal changes in solar irradiance. Upper panels show the volcanic or solar forcing for the individual selected periods
43 together with the composite mean (thick); in (d), the composite mean of the volcanic forcing (green) during the solar
44 composite is also shown. Lower panels show the NH temperature composite means and 90% range of spread between
45 simulations (red line, pink shading) or reconstructions (grey line and shading), with overlap indicated by darker shading.
46 Mean NH temperature difference between (e) MCA (950–1250 CE) and LIA (1400–1700 CE) and (f) 20th century
47 (1900–2000) and LIA, from reconstructions (grey), multi-reconstruction mean and range (dark grey), multi-model mean
48 and range (brown), and simulations (red/blue for models forced by stronger/weaker solar variability). Where an
49 ensemble of simulations is available from one model, the ensemble mean is shown in solid and the individual ensemble
50 members by open circles. Results are sorted into ascending order and labelled. Reconstructions, models and further
51 details are given in Appendix 5.A.1 and Appendix 5.A.2.

53 [INSERT FIGURE 5.9 HERE]

54 **Figure 5.9:** Simulated and reconstructed temperature changes for key periods in the last millennium. Annual
55 temperature differences for: (a) to (c) MCA (950–1250 CE) minus LIA (1400–1700 CE); (d) to (f) present (1950–2000
56 CE) minus MCA; (g) to (i) present minus LIA. Model temperature differences (left and middle columns) are average
57 temperature changes in the ensemble of available model simulations of the last millennium (see Appendix 5.A.2),
58 grouped into those using stronger solar forcing changes (TSI change from the LMM to present >0.23 %; left column)
59 and those weaker changes (TSI change from the LMM to present < 0.1%; middle column). Right column shows
60 differences in the Mann et al., (2009) field reconstruction, and in (c), dots represent proxy anomalies from Ljungqvist et

al., (2012) scaled by 0.5 for display purposes. Unhatched grid-cells indicate significant differences (<0.05 level) in reconstructed fields (right) or that $\geq 80\%$ of the simulations agreed in showing significant changes of the same sign (left and middle). For simulations starting after 950 CE, the period 1000–1250 was used to estimate MCA values. Grid-cells outside the domain of the Mann et al., (2009) reconstruction are shaded grey in the model panels to enable easier comparison, though contours illustrate model output over the complete global domain. Simulations have been weighted by the number of experiments used from each model: BCC-csm1-1 (1), CSM1.4 (1), CCSM3 (5), CSIRO-mk3L-1-2 (7), ECHAM5-MPIOM (8), MPI-ESM-P (1), ECHO-G (3), FGOALS-gl (1), GISS-E2-R (4), HadCM3 (2), IPSLCM4 (1).

5.4 Modes of Climate Variability

Since AR4, new proxies and model simulations have provided new insights into forced and unforced past variability of the El Niño-Southern Oscillation (ENSO), the northern annular modes (NAO/NAM), the Southern Annular Mode (SAM) and longer term variability associated with the Atlantic Multidecadal Oscillation (AMO) (see Chapter 14 for definitions and illustrations). This section is organized from low to high latitudes and from interannual to decadal-scale modes of variability.

5.4.1 Tropical Modes

Changes in the statistics of interannual variability associated with ENSO have been studied in response to changes in climatic boundary conditions and external forcings using GCMs and climate reconstructions from historical and proxy records (corals, tree rings, oxygen isotopes in trees, ice cores and marine and lake sediment cores). During warm intervals of the Pliocene, climate conditions in the equatorial Pacific were characterized by weaker zonal (Wara et al., 2005) and meridional (Steph et al., 2010) SST gradients, consistent with the absence of an eastern equatorial cold tongue. Although this state is sometimes referred to as a “permanent El Niño” (Fedorov et al., 2006), proxy records sensitive to interannual surface climate variations (Scroxton et al., 2011; Watanabe et al., 2011), supported by GCM simulations (Haywood et al., 2007) indicate with medium confidence that in spite of climate background conditions being considerably different from today’s (cf. Section 5.3.1), interannual ENSO variability continued to operate during the Pliocene.

Climate model runs for LGM boundary conditions simulate wide ranges of ENSO behaviour with little consistency (Liu et al., 2007a; Zheng et al., 2008) (Figure 5.10). ENSO sensitive proxies for the LGM are currently too sparse (Wolff et al., 2011) to help constrain the simulated ENSO responses to LGM boundary conditions. GCM experiments indicate that a weakening of the Atlantic Meridional Overturning Circulation (AMOC), such as during Heinrich stadial 1 (about 18.5 ka to 14.8 ka) or the Younger Dryas (about 12.8 ka to 11.5 ka) induces an intensification of ENSO amplitude (high confidence), in qualitative agreement with sub-annually resolved fossil coral data from Tahiti (Felis et al., 2012) and some cases a reduction of the annual cycle in the eastern equatorial Pacific (Braconnot et al., 2012a; Merkel et al., 2010; Timmermann et al., 2007) (Figure 5.10).

AR4 (Chapter 6) reported that the early to mid-Holocene likely experienced weak ENSO variability. Previous evidence for a reduction of ENSO variability during the early to mid-Holocene (9 ka to 4 ka) is, however, controversial (Carre et al., 2005; Conroy et al., 2008; Dolan et al., 2011; Donders et al., 2008; Koutavas et al., 2006; Tudhope et al., 2001; Woodroffe et al., 2003). New sub-annually resolved coral records from the central Pacific ENSO-activity center covering the last ~7 ka (Cobb et al., submitted) provide no statistically significant difference between mid- and late Holocene ENSO variance, but exhibit large ranges of natural ENSO variations. The multimodel analysis of eleven GCM experiments under mid-Holocene conditions (Figure 5.10) reveals a small 8% reduction of ENSO amplitude but a large inter-model spread of $\pm 15\%$ with respect to the present-day amplitude. GCMs run under mid-Holocene conditions simulate with medium confidence a reduction in the strength of the annual cycle of eastern equatorial Pacific SST (Figure 5.10). The reason for this change remains unresolved.

Reconstructions of ENSO for the last millennium also document multidecadal to centennial variations of the amplitude of reconstructed interannual eastern equatorial Pacific SST anomalies (Li et al., 2011; McGregor et al., 2010; Wilson et al., 2010). Statistical efforts to determine ENSO variance changes in different annually-resolved ENSO proxies reveal with medium confidence extended periods of low ENSO activity during parts of the LIA (D'Arrigo et al., 2005; Fowler et al., 2012; Hereid et al., in press; McGregor et al., 2010) compared to the 20th century. A new compilation of fossil coral data from the central equatorial

1 Pacific further confirms higher ENSO variance during the 20th century, compared to the average variance
2 during the last 7000 years (Cobb et al., submitted). Climate model simulations (Mann et al., 2005; Meehl et
3 al., 2009) and proxy records from the eastern tropical Pacific suggest a possible effect of solar irradiance
4 changes on the mean state of the tropical Pacific (Marchitto et al., 2010) (see also Sections 5.3.5, 5.5.10 and
5 Figure 5.9). However, the reconstructed multidecadal variance changes of interannual ENSO variability are
6 fully consistent with the notion of internal variability (McGregor et al., 2010; Russon et al., submitted) and
7 are uncorrelated with variations in TSI. According to reconstructions of volcanic events (Section 5.2.1.3) and
8 some ENSO proxies, a slightly increased probability exists (medium confidence) in the occurrence of El
9 Niño events in the 2 years following major volcanic eruptions (Adams et al., 2003; McGregor et al., 2010;
10 Wilson et al., 2010). Simplified intermediate complexity ENSO models are able to reproduce this behaviour
11 (Emile-Geay et al., 2008; Mann et al., 2005), whereas GCM experiments show a more complex response
12 with an initial cooling and a subsequent warming due to the recharge of heat into the equatorial thermocline
13 (McGregor and Timmermann, 2010).

14 5.4.2 Extratropical Modes

15
16 LGM and Mid-Holocene northern annular modes were investigated in modelling studies. Robust evidence
17 from climate model simulations under LGM conditions indicates a weakening of the Northern Annular Mode
18 (NAM) variability, connected with stronger planetary wave activity (Lü et al., 2010). A significant but
19 model-dependent distortion of the simulated LGM North Atlantic Oscillation (NAO) pattern may result from
20 the strong topographic ice-sheet forcing (Handorf et al., 2009; Justino and Peltier, 2005; Pausata et al.,
21 2009). A multimodel analysis of NAO behaviour during the mid-Holocene (6 ka) simulations (Gladstone et
22 al., 2005) reveals an NAO mode, similar to its pre-industrial state, with a more positive NAO during the
23 early Holocene (Rimbu et al., 2003) but no consistent change in its interannual variability. Robust proxy
24 evidence to test these model-based results has not yet been established. Since AR4 only a few new cold
25 season NAO reconstructions have been published. They are based on long instrumental pressure series
26 (Cornes et al., 2012), a combination of instrumental and ship log-book data (Küttel et al., 2010) and proxy
27 archives (Trouet et al., 2009). Whereas these and earlier NAO reconstructions (Cook et al., 2002;
28 Luterbacher et al., 2002; Timm et al., 2004) differ in several aspects, they demonstrate with high confidence
29 (taking into consideration associated reconstruction uncertainties) that the strong positive NAO phases
30 within the early 20th century and the mid-1990s are not unusual in the context of the past half millennium.
31 (Trouet et al., 2009) presented a winter NAO reconstruction that yielded a persistent positive phase during
32 the MCA in contrast to higher frequency variability during the LIA. (Trouet et al., 2012) postulated that this
33 MCA/LIA difference might be related to a weakening of the AMOC and a transition to more negative NAO
34 conditions or through a shift to increased storminess over the North Atlantic linked to increased mid-latitude
35 cyclogenesis. However, a recent pseudo-proxy-based assessment of low-frequency NAO behaviour (Lehner
36 et al., 2012) infers weaknesses in the reconstruction method used by (Trouet et al., 2009). TSI-forced last
37 millennium GCM simulations reveal no significant response of the NAO to solar forcing (Yiou et al., 2012),
38 except for the GISS-ER coupled model which includes ozone photochemistry, extends into the middle
39 atmosphere and exhibits a stronger NAO during MCA compared to the LIA (Mann et al., 2009).

40
41
42 Changes in the Southern Annular Mode (SAM) modulate the strength and position of the mean Southern
43 Hemispheric westerlies (see Section 5.4.2.3), and leave an important signature on SH surface climate (Gillett
44 et al., 2006). A first hemispheric-wide mid-latitude tree-ring based austral summer SAM reconstruction
45 (Villalba et al., submitted) indicates with medium confidence that the 20th century trend in the strength of
46 Southern Hemisphere westerlies may have been anomalous in the context of the last 600 years, thus
47 supporting earlier South American proxy evidence (Holz and Veblen, 2011; Lara et al., 2008; Urrutia et al.,
48 2011) and GCM (Wilmes et al., 2012) studies.

49
50 Large-scale SST variations are observed in the North Atlantic Ocean on multidecadal timescales. Most
51 prominent is the basin-wide variation of the AMO, marked by alternation of warm and cold SST anomalies
52 in the North Atlantic with a timescale of about 60–80 years (Delworth and Mann, 2000; Knight et al., 2005)
53 (see also chapter 9,14). Due to the wide range of impacts outside the North Atlantic region, both marine
54 (Black et al., 2007; Kilbourne et al., 2008; Saenger et al., 2009; Sicre et al., 2008) and terrestrial proxy
55 archives (Gray et al., 2004; Shanahan et al., 2009) from different locations have been used to reconstruct the
56 past evolution of the AMO. Whereas most of these records show a good correspondence with the
57 instrumental data during the industrial period, there still exist uncertainties in the reconstructions prior to

1 1900 CE (Winter et al., 2011). Using 8000-year long proxy records, (Knudsen et al., 2011) concluded that
2 the AMO is unrelated to TSI changes and can be explained in terms of internally generated ocean-
3 atmosphere variability. However, GCM experiments (Ottera et al., 2010; Waple et al., 2002) using solar
4 and/or volcanic forcing reconstructions indicate that external forcings may have played a role in driving or at
5 least pacemaking AMO variations.

6 7 **[INSERT FIGURE 5.10 HERE]**

8 **Figure 5.10:** Relative changes in amplitude of the annual cycle of SST in Niño 3 region (average over 5°S–5°N and
9 150°W–90°W) (left) and changes in amplitude of interannual SST anomalies in the Niño 3.4 region (average over 5°S–
10 5°N and 170°W–120°W) (right) simulated by an ensemble of climate model experiments in response to external forcing.
11 Left: Multi-model average of relative changes (%) in amplitude of the mean seasonal cycle of Niño 3 SST for mid-
12 Holocene and LGM time-slice experiments and for freshwater perturbation experiments (Hosing) that lead to a
13 weakening of the AMOC by more than 50%. Bars encompass the 25 and 75 percentiles, with the red horizontal lines
14 indicating the median in the respective multi-model ensemble, red crosses are values in the upper and lower quartile of
15 the distribution; Right: same as left, but for the SST anomalies in the Niño 3.4 region, representing ENSO variability.
16 The MH and LGM experiments were coordinated by the Paleoclimate Modelling Intercomparison Project Phase II
17 (PMIP2) or III (PMIP3). The MH ensemble includes 4 experiments (6k) performed by models participating in PMIP2
18 (FGOALS1.0g, IPSL-CM4, MIROC3.2 medres, CCSM3.0) and 7 experiments (mid-Holocene) performed by models
19 participating in PMIP3 (CCSM4.0, CSIRO-Mk3-6-0, HadGEM2-CC, HadGEM2-ES, MIROC-ESM, MPI-ESM-P,
20 MRI-CGCM3). The LGM ensemble includes 5 experiments (21 ka) performed by models participating in PMIP2
21 (FGOALS1.0g, IPSL-CM4, MIROC3.2 medres, CCSM3.0, HadCM3) and 5 experiments (LGM) performed by models
22 participating in PMIP3 (CCSM4, GISS-E2-R, IPSL-CM5A-LR, MIROC-ESM, and MPI-ESM-P). The changes in
23 response to MH and LGM forcing are computed with respect the preindustrial control simulations coordinated by
24 PMIP2 (0k experiment) and PMIP3 (piControl experiment). The results for Hosing are obtained from freshwater
25 perturbation experiments conducted with CCSM2.0, CCSM3.0, HadCM3, ECHAM5-MPIOM, GFDL-CM2.1
26 (Timmermann et al., 2007), CSM1.4 (Bozbiyik et al., 2011) for pre-industrial or present-day conditions and with
27 CCSM3 for glacial conditions (Merkel et al., 2010). The changes in response to fresh water forcing are computed with
28 respect the portion of simulations when the AMOC is high.

29 30 **5.5 Regional Changes during the Holocene**

31
32 Emphasis is placed on regional changes that have emerged since AR4 from proxy records and climate
33 simulations, including the global consistency of regional changes and their comparison with instrumental
34 records.

35 36 **5.5.1 Temperature**

37 38 **5.5.1.1 Northern Hemisphere Mid-to-High Latitudes**

39
40 New studies confirm the surface-air temperature and SST distribution summarised in AR4 (Jansen et al.,
41 2007). Most of the long-term Holocene climate trends were very likely influenced by orbital forcing orbital
42 forcing (Section 5.2.1.1). Spatial variability in the temperature anomalies and the timing of the thermal
43 maximum implicate indirect, atmospheric or oceanic circulation mediated responses as well as downwind
44 effect from remaining ice sheets (e.g., Bartlein et al., 2011; Leduc et al., 2010; Renssen et al., 2012; Wanner
45 et al., 2008). Model simulations and proxy data suggest that the highest early-to-mid Holocene North
46 Atlantic and sub-Arctic SSTs were restricted to the stratified uppermost surface ocean layer, below which
47 early Holocene temperatures were lower than late Holocene (Andersson et al., 2010; Hald et al., 2007).

48
49 Terrestrial early-to-mid Holocene summer-season temperatures warmer than today are ubiquitous in the mid
50 to high latitude (Central and Northern Europe, Northern Asia and Northern North America), consistent with
51 a direct response to local summer insolation, and there is also robust evidence for warmer mid-Holocene
52 winters compared to the late 20th century (e.g., Bartlein et al., 2011; Wanner et al., 2008) (Figure 5.11). The
53 PMIP2 and CMIP5/PMIP3 simulations for the mid-Holocene (6 ka) share similar large-scale seasonal
54 changes (Figure 5.11), with significant summer warming compared to mid-20th century but large winter
55 inter-model spread (Bartlein et al., 2011; Braconnot et al., 2007; Harrison et al., submitted). There is a
56 general NH cooling after ca. 5 ka (Kobashi et al., 2011; Vinther et al., 2009; Wanner et al., 2008) and
57 increased amplitude of millennial scale variability (Risebrobakken et al., 2011). The regional patterns of the
58 Holocene SST cooling trend are well-simulated for the North Atlantic and western North Pacific oceans, but
59 models generally show weaker Holocene SST trends than alkenone SST records (Lohmann et al., submitted).

1
2 This general cooling trend, consistent with orbital forcing, has been documented in the Arctic and
3 Scandinavia over the last two millennia, until it was interrupted by the 20th century warming, (Esper et al.,
4 2012b; Kaufman et al., 2009; Spielhagen et al., 2011). Marine proxy records of the last millennium indicate
5 warm SSTs north of Iceland and the Norwegian Sea from 900 CE to 1400 CE, followed by a generally
6 colder period that ended in the early 20th century. Modern SSTs may still be cooler than the warmest
7 intervals of the 900–1400 CE period (Cunningham et al., submitted). Further north, in Fram Strait, modern
8 SSTs of the advected Atlantic Water appear warmer than those reconstructed for any prior period of the last
9 2000 years (Spielhagen et al., 2011). Bonnet et al., (2010) analyzed dinocysts rather than foraminifera in the
10 same sediment core (the two proxies reflect different water masses) and identified a cooling trend over the
11 last 2000 years without a 20th century rise, and intervals at 100 CE and 600 CE warmer than during
12 Medieval times. During medieval times (centred around 1000 CE), portions of the Arctic and sub-Arctic
13 experienced periods warmer than any subsequent period except the last 50 years (Kaufman et al., 2009;
14 Kobashi et al., 2010; Kobashi et al., 2011; Spielhagen et al., 2011; Vinther et al., 2010).

15
16 Focusing now on the last millennia, progress has been made to reconstruct surface air temperatures for
17 different regions (Figure 5.12). For North America tree-ring data and lake sediment varve thickness records
18 from the North American treeline (Alaska and northern Canada; McKay et al., 2008; Bird et al., 2009;
19 D'Arrigo et al., 2009; Anchukaitis et al., 2012) suggest broad-scale common centennial and multidecadal
20 climate variability during the last several centuries. Reconstructions document warming beginning in the late
21 19th century, an early 20th century period of warmer temperatures and the highest estimated temperature at
22 the end of the 20th century. Summer mean temperatures reconstructed from lacustrine pollen records in
23 Wisconsin lakes (Wahl et al., 2012) suggest a relatively warm period during ca. 1200–1500 CE which is not
24 in phase with higher latitudes. The generally colder conditions until 1900 CE are in broad agreement with
25 other pollen, tree ring and lake sediment evidence from northwest Canada, Canadian Rockies and Colorado
26 (Loso, 2009; Luckman and Wilson, 2005; MacDonald et al., 2009; Salzer and Kipfmüller, 2005; Thomas
27 and Briner, 2009). Pollen records (Viau et al., 2012) indicate that North American warmest month
28 temperatures during 650–1050 CE and 1550–1850 CE were colder than the last decades especially over the
29 eastern portions and boreal regions of the continent and likely also across the continent.

30 31 **[INSERT FIGURE 5.11 HERE]**

32 **Figure 5.11:** Model-data comparison of surface temperature anomalies for the mid-Holocene (6 ka). MTCO is the mean
33 temperature of the coldest month; MTWA is the mean temperature of the warmest month. Top panels are pollen-based
34 reconstructions of Bartlein et al., (2011). Middle panels are corresponding surface temperature anomalies simulated by
35 the PMIP2 and PMIP3 models. Bottom panels contain boxplots for reconstructions (grey), for model ensembles (orange)
36 and for the individual models interpolated to the locations of the reconstructions. The boxes are drawn using the 25th,
37 50th and 75th percentiles (bottom, middle and top of the box, respectively), and whiskers extend to the 5th and 95th
38 percentiles of data or model results within each area. The northern extratropics are defined as 30–90°N and the tropics as
39 30°S–30°N. Thirty-three models are assessed, PMIP2 OA: CCSM3, CSIRO-Mk3L-1.0, CSIRO-Mk3L-1.1,
40 ECBILTCLIOVECODE, ECHAM5-MPIOM1, FGOALS-1.0g, FOAM, GISSmodelE, IPSL-CM4-V1-MR, MIROC3.2,
41 MRI-CGCM2.3.4fa, MRI-CGCM2.3.4nfa, UBRIS-HadCM3M2; PMIP2 OAV: ECBILTCLIOVECODE, ECHAM5-
42 MPIOM127-LPJ, FOAM, MRI-CGCM2.3.4fa, MRI-CGCM2.3.4nfa, UBRIS-HadCM3M2; CMIP5/PMIP3 OA:
43 CCSM4, CNRM-CM5, CSIRO-Mk3-6-0, EC-EARTH-2-2, FGOALS-s2, FGOALS-g2, MPI-ESM-P, MRI-CGCM3,
44 UNSW; CMIP5/PMIP3 BCC-CSM1.1, HadGEM2-CC, HadGEM2-ES, IPSL-CM5A-LR, MIROC-ESM.

45
46 Since AR4, several new temperature reconstructions have been produced for different parts of Europe. Tree
47 ring-based summer temperatures reconstructions from different locations in northern Fennoscandia (Büntgen
48 et al., 2011a; Esper et al., 2012a; Esper et al., 2012b; Helama et al., 2010; Linderholm et al., 2010;
49 McCarroll et al., submitted; Melvin et al., accepted) reveal periods of alternating cooler and warmer summer
50 temperatures over the last one-to-two millennia. The comparatively data-rich period around 1000 to 1100 CE
51 in northern Fennoscandia indicates similar levels of summer warmth compared to those observed during the
52 mid and late 20th century. Warmth is also indicated in Roman times (around the 1st century) but comparison
53 with the present climate is uncertain due to limitations of tree-ring data to capture long-term temperature
54 trends (Esper et al., 2012a).

55
56 In the Alpine region, millennium long tree ring records (Büntgen et al., 2006; Büntgen et al., 2005; Büntgen
57 et al., 2011a; Corona et al., 2010; Corona et al., 2011; Nicolussi et al., 2009) and summer temperatures
58 derived from chironomids from lake sediments (Larocque-Tobler et al., 2010; Larocque-Tobler et al., 2012;

1 Trachsel et al., 2012). (Millet et al., 2009) points to relatively warm conditions centred around 1000 CE and
2 1200 CE, though lower than the most recent decades (high confidence level). Büntgen et al., (2011a) and
3 Stewart et al., (2011a) extended summer temperature reconstructions from different parts of the Alps back
4 for 2500 years. They found multiple examples during Roman and earlier times as warm (or warmer) than
5 most of the 20th century.

6
7 Reconstructions of European mean summer temperature for the last two millennia (PAGES 2k Consortium,
8 submitted) identify a warm period centred around 1000 CE. Generally cooler summer conditions prevailed
9 from the 13th to the 19th century with short relatively warm periods during the 15th and 18th centuries
10 (Guiot et al., 2010). The decade 2001 to 2010 CE in European summer temperature stands substantially
11 above any other 10 year summer period covering the past 2000 years (PAGES 2k Consortium, submitted)
12 and the hot summers of 2003 CE and 2010 CE were likely the warmest for more than half a millennium
13 (Barriopedro et al., 2011). Annual mean temperatures in Central Europe since 1988 were very likely the
14 warmest since 1500 CE according to historical documentary evidence and long instrumental records
15 (Dobrovolný et al., 2010).

16
17 Temperature reconstructions from Asian tree-ring records provide evidence for various regions (Western
18 Himalayas, Tibetan Plateau, Tianshan Mountains and western High Asia) with warm conditions from the
19 11th to the 15th century, lower temperature afterwards and warming within the 20th century in the Western
20 Himalaya (Esper et al., 2007a; Yadav et al., 2011; Zhang et al., 2009; Zhu et al., 2008). Late 20th century
21 warmth in parts of China is likely unprecedented compared to the last 500 years; prior to this, 1000-year to
22 2000-year long cold and warm season temperature reconstructions (based on documentary evidence and
23 natural proxies) consistently show warm conditions during the MCA but it is not clear whether they exceed
24 modern temperatures because of the large uncertainties (Ge et al., 2006; Ge et al., 2010; Holmes et al., 2009;
25 Wang et al., 2007; Yang et al., 2009; Zhang et al., 2009). However, the period between 900 CE and 1150 CE
26 are characterized by low winter and summer mean temperature in the Aral Sea basin (Central Asia) based on
27 high-resolution pollen analyses from sediment cores (Sorrel et al., 2007). In northeast China, an alkenone-
28 based reconstruction indicated that the growing season temperature was about 1°C during the period 1260–
29 1300 CE compared with the 20th century (Chu et al., 2011).

30
31 An unresolved topic is the decadal to centennial variability of the Atlantic meridional overturning circulation
32 variability and its climate impacts. Surface Atlantic meridional overturning circulation dynamics likely
33 amplified the relatively warm conditions during the MCA and the cooler conditions during the LIA within
34 the North Atlantic sector (Wanamaker et al., 2012).

35 [INSERT FIGURE 5.12 HERE]

36
37 **Figure 5.12:** Regional temperature reconstructions and simulations over the past millennium (1001–1999 CE).
38 Temperature anomalies are calculated with respect to the 1961–1990 CE reference period, for annual (ANN) or selected
39 seasons (December to February [DJF], June to August [JJA] or September to February [SONDJF]) in regions indicated
40 in the lower right panel. Reconstructions (PAGES 2k Consortium, submitted, thick black line) and simulated (coloured
41 lines) temperature anomalies are for land only, with the exception of the Arctic and Australasian regions which combine
42 land and ocean. Grey shading indicates uncertainty ranges of reconstructions, as defined for each region (PAGES 2k
43 Consortium, submitted). Model results from Last Millennium simulations are displayed using dashed lines for pre-
44 PMIP3 simulations and solid lines for CMIP5-PMIP3 simulations. Blue/green colours indicate simulations using low
45 solar forcing (see Appendix 5.A, Table 5.A.5) and red/yellow indicate simulations using strong solar forcing (Appendix
46 5.A, Table 5.A.5). All model time series are smoothed with a 30-year moving average filter. Models used: Pre-PMIP3:
47 ECHO-G (Gonzalez-Rouco et al., 2006), CCSM (Ammann et al., 2007), IPSL (Servonnat et al., 2010), CCSM-Bern
48 (Hofer et al., 2011), ECHAM5/MPIOM (E1, E2) (Jungclaus et al., 2010), and CNRM (Swingedouw et al., 2011).
49 CMIP5-PMIP3: CCSM4 (Landrum et al., submitted), GISS-E2-R (Schmidt et al., 2006), HadCM3 (Schurer et al.,
50 submitted), CSIRO-Mk3L-1-2 (Phipps et al., 2011), MPI-ESM-P (Raddatz et al., 2007), and BCC-CSM1-1 (Wu et al.,
51 submitted).

52 5.5.1.2 Tropics

53
54 This section focuses first on paleoceanographic records of SST trends, and on modelling results for mid-
55 Holocene climate changes.
56
57

1 Holocene tropical SST trends are regionally heterogeneous and variable in magnitude. Alkenone records
2 from the eastern tropical Pacific, western tropical Atlantic, and the Indonesian archipelago document a
3 warming trend of $\sim 0.5^{\circ}\text{C}$ to 2°C from the early Holocene to present (Leduc et al., 2010), consistent with
4 local insolation. In contrast, regional trends of planktonic foraminiferal Mg/Ca records are heterogeneous,
5 and imply smaller magnitude SST changes (Leduc et al., 2010; Schneider et al., 2010). In the Indo-Pacific
6 warm-pool region, different magnitudes of cooling trends are depicted by foraminiferal Mg/Ca records from
7 species inhabiting the surface mixed layer (Linsley et al., 2010; Stott et al., 2004) or from thermocline-
8 dwelling species. In summary, the tropical oceans are marked by a regional and variable response in surface
9 temperatures over the Holocene.

10
11 The PMIP2 and CMIP5/PMIP3 simulations for the mid-Holocene simulate summer cooling and shorter
12 growing season in the tropical monsoon regions of Africa and southeast Asia (Harrison et al., submitted)
13 (Figure 5.11), attributed to increased cloudiness. In contrast, for the entire tropics (30°S to 30°N), both
14 simulations and reconstructions show generally higher warm-season temperatures and lower cold-season
15 temperatures than for the mid-20th century. Terrestrial reconstructions are strongly biased toward Africa and
16 to some extent to southeast Asia (Figure 5.11), with no reconstructions available in the form of syntheses
17 from South America and Australia. Data availability thus limits assessment of model performance for the
18 latter regions.

19 20 5.5.1.3 Southern Hemisphere Mid to High Latitudes

21
22 In the high latitude Southern Ocean, Holocene SST trends follow the decrease in austral summer duration,
23 with a cooling trend from the Early Holocene (Kaiser et al., 2008; Shevenell et al., 2011). As in the Northern
24 Hemisphere near surface temperature variations strengthened after 5–4 ka with emergence of high-
25 amplitude, millennial-to-centennial scale variations, particularly between 5 ka and 4 ka, possibly due to
26 variations in the position and strength of the westerlies (Euler and Ninnemann, 2010; Moros et al., 2009;
27 Shevenell et al., 2011). Indices for the position of Southern Ocean fronts and the strength and position of the
28 westerlies diverge (Moros et al., 2009; e.g., Shevenell et al., 2011). For the mid-to-late Holocene, climate
29 models of different complexity consistently show a poleward shift and intensification of the Southern
30 Hemisphere westerlies in response to orbital forcing (Varma et al., 2012). However, magnitude, spatial
31 pattern and seasonal response vary significantly among the models.

32
33 The Holocene land surface temperature history is difficult to assess. Individual reconstructions generally
34 track the trends registered by Antarctic ice-core records through the glacial termination into the Holocene
35 (Clark et al., 2012), and show smaller seasonal temperature anomalies for the mid Holocene than in the
36 north.

37
38 Since AR4, syntheses of high-resolution records for the last 2000 years have been completed (Neukom and
39 Gergis, 2011). Reconstructions include tree ring records from the subtropical and central Andes, northern
40 and southern Patagonia, Tierra del Fuego, New Zealand and Tasmania (Boninsegna et al., 2009; Cook et al.,
41 2006; Villalba et al., 2009), ice cores, lake sediments and documentary evidence from southern South
42 America (Neukom et al., 2011; Prieto and García Herrera, 2009; Tierney et al., 2010; Vimeux et al., 2009;
43 von Gunten et al., 2009), terrestrial and shallow marine geological records from eastern Antarctica (Verleyen
44 et al., 2011), ice cores from East Antarctica (Goosse et al., accepted), boreholes from western Antarctica
45 (Orsi et al., 2012) and coral records from the Indian and Pacific Oceans (Linsley et al., 2008; Lough, 2011;
46 Zinke et al., 2009).

47
48 A multi-proxy reconstruction for southern South America (Neukom et al., 2011) displays austral summer
49 temperatures between 900 CE and 1350 CE that are, with medium confidence, warmer than the 20th century
50 climatology. A 1000-year temperature reconstruction for land and ocean representing Australasia provides
51 evidence that summer temperatures in the post-1950 CE period were warmest in the last ~ 580 years (PAGES
52 2k Consortium, submitted). Prior to this, the reconstruction is based on only three or fewer proxy series and
53 less confident conclusions can be supported.

54 55 5.5.1.4 Patterns of MCA-LIA Changes

1 Multiple lines of evidence suggest that the MCA was not characterized by a pattern of higher temperatures
2 that were consistent across seasons and regions. Changes in the persistence or frequency of climate modes
3 (Section 5.4) can generate climate reorganizations that would explain temperature anomalies over the past
4 millennium. Specifically, changes in medieval climate has been argued to be a result of shifts in the
5 dynamics of the Indo-Pacific and Atlantic basins. Several studies (Diaz et al., 2011; Graham et al., 2011;
6 Graham et al., 2007; Mann et al., 2009; Seager et al., 2008) support medieval cooling related to a La Niña-
7 like background state in the eastern equatorial Pacific (Sections 5.3.5.3 and 5.4.1, Figure 5.9) in combination
8 with a positive mode of the AMO/NAO in the North Atlantic (Oglesby et al., 2012; Trouet et al., 2009, see
9 Section 5.4.2). These changes have been made responsible for warm MCA summers and drought in North
10 America (Seager et al., 2007; Wahl et al., 2012, Oglesby et al., 2012). Temperature anomalies in the Indo-
11 Pacific regions have also been found to be consistent with SST proxy records (Oppo et al., 2009) and
12 hydrological evidence from lakes in tropical East Africa (Tierney et al., 2010; Tierney et al., submitted).
13 Enhanced NAO through SST changes (Graham et al., 2011) have also been argued to contribute to medieval
14 warmer conditions in NW Europe (Trouet et al., 2009) and are consistent with model assimilation
15 experiments (Goosse et al., 2012b).

16 5.5.2 *Sea Ice*

17
18 Since AR4 several new Holocene sea ice reconstructions for the Arctic and sub-Arctic have been published,
19 resolving multi-decadal to century scale variability. Proxies of sea ice extent have been further developed
20 from biomarkers in deep sea sediments (e.g., IP25, Belt et al., 2007; Müller et al., 2011) and from sea ice
21 biota preserved in sediments (e.g., Justwan and Koç, 2008). Indirect information on sea ice conditions based
22 on drift wood and beach erosion has also been compiled (Funder et al., 2011). In general, these sea ice
23 reconstructions parallel regional SST, yet they display spatial heterogeneity, and differences between the
24 methods, making it difficult to provide quantitative estimates of past sea ice extent.

25
26 Summer sea ice cover apparently was reduced compared to late 20th century levels both in the Arctic Ocean
27 and along East Greenland between 8 ka and 6.5 ka (e.g., Funder et al., 2011; Moros et al., 2006; Polyak et
28 al., 2010). The response of this sea ice cover to summer insolation warming was shown to be central for
29 explaining the reconstructed warmer winter temperatures over the adjacent land (Otto et al., 2009; Zhang et
30 al., 2010). During the last 6 kyr available records show a long-term trend of a more extensive Arctic sea ice
31 cover driven by the orbital forcing, but punctuated by strong century- to millennial scale variability. Several
32 sea ice proxies indicate relatively reduced sea ice cover 800 CE to 1200 CE in line with temperature proxies,
33 with an increased sea ice cover in the LIA (see overview in Polyak et al., 2010). Proxy reconstructions also
34 document the 20th century ice loss trend, which is also observed in historical sea ice data sets (Divine and
35 Dick, 2006), with a decline since the late 19th century. There is medium confidence that the current ice loss
36 and increasing SSTs in the Arctic are anomalous in the perspective of at least the last two millennia (England
37 et al., 2008; Kaufman et al., 2009; Kinnard et al., 2008; Kinnard et al., 2011; Macias Fauria et al., 2010;
38 Polyakov et al., 2010; Spielhagen et al., 2011).

39
40 Fewer high resolution records exist from the Southern Ocean. Data from the Indian Ocean sector of the
41 Southern Ocean document an increasing sea ice trend during the Holocene, following the local orbital
42 forcing, with a rather abrupt increase between 5 ka and 4 ka (Denis et al., 2010).

43 5.5.3 *Glaciers*

44
45 Reconstructions of glacier length variations provide important independent paleoclimatic information and
46 place recent changes in cryosphere and climate into a longer context. Due to the timescale of glacier front
47 responses, high frequency mass balance perturbations are filtered by glaciers and their length variations
48 resolve only decadal to centennial scale climate variability. The key factors governing extra-tropical glacier
49 growth and shrinkage are summer temperature and winter/annual precipitation (see Chapter 4). Glaciers in
50 regions with high seasonal accumulation (e.g., Iceland, coastal Norway, New Zealand) and those located in
51 the monsoon regions are particularly sensitive to the precipitation factor. Since AR4 new data have improved
52 the accuracy of many regional Holocene glacial chronologies (e.g., Davis et al., 2009). Various techniques
53 have been extensively applied to date moraines and have significantly improved many chronologies of
54 glacier variations (Anderson et al., 2008; Hughes et al., 2012; Joerin et al., 2008; Jomelli et al., 2009;
55 Licciardi et al., 2009; Menounos et al., 2009; Schaefer et al., 2009; Wiles et al., 2011; Yang et al., 2008).

1 Studies of sediments from glacier-fed lakes and marine deposits have allowed continuous reconstructions of
2 glacier fluctuations (Bertrand et al., 2012; Bowerman and Clark, 2011; Briner et al., 2010; Larsen et al.,
3 2011; Matthews and Dresser, 2008; Russell et al., 2009; Vasskog et al., 2012). New reconstructions of the
4 history of ice-shelves and ice sheets/caps have also emerged (Antoniades et al., 2011; Hodgson, 2011;
5 Kirshner et al., 2012; Simms et al., 2011; Smith et al., 2011).

6
7 New data confirm the general Holocene increase of glacier extent in the NH and its decrease in the SH over
8 the Holocene period, consistent with the orbital derived trends of summer insolation and temperatures (see
9 Section 5.5.1.1) in both hemispheres (Davis et al., 2009). Some exceptions exist, however, e.g., in the eastern
10 Himalayas, where glaciers were most extensive in the early Holocene (Gayer et al., 2006; Seong et al.,
11 2009). Model results (Rupper et al., 2009) indicate that this might be an effect of a decrease in summer
12 temperatures as a dynamical response to orbital forcing. Due to remaining dating uncertainties,
13 incompleteness and heterogeneity of most existing glacial chronologies it is difficult to compare glacier
14 variations between regions at centennial and shorter time scales (Heyman et al., 2011; Kirkbride and
15 Winkler, 2012). There are thus no conclusive results regarding potential interhemispheric synchronicity of
16 submillennial scale glacier fluctuations during the Holocene (Jomelli et al., 2009; Licciardi et al., 2009;
17 Schaefer et al., 2009; Wanner et al., 2011; Wanner et al., 2008; Winkler and Matthews, 2010). Documented
18 asynchrony between Europe and New Zealand is likely a result of regional climate control rather than
19 interhemispheric mechanisms (Putnam et al., in press).

20
21 Glacial chronologies for the last 2 kyr are better constrained by dating. The multi-centennial variability of
22 glaciers in this period was suggested to be linked with variations in solar activity (Holzhauser et al., 2005;
23 Wiles et al., 2008), volcanic forcing (Anderson et al., 2008), changes in North Atlantic circulation
24 (Linderholm and Jansson, 2007; Marzeion and Nesje, 2012; Nesje, 2009).

25
26 Consistent with global warming, glaciers are currently retreating globally (Chapter 4) releasing organic
27 remains and artefacts from previous warm periods with reduced glacier size (Agatova et al., 2012; Joerin et
28 al., 2008; Nesje et al., 2011; Öberg and Kullman, 2011). There is high confidence that glaciers at times have
29 been smaller than at present in several regions (the Alps, Scandinavia, North America, Altay in Central
30 Asia), but the precise glacier extent in the previous warm periods is often difficult to assess. Although
31 currently retreating, glaciers are still larger now than in previous Holocene warm periods in most regions,
32 especially compared to the Early to Mid Holocene in the Northern Hemisphere. Most of these earlier minima
33 can be attributed to high summer insolation in the Early to Mid Holocene (see Section 5.5.1.1). Altogether,
34 the available data indicate a more heterogeneous and complex glacier response during the MCA than the
35 uniform global glacier recession observed at present (Chapter 4). Some glaciers were smaller in the MCA
36 than in the early 21st Century (western Antarctic Peninsula (Hall et al., 2010); southern Swiss Alps
37 (Scapozza et al., 2010), however, prominent advances occurred within the MCA, at 1050 and 1150 CE, in
38 the Alps (Holzhauser et al., 2005), Patagonia (Luckman and Villalba, 2001), New Zealand (Schaefer et al.,
39 2009) and SE Tibet (Yang et al., 2008). Glaciers in western North America were similar in size in the MCA
40 compared to the peak of the LIA. These advances were likely forced by increased winter precipitation due to
41 prolonged La Niña-like conditions (Koch and Clague, 2011).

42
43 The available information indicates with medium confidence that the global recession of glacier length is
44 unusual in the context of the last 2 kyr. This is consistent with reconstructed temperature anomalies (Sections
45 5.3.5 and 5.5.1.1).

46 47 **5.5.4 Monsoon Systems and Convergence Zones**

48
49 Since AR4, higher resolution hydroclimate reconstructions using speleothems (Asmerom et al., 2010;
50 Berkelhammer et al., 2010; Hu et al., 2008; Kanner et al., 2012; Sinha et al., 2007; Strikis et al., 2011; Wang
51 et al., 2008), lake sediments (Shanahan et al., 2009; Stager et al., 2009; Wolff et al., 2011), marine sediments
52 (Ponton et al., 2012; Tjallingii et al., 2008; Weldeab et al., 2006; Weldeab et al., 2007) and tree ring
53 chronologies (Buckley et al., 2010; Cook et al., 2010b) have provided a more comprehensive view on the
54 dynamics of monsoon systems on a variety of timescales. From water isotope enabled modelling
55 experiments (e.g., Lewis et al., 2010; Pausata et al., 2011a) and evaluation of marine and terrestrial data
56 (Clemens et al., 2010), East Asian monsoon speleothem $\delta^{18}\text{O}$ is suggested to be affected by large-scale
57 moisture transport in addition to local precipitation.

1
2 This subsection focuses on internal and externally-driven variability of monsoon systems during the last
3 millennium. The dynamics of abrupt monsoon changes associated with Dansgaard–Oeschger and Heinrich
4 events (Figure 5.4 b, e, h) are further assessed in Section 5.7.1. Orbital-scale monsoon (Figure 5.4a, d, g)
5 changes are evaluated in Section 5.3.2

6
7 On multi-decadal-to-centennial timescales, influences of North Atlantic multidecadal SST variations have
8 been demonstrated for North and South African monsoon, Indian and East Asia summer monsoons (see
9 Figure 5.4c), both using paleo-climate reconstructions (Feng and Hu, 2008; Shanahan et al., 2009) and
10 climate model experiments (Lu et al., 2006; Luo et al., 2011; Wang et al., 2009; Zhang and Delworth, 2006).
11 Some GCM simulations of the last millennium (Liu et al., 2009a) suggest that solar variability and volcanic
12 forcing (Fan et al., 2009) may exert weak regional influences on monsoon systems (Figure 5.4f,i).
13 Hydrological proxy data characterizing the intensity of the East Asian monsoon (South American monsoon)
14 show increased (decreased) hydrological activity during the MCA as compared to the LIA (medium
15 confidence) (Figure 5.4f,i), in accordance with proxy evidences suggesting a North Atlantic warming and a
16 northward progression of the Atlantic Intertropical Convergence Zone (ITCZ) (Bird et al., 2011; Vuille et al.,
17 2012; Zhang et al., 2008). These shifts were accompanied by the changing occurrence of megadroughts (high
18 confidence) in parts of monsoon Asia (Buckley et al., 2010; Cook et al., 2010b) (Figure 5.13). A five-
19 member multi-model ensemble mean of CMIP5/PMIP3 Last Millennium simulations exhibits decreased
20 (increased) standardized monsoon rainfall accompanying periods of reduced shortwave radiative forcing in
21 the East Asian (South American) monsoon regions (Figure 5.4). There is however a considerable inter-model
22 spread in the simulated annual mean precipitation response to solar forcing with the multi-model mean
23 explaining on average only $\sim 25 \pm 15\%$ (1 standard deviation) of the variance of the individual model
24 simulations. Lake sediment evidence from coastal eastern Africa suggests dry conditions in the latter MCA,
25 a wet LIA, and return toward dry conditions in the 18th or early 19th century (Stager et al., 2005; Tierney et
26 al., 2011; Verschuren et al., 2000; Verschuren et al., 2009; Wolff et al., 2011), qualitatively similar to the
27 South American monsoon proxies in Figure 5.4i, whereas some inland and southern African lakes suggest
28 dry spells during the LIA (Anchukaitis and Tierney, submitted; Garcin et al., 2007). An assessment of the
29 response of monsoon systems to volcanic forcing using paleo-proxy data has revealed wetter conditions over
30 Southeast Asia in the year of a major volcanic eruption and drier conditions in central Asia (Anchukaitis et
31 al., 2010), in contrast to experiments with climate models (Brovkin et al., 2008; Fan et al., 2009; Oman et al.,
32 2005; Schneider et al., 2009).

33
34 Extended intervals of monsoon failures and dry spells have been reconstructed for the last few millennia for
35 West Africa (Shanahan et al., 2009; Wolff et al., 2011), northern Africa (Esper et al., 2007b; Touchan et al.,
36 2008; Touchan et al., 2011), India and southeastern Asia (Berkelhammer et al., 2010; Buckley et al., 2010;
37 Cook et al., 2010b; Zhang et al., 2008) and Australia (Mohtadi et al., 2011). Proxy data document that, as
38 part of unforced natural variability, monsoon failures in some regions, such as the Sahel (Shanahan et al.,
39 2009) can last for several decades, causing droughts that were much more extensive than those during the
40 20th century.

41 42 **5.5.5 Megadroughts and Floods**

43
44 Paleo-drought reconstructions provide estimates of the frequency, duration and severity of past dry periods.
45 Megadroughts are typically whose cumulative severity or duration exceed observed drought, typically on
46 decadal or multidecadal time scales (e.g., Herweijer et al., 2007; Seager et al., 2008; Stine, 1994; Woodhouse
47 and Overpeck, 1998). As an example, Figure 5.13 presents drought (Palmer Drought Severity Index)
48 occurrence, the duration, severity, and their frequency during the last millennium from North America using
49 tree ring information (Cook et al., 2010a; Cook et al., 2010b). Longer and more severe droughts than today
50 are seen in particularly during the MCA (Cook et al., 2010b; Meko et al., 2007). The period from the MCA
51 to the LIA appears to coincide in North America with a shift towards overall wetter conditions in the middle
52 of the 14th century (Chen et al., 2010; Cook et al., 2010a; Cook et al., 2010b). In contrast with evidence
53 from the desert southwest US, new reconstructions from lake sediment $\delta^{18}\text{O}$ from the Pacific North West
54 indicate exceptional wetness during the MCA and extended drought during the LIA (Steinman et al., 2012).
55 Those opposite patterns are consistent with impacts of the El Niño Southern Oscillation (ENSO) and the
56 Pacific Decadal Oscillation (PDO). Multiple lines of proxy evidence from tree-rings, lake sediments, and
57 speleothems indicate that megadroughts are a feature of North American hydroclimate throughout the

1 Holocene (e.g., Asmerom et al., 2007; Ault et al., submitted; Cook et al., 2010a; Cook et al., 2010b; Newby
2 et al., 2011; Oswald and Foster, 2011; Routson et al., 2011; Shuman et al., 2010; Shuman et al., 2009;
3 Stambaugh et al., 2011; Woodhouse et al., 2010).

4
5 In Scandinavia, new tree-ring based reconstructions show a multi-centennial drought phase during medieval
6 time (900–1350 CE). New tree ring reconstructions from southern-central (Wilson et al., 2012) and
7 southeastern British Isles (Cooper et al., 2012) do not find multi-centennial drought during the medieval
8 period, rather alternating multi-decade-long dry and wet periods. Wilson et al., (2012) reconstructed drier
9 conditions between ~1300 CE and the early 16th century. Büntgen et al., (2011b) identified exceptionally
10 dry conditions in central Europe from 200–350 CE and between 400 and 600 CE. Numerous tree-ring
11 records from the eastern Mediterranean testify to the regular occurrence of late Holocene droughts there
12 (e.g., Akkemik et al., 2008; Luterbacher et al., 2012; Nicault et al., 2008). In northern Africa, Esper et al.,
13 (2007b) and Touchan et al (2008; 2011) show severe drought events through the last millennium, particularly
14 prior to 1300 CE, in the 1400s CE, between 1700 and 1900 CE, and in the most recent proxy and
15 instrumental data. The wide spread medieval drought occurred also in synchrony with equatorial East Africa
16 (Russell and Johnson, 2005; Russell and Johnson, 2007; Stager et al., 2005; Tierney et al., submitted;
17 Verschuren et al., 2000). Chase et al., (2010) provides evidence for a dry late Holocene in southern Africa,
18 but with generally drier conditions during the LIA compared to the MCA (900–1300 CE), potentially related
19 to solar forcing.

20
21 Since AR4 an increasing number of proxies from multiple archives as well as documentary sources provide
22 additional evidence for past drought in South America (Boninsegna et al., 2009; Prieto and García Herrera,
23 2009; Villalba et al., 2009). Using multiple proxies from southern South America, Boucher et al., (2011)
24 inferred wetter conditions from 1000 CE to 1250 CE, followed by much drier conditions until 1400 CE and
25 wetter conditions similar to present afterwards. For the South American Altiplano Morales et al., (2012)
26 found drier conditions in the 14th, 16th, and 18th centuries, as well as a modern drying trend.

27 **[INSERT FIGURE 5.13 HERE]**

28 **Figure 5.13:** Severity, duration, and frequency of droughts in the Monsoon Asia (Cook et al., 2010b) and North
29 American (Cook et al., 2004) Drought Atlases. The box in a) and d) indicates the region over which the tree ring
30 reconstructed Palmer Drought Severity Index (PDSI) values have been averaged to form the regional mean time series
31 shown in c) and f), respectively. Solid black lines in c) and f) are a 9 year Gaussian smooth on the annual data shown by
32 the red and blue bars. The covariance of drought (PDSI < 0) duration and cumulative severity for each region is shown
33 in panels b) and e) by the red circles (corresponding to the left x-axis), along with the respective marginal frequency
34 histograms for each quantity. Not shown in b) is an outlier with an apparent duration of 24 years, corresponding to the
35 'Strange Parallels' drought identified in Cook et al., (2010b). Return intervals for droughts of given durations (shown as
36 connected lines and their error bars and corresponding to the right x-axes in the same panels) are estimated as the mean
37 interval between their occurrence, with the minimum and maximum reconstructed intervals indicated. No error bars are
38 present if there is only a single observation of a drought of that duration. The period of analysis is restricted by the
39 availability of tree-ring data to the period 1300 CE to 1989 CE for Monsoon Asia, following Cook et al., (2010), and
40 from 800 CE to 1978 CE for North America, following Cook et al., (2004).

41
42
43 The SREX report (IPCC, 2012) concludes on the lack of a direct statistical link between anthropogenic
44 climate change and trends in the magnitude/frequency of instrumentally recorded floods. Insight on past and
45 current flood behaviour is provided from sedimentary, botanical and historical records (Baker, 2008; Brázdil
46 et al., 2006; Brázdil et al., 2012). During the Late Holocene, flood records reveal strong decadal to secular
47 variability and non-stationarity in flood frequency and preferential clustering of paleofloods which varied
48 among regions (Benito et al., 2008; Ely et al., 1993; Glaser et al., 2010; Knox, 2000; Macklin et al., 2006;
49 Piccarreta et al., 2011; Stewart et al., 2011b). Recent flooding frequencies are difficult to evaluate due to
50 extensive river regulation (dams, dikes) and land-use changes. However current extreme rainfall conditions
51 have been conducive to large floods despite of engineering works.

52
53 In mid-to-high latitude regions, the comparison of flood magnitudes along centennial records need to
54 consider the role of snow melt and ice jams during cold periods. In southern Norway, high flood frequency at
55 about 2300, 1200 and 200 years ago occurred in relation with the amount of solid winter precipitation and
56 related spring/summer snowmelt (Støren et al., 2012). In parts of Europe, the contemporaneous flood
57 magnitudes are not unusual within the context of the last 1000 years (e.g., Benito et al., 2011; Brázdil et al.,
58 2012; Enzel et al., 1993; Greenbaum et al., 2000; Herget and Meurs, 2010; Mudelsee et al., 2003). The

1 highest peak flows in the modern instrumental record were exceeded by reconstructed flows during older
2 historical periods for the rivers Rhine (Herget and Meurs, 2010; Wetter et al., 2011), Vltava (Brázdil et al.,
3 2005), Tiber (Calenda et al., 2005), Llobregat (Thorndycraft and Benito, 2006), and Gardon (Sheffer et al.,
4 2008).

5
6 Recent extreme floodings in the British Isles are not exceptional within the last centuries (Macdonald, 2007)
7 (Figure 5.14i), and over millennia record floods tend to be clustered during wet periods such as 1100–1300
8 CE (Macklin et al., 2006). In Central Europe, long records of the Elbe and the Oder/Odra rivers showed a
9 decrease in winter floods during the last 80 to 150 years, while summer floods indicate no significant trend
10 (Mudelsee et al., 2003); Figure 5.14f-i). In the Alps, paleoflood records derived from lake sediments showed
11 a higher flood frequency during cool and/or wet phases (Giguet-Covex et al., 2012; Stewart et al., 2011a;
12 Wilhelm et al., 2012), a feature also found in Central Europe (Starkel et al., 2006). Documentary records
13 from the Rhine in Basel (Switzerland) indicate that severe summer floods were particularly frequent between
14 the mid-17th to the mid-18th century whereas extreme winter floods have not occurred since the late 19th
15 century despite a significant increase in winter precipitation (Wetter et al., 2011).

16
17 In the Mediterranean region, increased flood frequency may have coincided either with relatively cool and/or
18 wet climate conditions or during warm/dry periods; e.g., 4.8–4.4 ka, 960–790 CE (Benito et al., 2008;
19 Luterbacher et al., 2012; Machado et al., 2011; Piccarreta et al., 2011; Thorndycraft and Benito, 2006)
20 (Figure 5.14a-d). In the western Mediterranean region, the frequency of large floods (>50 year return period)
21 has decreased since the late 19th century, whereas the extraordinary and ordinary floods increased over the
22 20th century (Figure 5.14a-c). There is high confidence that this decrease in frequency of large floods has
23 also occurred in other rivers of central and north-western Europe (Figure 5.14f-i). Paleoflood records from
24 Israel indicate an increase of large floods during wetter periods from 750CE to 1100 CE and after 1940 CE
25 (Greenbaum et al., 2000; Greenbaum et al., 2006).

26
27 The severity of 20th century floods in eastern China was similar to earlier times. Since the mid-17th century,
28 a trend towards larger floodings is reported (Zheng et al., 2006). For the Yellow River, Weihe and Qishuihe
29 rivers, extraordinary paleoflood events occurred synchronously with severe droughts and dust falls
30 coinciding with a monsoonal shift, the latest episode occurred at 3.1–3 ka (Huang et al., 2012; Zha et al.,
31 2009). In contrast, in India, flood frequencies since 1950 CE are the largest for the last several hundred years
32 for 8 rivers, interpreted as a strengthening of the monsoon conditions from the LIA (Kale, 2008).

33
34 In western North America, the increased frequency of high-magnitude palaeofloods coincide with periods of
35 cool, wet climate, whereas warm intervals including the MCA, corresponded with significant decreases in
36 the number of large floods (Ely et al., 1993). In this region, a positive relationship between the paleofloods
37 and long-term variations in the frequency of El Niño events is evident at least over the last millennium (Ely,
38 1997). In the Great Plains of North America (at Black Hills of Southern Dakota) the frequency of large
39 floods increases significantly around 850 CE with magnitudes roughly 2–3 times larger than those of the
40 1972 flood (Harden et al., 2011).

41
42 In the winter rainfall zone of southern Africa, the frequency of large floods decreased during warmer
43 conditions (e.g., from 1425 CE to 1600 CE and after 1925 CE) and increased during wetter, colder
44 conditions (Benito et al., 2011). Long-term paleoflood records of southern Africa indicate that the second
45 half of the 20th century witnessed a reduction in the frequency of large floods coincident with a gradual
46 warming and decreasing rainfall (Benito et al., 2011).

47
48 In conclusion, there is high confidence that the main driver for past flood frequency and magnitude
49 variability is natural climate fluctuations. In general, paleoflood discharges larger than those recorded during
50 the 20th century are documented, although in some regions (e.g., Near East, India, central North America)
51 modern large floods are comparable or surpass in magnitude and/or frequency historical floods.

52 [INSERT FIGURE 5.14 HERE]

53
54 **Figure 5.14:** Flood frequency from palaeofloods, historical and instrumental records in selected European rivers.
55 Depicted is the number of floods that exceeded a particular discharge threshold or flood level over periods of 20-years
56 (bidecadal). Flood categories include large-catastrophic floods (CAT) associated with high flood discharge or severe
57 damages, and extraordinary floods (EXT) causing inundation of the floodplain with moderate to minor damages.
58 Legend at each panel indicates for each category the period of record in years, number of flood over the period, and the

1 average occurrence interval (in years). A: Tagus River combined paleoflood, historical and instrumental flood records
2 from Aranjuez and Toledo with thresholds of 100–400 m³ s⁻¹ (EXT) and >400 m³ s⁻¹ (CAT) (data from (Benito et al.,
3 2003; Benito et al., 2003b). B: Segura River Basin (SE Spain) documentary and instrumental records at Murcia
4 (Barriendos and Rodrigo, 2006; Machado et al., 2011). C: Gardon River combined discharges from paleofloods at La
5 Baume (Sheffer et al., 2008), documented floods (since the 15th century and historical and daily water stage readings at
6 Anduze (1741–2005; (Neppel et al., 2010). Discharge thresholds referred to Anduze are 1000–3000 m³ s⁻¹ (EXT),
7 >3000 m³ s⁻¹ (CAT). At least five floods larger than the 2002-flood (the largest in the gauged record) occurred in the
8 period AD 1400–1800 (Sheffer et al., 2008). D: Tiber River floods in Rome from observed historical stages (since AD
9 1100; (Calenda et al., 2005; Camuffo and Enzi, 1996) and continuous stage readings (1870 to present) at the Ripetta
10 landing (Calenda et al., 2005). Discharge thresholds set at 2300–2900 m³ s⁻¹ (EXT) and >2900 m³ s⁻¹ (CAT; >17 m stage
11 at Ripetta). Recent flooding is difficult to evaluate in context due to river regulation structures. F: Vltava River
12 combined documentary and instrumental flood record at Prague (Brázdil et al., 2005) discharge thresholds: CAT, flood
13 index 2 and 3 or discharge >2900 m³ s⁻¹; EXT flood index 1 or discharge 2000–2900 m³ s⁻¹. G: Elbe River combined
14 documentary and instrumental flood record (Mudelsee et al., 2003). Classes refer to Mudelsee et al., (2003) strong
15 (EXT) and exceptionally strong (CAT) flooding. H: Oder River combined documentary and instrumental flood record
16 (Mudelsee et al., 2003). I: River Ouse at York combined documentary and instrumental flood record (Macdonald and
17 Black, 2010). Discharge thresholds for large floods was set at 500 m³ s⁻¹ (CAT) and for ordinary floods at 350–500 m³
18 s⁻¹ (EXT). The map shows the location of rivers used in the flood frequency plots.

20 5.6 Past Changes in Sea Level

21
22 This section discusses evidence for global mean sea level (GMSL) change from selected periods that are
23 relevant for understanding the responsible processes during interglaciations or that are pertinent for testing
24 climate and ice sheet models under different forcing conditions. The MPWP is selected for intervals of
25 higher than present sea levels (Section 5.6.1), warmer temperatures (Section 5.3.1) and atmospheric CO₂
26 likely between pre-industrial and current levels (Section 5.2.2.2). Of the recent interglaciations with evidence
27 for higher than present sea level, the last interglacial period, or LIG (MIS 5e) is most important because it
28 has the best-preserved observational record against which sea level proxy indicators can be calibrated
29 (Section 5.6.2) but we also discuss briefly the long MIS11 interglaciation (Section 5.6.2.5). For testing
30 Glacio-Isostatic Adjustment (GIA) models, we discuss briefly the principal characteristics of Termination I
31 including Melt Water Pulse-1A (Section 5.6.3). For the Holocene, the emphasis is on the last 6000 years
32 when ice volumes stabilized near present-day values, providing the baseline for the discussion of
33 anthropogenic contributions.

35 5.6.1 Mid-Pliocene

36
37 Estimates of peak sea levels during the MPWP based on a variety of geological records are consistent in
38 suggesting higher-than-present sea levels, but they range widely (5–40 m) (Miller et al., 2012), and are each
39 subject to large uncertainties. For example, coastal records (shorelines, continental margin sequences) are
40 influenced by GIA (Raymo et al., 2011) and global mantle dynamic processes (Moucha et al., 2008; Müller
41 et al., 2008). Both signals are large (5–30 m) and uncertain. To date their influence on Pliocene sea level
42 estimates has not been robustly determined.

43
44 Benthic δ¹⁸O records are better dated than many coastal records and provide a continuous time series, but the
45 δ¹⁸O signal reflects ice volume and temperature, with possible contribution from regional hydrographic
46 variability. During the mid-Pliocene warm interval, the 0.1–0.25‰ anomaly recorded in the LR04 benthic δ
47 ¹⁸O stack (Lisiecki and Raymo, 2005) would translate into ~12–31 m higher than present sea level, if it
48 reflected only ice volume, or could be explained entirely by warmer deep-water temperatures (Dowsett et al.,
49 2009) (See Appendix 5.A.3). Accounting for temperature and ice sheet components, existing studies
50 conclude a higher-than-present sea level during mid-Pliocene warm periods with large uncertainties (±10 m)
51 (Dwyer and Chandler, 2009; Miller et al., 2012; Naish and Wilson, 2009; Sosdian and Rosenthal, 2009).

52
53 Direct NH geological evidence based on the first appearance of ice-rafted debris across the entire North
54 Atlantic indicates that continental ice sheets in North America and Eurasia did not develop until ~2.7 Ma
55 (Kleiven et al., 2002), suggesting that earlier, mid-Pliocene high sea levels were only associated with loss
56 from the Greenland ice sheet (GIS), the WAIS and EAIS. The sedimentary record indicates that the WAIS
57 retreated periodically on orbital timescales during the warmest interglacial periods between 3.3 and 2.6 Ma
58 (Naish et al., 2009a). Ross Sea SST are reconstructed to range from 2°C to 8°C (McKay et al., 2012), with
59 values >5°C between 4.6–3.0 Ma being, according to one ice-sheet model, above the stability threshold for

1 ice shelves and marine portions of the WAIS and EAIS (Pollard and DeConto, 2009) (see also Section
2 5.8.1). A synthesis of the geological evidence from the coastal regions of the Transantarctic Mountains and
3 Prydz Bay also supports coastal thinning and retreat of the EAIS between ~5–2.7 Ma (Barrett, in press). Ice-
4 sheet models forced by Pliocene reconstructed climate variability are consistent in suggesting near-complete
5 deglaciation of GIS (+7 m) and WAIS (+4 m) (Hill et al., 2010; Lunt et al., 2008; Pollard and DeConto,
6 2009), and one model identifies an orbitally driven response of the EAIS (+3 m) (Dolan et al., 2011),
7 altogether corresponding to a sea level rise increase of 4 to 14 m.

8
9 In summary, there are multiple lines of evidence that global mean sea level during MPWP was higher than
10 today, but low agreement on how high it reached. The most robust lines of evidence come from proximal
11 sedimentary records that suggest periodic deglaciation of the WAIS (Naish et al., 2009a) and from ice-sheet
12 models that suggest near-complete deglaciation of GIS, WAIS and some contribution from the EAIS. We
13 have therefore medium confidence that sea level was 10 ± 10 m above present during the warmest periods of
14 the Pliocene, with an uncertainty that brackets the range of most estimates from various methods. We have
15 *low confidence* that sea level was more than 20 m above present.

16 5.6.2 Past Interglaciations

17
18 Records of past sea level can be found both in deep-sea cores foraminiferal $\delta^{18}\text{O}$ records and emergent
19 shorelines. Particularly important issues with regard to the LIG are (i) its start and duration; (ii) the
20 magnitude of its maximum rise; and (iii) possible variability within the interval. Deep-sea cores can
21 constrain (ii) and possibly (iii). Emergent shorelines on tectonically active coasts can constrain (i) and (iii)
22 and possibly (ii) if vertical tectonic rates are independently known; shorelines on tectonically stable coasts
23 can constrain (i), (ii) and (iii). Here we emphasize the record from emergent shorelines that can be dated
24 directly.

25 5.6.2.1 Start and Duration of the LIG

26
27 The timing of interglaciations (Appendix 5.A.3) is important for understanding lags between climate
28 variations and the sea level response. Independent estimates of the start and duration of the LIG come from
29 emergent coral-bearing reef terraces where ages from U-series consistent with an isotopically closed-system
30 history have been generated. Appendix 5.A.3 provides an assessment of the underlying uncertainties. On
31 tectonically stable islands of the western Atlantic Ocean, these LIG age ranges are: Bermuda, ~125–113 ka
32 (Muhs et al., 2002a); Florida Keys, ~123–114 ka (Muhs et al., 2011); and Bahamas, ~129–115 ka
33 (Thompson et al., 2011). From tectonically stable localities in the Pacific and Indian Oceans, the age ranges
34 are: Australia, 127–116 ka (Stirling et al., 1998; Stirling et al., 1995); and Seychelles, ~129–123 ka
35 (Israelson and Wohlfarth, 1999). On tectonically (or volcanically) rising coasts worldwide, the age ranges
36 are: Barbados, 136–115 ka (Gallup et al., 2002; Speed and Cheng, 2004); Curaçao, ~126–118 ka (Muhs et
37 al., 2012); Hawaii, 127–113 ka (Muhs et al., 2002a); New Guinea, ~136–116 ka (Stein et al., 1993);
38 California and Baja California, ~123–114 ka (Muhs et al., 2002b).

39 5.6.2.2 Magnitude of LIG Sea Level Rise

40
41 Estimates of the magnitude of LIG sea level rise are preferably made from localities on tectonically stable
42 coasts unless uplift rates can be independently determined or realistic error models can be placed on the
43 uncertainties of these rates. Only two tectonically stable far-field areas have been studied, Australia and the
44 Seychelles islands. At these sites, in contrast to sites near the former ice margins, the isostatic signals are less
45 sensitive to the choice of parameters defining the Earth rheology and the ice sheets of both glaciations
46 (Lambeck et al., 2012). On the west coast of Australia, the highest LIG reef elevations are at +3.5 m and
47 Dutton and Lambeck (2012) inferred a probable apparent paleo-sea level of +4.0 m relative to present. In the
48 Seychelles, LIG coral reefs occur from 0 m to 6 m, but also possibly as high as ~9 m (Israelson and
49 Wohlfarth, 1999 and references therein). Dutton and Lambeck (2012) examined outcrops on the Seychelles
50 and estimated that water depths were only slightly higher than the maximum elevations of the corals
51 themselves.

52
53 For the Caribbean and North Atlantic regions, the isostatic effects can be more important as well as more
54 dependent on the choice of Earth- and ice-model parameters. Along the Yucatan coast of Mexico, LIG corals

1 with *Acropora palmata* occur as high as +6 m; this species lives only within the upper ~5 m of the water
2 column. In the intermediate-field localities of the Bahamas and the Florida Keys, reefs occur as high as ~3 m
3 (Bahamas) and ~5.3 m (Florida Keys); in both localities, water depths were likely at least ~3 m (Muhs et al.,
4 2011; Thompson et al., 2011). If so, these observations imply LIG sea level at least as high as +6 m
5 (Bahamas) and possibly as high as +8.3 m (Florida Keys). In the near field, the island of Bermuda has LIG
6 coral-bearing marine conglomerates (Muhs et al., 2002a) that occur at elevations as low as ~1 m and as high
7 as ~6 m (Harmon et al., 1983). All these estimates are of relative sea level, made without consideration of
8 GIA effects.

9
10 Since AR4, two approaches have addressed GIA effects in order to infer LIG sea level from observations of
11 coastal sites. Kopp et al., (2009) obtained a probabilistic estimate of GMSL based on a large and
12 geographically broadly distributed database of LIG sea level indicators (Figure 5.15). Their analysis
13 accounted for GIA effects as well as uncertainties in geochronology, the interpretation of sea level
14 indicators, and regional tectonic uplift and subsidence. They concluded that GMSL was very likely +6.6 m
15 (95% probability) and likely +8.0 m (67% probability), relative to present, and that it is unlikely to have
16 exceeded +9.4 m (33% probability). The other approach, taken by Dutton and Lambeck (2012), used data
17 from far-field sites that are tectonically stable. Their LIG estimate of +5.5 m to +9 m is consistent with the
18 probabilistic estimates made by Kopp et al., (2009). We thus conclude that there is high confidence that
19 during the LIG, GMSL was more than 6 m higher but less than 10 m above present-day level.

20 21 5.6.2.3 Evidence for LIG Sea Level Variability

22
23 There is medium confidence that local LIG sea levels experienced a metre-scale fluctuation sometime
24 between 126 ka and 120 ka (Hearty et al., 2007; Kopp et al., 2009; Rohling et al., 2008a; Thompson and
25 Goldstein, 2005; Thompson et al., 2011). Regional sea level variability and uncertainties in sea level proxies
26 and their ages, however, cause considerable differences in the timing and amplitude of the reported
27 fluctuation. Blanchon et al., (2009) inferred that there were two episodes of reef building on the Yucatan
28 coast, one early in the LIG at a relative sea level of +3 m, followed by a later episode at +6 m. Chen et al.,
29 (1991) and Thompson et al., (2011) reported evidence for two episodes of reef building during the LIG in the
30 Bahamas. Thompson et al., (2011) inferred a +4 m relative sea level at ~123 ka, followed by a fall to near
31 present, and finally a rise to +6 m at ~119 ka. The higher estimate at the end of the interval may reflect GIA
32 effects that result in a rise in relative sea level at these locations (Dutton and Lambeck, 2012). On the
33 tectonically rising Huon Peninsula of New Guinea, there is an inference of two LIG reefs (VIIa and VIIb)
34 with a lowering of sea level between the times of their formation, but there is currently no consensus about
35 this interpretation (Stein et al., 1993). Schellmann and Radtke (2004) suggested that as many as three reef
36 terraces formed during the LIG on Barbados but this is a tectonically uplifting island and the terraces may be
37 the result of co-seismic displacement.

38
39 Based on a $\delta^{18}\text{O}$ record from the Red Sea, Rohling et al., (2008a) estimated LIG sea level peaks up to 10 m
40 (± 3 m at 1 standard deviation) above present, around a long-term mean of +6 m, with rates of rise estimated
41 between 1.1 m per century and 2.6 m per century. However, the Red-Sea chronology was based on a short
42 LIG duration of 124–119 ka, after Thompson and Goldstein (2005). The longer LIG duration of 130–116 ka
43 indicated by the coral data (Section 5.6.2.1) reduces these rates of rise to 0.4–0.9 m per century, indicating
44 low confidence in these estimates of interglacial sea level variability.

45
46 In their probabilistic assessment of LIG sea level, Kopp et al., (2009) concluded that, during the time interval
47 over which GMSL was greater than –10 m, the 1000-year average rate of GMSL rise very likely exceeded
48 5.6 m kyr^{-1} (95% probability), likely exceeded 7.4 m kyr^{-1} (67% probability), and was unlikely to have
49 exceeded 9.2 m kyr^{-1} . They noted that faster rates lasting less than a millennium could not be ruled out by
50 their data. The most rapid change occurs during the early part of the LIG (Figure 5.15) when sea level may
51 still be responding to the previous deglaciation. For the time interval in which GMSL was above present
52 GMSL the maximum rate of GMSL rise very likely exceeded 2.0 m kyr^{-1} (95% probability), likely exceeded
53 4.1 m kyr^{-1} (67% probability), and was unlikely to have exceeded 5.8 m kyr^{-1} (33% probability). By having
54 integrated a large number of observations within a probabilistic framework, these latter estimates from Kopp
55 et al., (2009) provide the most robust evidence currently available for sea level oscillations during the LIG.

56
57 **[INSERT FIGURE 5.15 HERE]**

Figure 5.15: Sea level during the Last Interglaciation. (a) Proxy-derived estimate of global mean sea level anomaly (Kopp et al., (2009). Median (red line) and 16th and 84th percentiles (orange lines). Due to scale, only the 84th percentile is displayed prior to 130 ka. (b) Predicted sea levels for selected sites in the Caribbean and North Atlantic on the assumption that ice volumes during the interval from 129–116 ka are equal to those of today (Lambeck et al., 2012), displaying the spatial variability that can be expected across the region due to isostatic effects. The reference ice-volume model for the LIG interval (shaded), Earth rheology and ice sheet parameters are based on rebound analyses from different regions spanning the interval from Marine Isotope Stage 6 to the present (c.f. Lambeck et al., 2006). These predictions are strongly dependent on the ice load over North America, both, before and after the interglacial period, as well as on mantle rheology. Observations from these sites provide more information on ice histories than on global sea level function. (c) Same as (b) but for different sites along the Western Australia coast. The dependence on details of the ice sheet and on Earth-model parameters is less important at these sites than for those in (b). Thus data from these locations, assuming tectonic stability, is more appropriate for estimating LIG sea level. (d) Local LIG relative sea level reconstructions from Western Australia based on coral elevations (red) that pass diagenetic screening (Dutton and Lambeck, 2012). All results are for *in-situ* samples. Age error bars correspond to 2 standard deviation uncertainties. All elevations have been normalised to the upper growth limit of corals corresponding to mean low water spring or mean low sea level. The blue line indicates the simplest interpretation of local sea level consistent with reef stratigraphy and should be considered as lower limits by an amount indicated by the blue upper limit error bars. The differences from (a) in the timing of the start and end of the interglacial interval, as well as in the timing of the lowstand in the middle of the interval may be a consequence of different assumptions used in deriving the model ages. (e) The Western Australian reconstructed evidence (blue) from (d) compared with the model-predicted result (red) as in (c) for a reference site midway between the northern and southern most localities. The difference between the reconstructed and predicted functions provides an estimate of the global mean sea level (green). Uncertainties in this estimate include the observational uncertainties from (d) and the model uncertainties. Reference ice-volume model (shaded) as in (c). (f) Contribution of ice sheets to sea level change derived from transient ice sheet model simulations for the Greenland ice sheet (red, a: Quiquet et al., submitted; blue, b: Helsen et al., submitted; green, c: Abe-Ouchi et al., 2007 and Greve et al., 2011; pink, d: Robinson et al., 2011; light green, e: Stone et al., submitted, (a)–(e) correspond to the labels in Figure 5.16) and for the Antarctic ice sheet (black, f: Pollard and DeConto, 2009). (g) Central Greenland surface-air temperature anomalies for summer (June–August, JJA) used for ice sheet simulations displayed in panel (f) and in Figure 5.16. Anomalies in all panels are calculated relative to present.

5.6.2.4 Implications for Ice Sheet Loss during the LIG

The principal sources for the additional LIG meltwater are the GIS, WAIS and the low elevation, marine-based margins of the EAIS. Contributions from mountain glaciers are likely to have been small, an upper limit being $\sim 0.6 \pm 0.1$ m if all present-day mountain glaciers melted (Radic and Hock, 2011). Likewise, ocean thermal expansion contributions are also likely to have been small, 0.4 ± 0.3 m (McKay et al., 2011). Sedimentological evidence indicates that southern Greenland was not ice-free during the LIG (Colville et al., 2011). Since AR4, the evidence for LIG ice layers in Greenland ice cores, which was ambiguous from Dye 3 and unequivocal from Summit and NGRIP ice cores (Masson-Delmotte et al., 2010a; North Greenland Ice Core Project members, 2004), has been strengthened. Data from the new NEEM ice core (Dahl Jensen et al., submitted) point to above present-day elevation at the beginning of the last interglacial period, followed by a decrease of 7 cm yr^{-1} and reaching 130 m below present day level at 122 ka. This new constraint can be combined with results from ice sheet models (Figure 5.16), showing different responses in southern and northeast Greenland. Ice sheet model simulations with contributions $> \sim 2$ m cannot be reconciled with the NEEM and other ice core data. It is unlikely that the GIS has contributed more than ~ 2 m to the LIG high stand (Dahl-Jensen et al., submitted) (medium confidence), implying a significant contribution from the WAIS and/or EAIS.

Geological and biological evidence for the WAIS margins during the LIG is equivocal due to inadequate age control, but it does imply that open water conditions existed in the Ross Sea and the Siple Coast at some time in the last 800,000 years (McKay et al., 2011; Scherer et al., 1998; Vaughan et al., 2011). A potential upper-limit estimate based on the volume of ice that sits below present day sea level, and is thus susceptible to marine ice sheet instabilities as melt-rates at marine grounding-lines increase, is ~ 7 – 8 m sea level equivalent (Pollard & DeConto, 2009), with 3.3 m from the WAIS (Bamber et al., 2009), and potentially several metres from the EAIS (2.3 m from the Amery ice shelf sector, and up to 4.7 m from the Trans-Antarctic Mountains bottleneck, Pingree et al., 2011). Ackert et al., (2011) dated glacial erratics and ice-cored moraines across the Ohio Mountain Range and concluded that the elevation of the EAIS within this region was similar during the LIG and today. Sensitivity tests where the WAIS was removed were conducted with one atmospheric model, showing warming in East Antarctica consistent with ice core estimates (Holden et al., 2010b), and with GIA models (Bradley et al., 2012), showing no detectable elevation signal in East Antarctic ice cores. Due to the

1 lack of observations or simulations, no assessment of the contribution of the EAIS to LIG sea level can be
2 performed.

4 **[INSERT FIGURE 5.16 HERE]**

5 **Figure 5.16:** Simulated Greenland ice-sheet elevation at the LIG in transient (a–e) and constant-forcing experiments (f).
6 (a) GRISLI ice-sheet model with transient climate forcing derived from IPSL simulations and paleoclimate
7 reconstructions (Quiquet et al., 2012). (b) ANICE ice-sheet model asynchronously two-way coupled with the regional
8 climate model RACMO2 forced with ECHO-G simulated climate from 130–115ka (Helsen et al., submitted). (c) IcIES
9 ice-sheet model with transient climate forcing from 135–115 ka from MIROC GCM (Abe-Ouchi et al., 2007; Greve et
10 al., 2011). (d) “Best-guess” simulation from ensemble runs with the SICOPOLIS ice-sheet model driven by transient
11 LIG climate simulations downscaled from CLIMBER2 with the regional model REMBO (Robinson et al., 2011). (e)
12 “Best guess” simulation from ensemble simulations with the Glimmer ice-sheet model forced with transient climate
13 forcing from 135–120 ka with HadCM3 (Stone et al., submitted). (f) SICOPOLIS ice model forced with a constant
14 Eemian climate simulation of IPSL (at 126 ka), running for 6000 years starting from fully glaciated present-day GIS, as
15 in Otto-Bliesner et al, (2006) in AR4 (Born and Nisancioglu, submitted). White squares in each panel show the
16 locations of ice core sites at which ice from the LIG has been found (Dahl-Jensen et al., submitted; Dansgaard et al.,
17 1985; North Greenland Ice Core Project members, 2004) : GRIP/GISP (G), NGRIP (NG), NEEM (NE), Camp Century
18 (C), and possibly Dye3 (D). For ice sheet simulations using transient climate forcing, the minimum in ice volume is
19 illustrated. The discrepancy of model outputs regarding timing and topography for minimum ice volume results from
20 differences in climate forcings (cf. Figure 5.15g), ice-sheet models including their melt schemes (van de Berg et al.,
21 2011), as well as differences in present-day climate (Quiquet et al., 2012). Compared to present-day, the average
22 Greenland ice sheet volume of the 4 transient ice sheet models in panels a–e, which depict ice at the NEEM location,
23 corresponds to 1.8 m sea level change. All panels use original model resolution and grids.

25 5.6.2.5 MIS 11

27 Published studies of the magnitude of emergent shorelines attributed to MIS 11 (~400 ka) have generated
28 highly divergent estimates, from ~22 m above present (Hearty et al., 1999, for Bermuda and the Bahamas,
29 but see; McMurtry et al., 2007, and; Mylroie, 2008 for different interpretations of the geological data) to
30 levels near present (Bowen, 2010). However, none of these estimates have been corrected for GIA effects.
31 Modelling by Raymo and Mitrovica (2012) indicates that the GIA correction can be at least +10 m for
32 Bermuda and the Bahamas which both lie within the peripheral bulge of the Laurentian ice complex. After
33 correcting for GIA Raymo and Mitrovica (2012) estimate that peak eustatic during MIS 11 was +6 m to +13
34 m. More recently, Muhs et al., (2012) derived estimates for Curaçao of +8.4 m to +10 m, a location for
35 which the GIA effects are small (Dutton and Lambeck, 2012; Raymo and Mitrovica, 2012).

37 Proxy indicators point to global levels near present. The Waelbroeck et al., (2002) analysis of benthic $\delta^{18}\text{O}$
38 records from the North Atlantic and Equatorial Pacific points to a GMSL of $\sim 5 \pm 15$ m. The Red Sea $\delta^{18}\text{O}$
39 (Rohling et al., 2009; Rohling et al., 2010) indicates MIS 11 paleo-sea level to be similar to that of the
40 Holocene, within their uncertainties (~ 3–5 m).

42 From the limited evidence available, our assessment is that with medium confidence MIS 11 GMSL reached
43 5–15 m higher than present. The high-end range would require a loss of most of the GIS and WAIS plus a
44 reduction in the EAIS of up to 5 m equivalent sea level.

46 5.6.3 Last Glacial Termination and Holocene.

48 The onset of melting of the LGM ice sheets occurred at approximately 20 ka and was followed by a GMSL
49 rise of ~13 m in ~13 kyr (Lambeck et al., 2002a). Within this interval, at about 14.6 ka and coeval with the
50 Bølling warming in the NH, a particularly rapid rise of ~ 20 m occurred (Meltwater Pulse 1A, MWP-1A) as
51 most recently documented by Deschamps et al., (2012) from a new Tahiti coral record. At this location sea
52 level rose between 14 and 18 m at a rate exceeding 40 mm yr^{-1} . The source of MWP-1A has been widely
53 debated with most attention being on scenarios in which the Antarctic ice sheet contributed either
54 significantly (Bassett et al., 2005; Clark et al., 2002; Clark et al., 2009) or very little (Bentley et al., 2010;
55 Mackintosh et al., 2011). Because of the Earth-ocean (including gravitational, deformational and rotational)
56 response to rapid changes in ice volume, the amplitude of the associated sea level change is spatially variable
57 (Clark et al., 2002) and it can thus provide insight into the source region. Based on the comparison of the
58 new Tahiti record with records from Barbados (Fairbanks, 1989) and the Sunda Shelf (Hanebuth et al.,
59 2011), Deschamps et al., (2012) conclude that a significant melt-water contribution, of at least 7 m,

1 originated from Antarctica. One ice sheet modelling study shows that the separation of the North American
2 Laurentide and Cordilleran ice sheets may be in part the cause of MWP-1A with a GMSL rise of 9 m in 500
3 years (Gregoire et al., 2012). Another modelling study (Carlson et al., 2012) finds 6–8 m in 500 years from
4 the Laurentide at the onset of the Bølling warming over North America of which about half is in response to
5 the warming itself. In contrast, there are no modelling results that show a retreat or partial collapse of the
6 Antarctic ice sheet at this time.

7
8 Since AR4, higher resolution sea level records suggest further periods of rapid ice-mass loss. For example, a
9 rapid rise of ~14 m from ~9.5–8.0 ka has been recorded in Singapore (Bird et al., 2007) or from ~9.0–7.5 ka
10 possibly punctuated with one or two short periods of higher rates (Cronin et al., 2007 for Chesapeake Bay;
11 Hijma and Cohen, 2010 for the Netherlands coast), followed by a short interval of a much reduced rise
12 centred on about 8.2 ka (Bird et al., 2010, for Singapore) and by a final above-average rise of ~4 m at ~7.6
13 ka (Yu et al., 2007, for southern Sweden). (These have to be interpreted against a background of rapid sea
14 level rise (average rate of ~15 mm per year) from ~11 ka (Bard et al., 1990; Edwards et al., 1993) until ~7 ka
15 (Lambeck et al., 2002b). The short-duration increases in sea level have been attributed to either a multi-stage
16 draining of glacial Lake Agassiz (Hijma and Cohen, 2010), to a rapid melting of the Labrador and Baffin ice
17 domes (Carlson et al., 2007; Gregoire et al., 2012), or Antarctic ice-sheet decay (Bird et al., 2007; Cronin et
18 al., 2007).

19
20 From ~7 ka to 2 ka, the rate of change of GMSL was reduced, increasing ocean volume by an equivalent 2–3
21 m (Lambeck et al., 2004; Lambeck et al., 2010b). About 10% of this late Holocene ocean-volume increase
22 can be attributed to a Late Holocene ice reduction over Marie Byrd Land, Antarctica (Stone et al., 2003).
23 Elevation histories derived from central Greenland ice core data (Lecavalier et al., submitted; Vinther et al.,
24 2009) have presented evidence for thinning from 8 ka to 6 ka but no integrated observation-based estimates
25 for the total ice sheet are yet available.

26
27 Spatial variability in sea level change during the late Holocene was significant because of the residual
28 isostatic response to the last deglaciation for which comprehensive and predictive models exist (Milne and
29 Mitrovica, 2008). After correction for GIA, local sea level records based on consistent sea level indicators
30 (Figure 5.17), reveal with high confidence that amplitudes of any fluctuations in GMSL during this interval
31 did not exceed approximately ± 25 cm on time scales of a few hundred years.

32
33 Resolving decimetre-scale sea level fluctuations is critical for understanding the causes of sea level during
34 the last millennium. Three types of proxies have this capability: salt-marsh plants and microfauna that form
35 distinctive elevation zones reflecting variations in tolerances to the frequency and duration of tidal
36 inundation (Donnelly et al., 2004; Gehrels et al., 2008; Horton and Edwards, 2006; Kemp et al., 2009; Long
37 et al., 2012); coral microatolls found in intertidal environments close to lowest spring tides (Goodwin and
38 Harvey, 2008; Smithers and Woodroffe, 2001; Woodroffe and McLean, 1990); and coastal archaeological
39 features constructed with direct (e.g., fish ponds and certain harbour structures) or indirect (e.g., water table
40 level changes in ancient wells) relationships to sea level (Anzidei et al., 2011; Auriemma and Solinas, 2009;
41 Lambeck et al., 2004; Sivan et al., 2004).

42
43 Of these, the salt marsh records are particularly important because they have been validated against regional
44 tide-gauge records. This justifies their application to time periods prior to tide-gauge observations.
45 Regionally, as along the US Atlantic coast and Gulf of Mexico coast, these records reveal some consistencies
46 in sea level oscillations over multi-decadal and centennial timescales (González and Törnqvist, 2009; Kemp
47 et al., 2011; van de Plassche et al., 1998) (Figure 5.17), but they have not yet been identified as truly global
48 phenomena. The most robust signal captured in the salt-marsh proxy sea level records from both northern
49 and southern hemispheres is an acceleration, either late in the nineteenth century or in early twentieth
50 century (Figure 5.17), that marks the transition from relatively low rates of change during the late Holocene
51 (order tenths of mm yr^{-1}) to modern rates (order mm yr^{-1}) (see also FAQ 5.2). Variability in both the
52 magnitude and the timing (1840–1920 CE) of this acceleration has been reported (Gehrels et al., 2008;
53 Gehrels et al., 2011; Gehrels et al., 2006; Kemp et al., 2011; Kemp et al., 2009). Gehrels and Woodworth
54 (submitted) have re-evaluated the evidence and conclude that the previously reported mismatches can be
55 reconciled and that the proxy and instrumental datasets all record sea level rise above the late Holocene
56 background rate starting at $\sim 1925 \text{ CE} \pm 20$ years (1 standard deviation) (Figure 5.17).

[INSERT FIGURE 5.17 HERE]

Figure 5.17: Observational evidence for sea level change in recent and late Holocene time. Left panels (a-d): High resolution relative sea level results from saltmarsh data at representative sites, without corrections for glacial isostatic movement of land and sea surfaces. Locations are given on the map. The North Carolina (a) result is representative of other North American Atlantic coast locations (Kemp et al., 2011). The rate of change occurring late in the 19th century are seen in all high resolution saltmarsh records – e.g., (c) Gehrels et al., (2008) (see also Gehrels and Woodworth, submitted); (d) Garcia-Artola et al., (2009); Leorri et al., (2008) – that extend into modern time and is consistent with Roman archaeological evidence (Lambeck et al., 2004). The oscillation in sea level at about 1000 CE seen in the North Carolina record occurs in some (González and Törnqvist, 2009; van de Plassche et al., 1998) but not all records (c.f., Gehrels et al., 2011; Kemp et al., 2011). Right hand side panels (e-h) Observational evidence for sea level change from lower resolution but longer period records. All records, except for Blekinge (h) where the isostatic signal dominates the observed sea level change (Yu et al., 2007), are uncorrected for isostatic effects. The Kiritimati record (Christmas Island) consists of coral microatoll elevations whose fossil elevations are with respect to the growth position of living microatolls (Woodroffe et al., 2012). The Orpheus Island record (f) is also based on microatoll evidence (Chappell, 1983; Lambeck et al., 2002b). The data from Mediterranean France (g) is based on biological indicators (Laborel et al., 1994) restricted to three nearby locations between which differential isostatic effects are less than the observational errors (Lambeck and Bard, 2000). The Blekinge result (h), corrected for isostatic rebound, is based on the analysis of transgression and regression sequences in marginal basins (Yu et al., 2007). The two global records are estimates of change in global mean sea level from (i) the instrumental record (Jevrejeva et al., 2008), and (j) from a range of geological and archaeological indicators (Lambeck et al., 2010b), with the contributing records corrected for the isostatic effects at each location.

5.7 Evidence and Processes of Abrupt Climate Change

Paleoclimate archives document climate changes that happened at a rate faster than background climate change. A variety of mechanisms have been suggested to explain the emergence of such abrupt climate changes. Most of them invoke the existence of nonlinearities or, more specifically, thresholds in the underlying dynamics of one or more Earth-system components. Both internal dynamics as well as external forcings can generate abrupt changes in the climate state. Documentation of abrupt climate changes in the past using multiple sources of proxy evidence can provide important benchmarks to test climate models; their instability mechanisms and sensitivities even to future forcings, as well as their capacity to simulate teleconnection patterns and interhemispheric linkages which are relevant for projections of future climate changes. This assessment of abrupt climate change on timescales of 10–100 years focuses on Dansgaard-Oeschger (DO) events and iceberg and meltwater discharges during Heinrich stadials, especially the advances since AR4 in reconstructing and understanding their global impacts and in extending the record of millennial-scale variability back in time to ~800 ka.

Twenty-five abrupt DO events (North Greenland Ice Core Project members, 2004) and several centennial scale events (Capron et al., 2010b) occurred during the last glacial period. DO events were marked by an abrupt transition (on a timescale of a few decades) from a cold phase (stadial) into a warm phase (interstadial). Subsequently, a more gradual cooling preceded a rapid jump to cold stadial conditions that lasted for centuries up to millennia (Figure 5.18). Thermal gas-fractionation methods (Huber et al., 2006; Landais et al., 2004) suggest that for instance for DO24 abrupt warming in Greenland reached levels of up to $16^{\circ}\text{C} \pm 2.5^{\circ}\text{C}$ (Capron et al., 2010b) within several decades and was accompanied by abrupt shifts in dust and deuterium excess, representing major reorganizations in atmospheric circulation (Steffensen et al., 2008; Thomas et al., 2009). Corresponding variations in high-resolution SST reconstructions from the eastern subtropical North Atlantic attain values up to 5°C (e.g., Martrat et al., 2007). More accurate synchronization between Greenland and high-resolution Antarctic records (Capron et al., 2012; Capron et al., 2010a; Capron et al., 2010b; EPICA Community Members, 2006; Stenni et al., 2011) has further revealed that all but DO25 Northern Hemispheric DO transitions terminated gradual warming trends in Antarctica that accompanied stadial phases in the Northern Hemisphere. Processes such as sea ice cover (Li et al., 2010b), atmospheric circulation changes and ice-sheet topography (Wunsch, 2006) may have contributed to the magnitude and abruptness of DO related regional climate impacts. It still remains controversial whether DO variability represents a series of stochastically generated events (Ditlevsen and Ditlevsen, 2009), whether it was related to solar forcing (Braun and Kurths, 2010; Woillez et al., 2012) or internal dynamics.

As witnessed by the presence of massive layers of ice-rafted detritus in North Atlantic marine sediments and other sedimentary indicators, some DO stadials, known as Heinrich stadials were associated with iceberg discharges originating from the Northern Hemispheric ice-sheets. During these periods global sea level rose

1 by up to several tens of meters (Chappell, 2002; Gonzalez and Dupont, 2009; Rohling et al., 2008b; Siddall
2 et al., 2008; Yokoyama and Esat, 2011), with remaining uncertainties on the timing of the sea level rise,
3 stadial cooling and ocean circulation changes relative to the iceberg discharge (Arz et al., 2007; Gonzalez
4 and Dupont, 2009; Hall et al., 2006; Hodell et al., 2010). Based on ice-sheet climate modelling experiments
5 internal instabilities of the Laurentide ice-sheet can cause massive calving and meltwater events similar to
6 those reconstructed from proxy records (Calov et al., 2002; Calov et al., 2010; Marshall and Koutnik, 2006).
7 An alternative hypothesis for the emergence of these instabilities (Alvarez-Solas et al., 2010; Marcott et al.,
8 2011) calls for an external forcing of the ice-sheet. Here, an initial weakening of the AMOC leads to
9 subsurface warming in parts of the North Atlantic (Shaffer et al., 2004) and subsequent basal melting of the
10 Labrador ice-shelves and a resulting acceleration of ice streams and iceberg discharge. At present,
11 unresolved dynamics in ice-sheet models and limited proxy information do not allow to distinguish the two
12 mechanisms with confidence.

13
14 Since AR4 a better understanding of the global imprints of DO events and Heinrich stadials has been
15 presented for various regions. Abrupt events, such as the Bølling and Allerød sub-hemispheric warmings and
16 the Younger Dryas sub-hemispheric cooling left a detectable and widespread imprint on North Pacific SSTs
17 (Harada et al., 2008; Harada et al., 2012; Pak et al., 2012). Atmospheric changes in response to North
18 Atlantic cooling (Okumura et al., 2009; Xie et al., 2008), as well as large-scale Pacific ocean circulation
19 changes in response to a weakening of the AMOC (Harada et al., 2009; Okazaki et al., 2010; Saenko et al.,
20 2004; Schmittner et al., 2007), have been suggested to explain the presence of millennial-scale variability in
21 the Pacific. Southward displacement of Southern Ocean fronts during cold DO stadials and concomitant
22 southward influence of tropical waters towards the Southern Ocean have been reported (De Deckker et al.,
23 2012; Denton et al., 2010), further documenting the interhemispheric character of these events. North
24 Atlantic stadial cooling (Krebs and Timmermann, 2007; Otto-Bliesner and Brady, 2010) (Figure 5.4b) and
25 sea ice variations (Chiang and Bitz, 2005), associated with DO events and Heinrich stadials, such as
26 Heinrich stadial 1 (18.5–14.8 ka), caused changes in the large-scale atmospheric circulation which in turn
27 affected interhemispheric tropical rainfall patterns. Related hydroclimate changes included a southward shift
28 of the North Atlantic ITCZ (Peterson and Haug, 2006) leading to massive drying of equatorial western
29 African and Southern Arabia (Higginson et al., 2004; Itambi et al., 2009; Ivanochko et al., 2005; Mulitza et
30 al., 2008; Tjallingii et al., 2008; Weldeab, 2012; Weldeab et al., 2007) and the eastern Mediterranean
31 (Fleitmann et al., 2009) and increased hydrological signals in South America (Kanner et al., 2012) (Figure
32 5.4h). The hydrological response in southern Africa during HS1 is debated. Furthermore, reconstructions
33 document an increased moisture supply to Southwestern North America (Asmerom et al., 2010; Wagner et
34 al., 2010), a reduction of hydroclimate indicators in the Asian Summer and Winter monsoon regions (Cai et
35 al., 2010; Wang et al., 2008) (see Figure 5.4e) as well as for the Australian-Indonesian monsoon (Mohtadi et
36 al., 2011).

37
38 Since AR4, climate model simulations (Kageyama et al., 2010; Liu et al., 2009b; Menviel et al., 2011; Otto-
39 Bliesner and Brady, 2010) have further confirmed the notion (high confidence) that changes of the AMOC
40 induce abrupt climate changes with magnitude and pattern resembling reconstructed paleo-proxy data of DO
41 and Heinrich events. However, GCMs still have difficulties simulating the rate of reconstructed warming
42 during the AMOC recovery phase in some regions such as Greenland (Liu et al., 2009b).

43
44 Newly available marine records (Grützner and Higgins, 2010; Kleiven et al., 2011; Margari et al., 2010;
45 Martrat et al., 2007), Antarctic trace gas records (Loulergue et al., 2008; Schilt et al., 2010) and statistical
46 analyses of Antarctic data combined with bipolar seesaw modelling (Barker et al., 2011) have increased the
47 confidence to a high level that abrupt climate change events, similar to the DO events and Heinrich stadials
48 of the last glacial cycle, occurred during previous glacial cycles extending back ~800 ka (Figure 5.18) and,
49 with less confidence, to 1100 ka.

50 [INSERT FIGURE 5.18 HERE]

51 **Figure 5.18:** Dependence of millennial-scale bipolar seesaw activity (a measure of DO variability) on climate
52 background state: Benthic $\delta^{18}\text{O}$ stack (blue) (Lisiecki and Raymo, 2005) representing a combination of global ice-
53 volume, deep ocean temperature and hydrography, terciles of millennial-scale bipolar seesaw activity index (gray
54 shading, showing periods with low frequency of events in black and high frequency in white) (Barker et al., 2011), and
55 $\delta^{18}\text{O}$ analogue for Greenland (red) empirically derived from Antarctic ice core data using bipolar seesaw methods and
56 assumptions on orbital lags (Barker et al., 2011).
57
58

5.8 Paleoclimate Perspective on Irreversibility in the Climate System

The notion of irreversibility implies that after a perturbation the climate system will not return to its initial state even when the perturbation is removed. This requires the existence of multiple equilibrium states in the system. In practice, considering the timescales of perturbations and climate components, irreversibility of climate change can be defined such that the recovery timescale to reach the initial state (through natural processes) is significantly longer than the duration of causal perturbation.

5.8.1 Cryosphere

Modeling studies suggest the existence of multiple equilibrium states for different ice sheets with respect to temperature, CO₂ concentration and orbital forcing phase spaces (Calov and Ganopolski, 2005; DeConto and Pollard, 2003; Ridley et al., 2010). This implies a possibility of irreversible changes in the climate-cryosphere system in the past and future. An abrupt increase in global ice volume (mostly in the Antarctic ice sheet) at the Eocene/Oligocene boundary, 33 Ma, likely caused by gradual atmospheric CO₂ concentration decline on geological time scale (Pagani et al., 2005; Pearson et al., 2009), is consistent with the existence of threshold behaviour in the East Antarctic Ice sheet simulated by the ice sheet models under CO₂ concentrations of 500–1000 ppm (DeConto and Pollard, 2003; Langebroek et al., 2009). Proxy records suggest that WAIS collapse might have happened during some previous interglaciations (Naish et al., 2009b; Vaughan et al., 2011) when climate conditions were not very different from present ones and it is likely that WAIS was absent during warm periods of the Pliocene when CO₂ concentration was not higher than 420 ppm (see Section 5.2.2.2). At the same time results of model simulation (Pollard and DeConto, 2009) suggests that WAIS is very sensitive to the subsurface ocean temperature. This imply with a medium degree of confidence that a large part of the WAIS will be eventually lost if the atmospheric CO₂ concentration will stay above 420 ppm for several millennia.

Observational evidence suggest that the Greenland ice sheet was much smaller than today during the middle Pliocene when atmospheric CO₂ concentration and global temperature were only moderately higher than present (see Sections 5.6.1 and 5.2.2.2) (Alley et al., 2010) which is supported by the results of simulations with ice sheet models (Dolan et al., 2011; Koenig et al., 2011). The Greenland ice sheet was also likely considerably reduced during interglaciations exceptionally long (Marine Isotope Stage 11) and exceptionally warm in the Arctic (Marine Isotope Stage 5.5) (Section 5.6.2). This supports modelling results which indicate that temperature or CO₂ thresholds for melting and re-growth of the Greenland ice sheet may lie in close proximity to the present climate state (Gregory and Huybrechts, 2006; Lunt et al., 2008) (Section 5.6.1) and that the Greenland ice sheet may have multiple equilibrium states under present day climate state (Born and Nisancioglu, submitted; Ridley et al., 2010). Therefore, paleodata and results of model simulations indicate with a medium degree of confidence that the Greenland and the West Antarctic Ice sheets could be destabilized by temperature rise which is expected already in this century although the time scales of the ice sheets response to climate change are very long (multi-centuries to millennia).

5.8.2 Ocean Circulation

Numerous modelling studies demonstrate that increased freshwater flux into the North Atlantic leads to weakening of the AMOC (Stouffer et al., 2006). Results of intermediate complexity models (Rahmstorf et al., 2005) and several coupled GCMs also suggest that under present or glacial climate conditions AMOC may have multiple equilibrium states (Hawkins et al., 2011; Hu et al., 2012). Experiments with coupled climate models provide evidence that the sensitivity of the AMOC to freshwater perturbation is larger for glacial boundary conditions than for interglacial conditions (Swingedouw et al., 2009) and that the recovery timescale of the AMOC is longer for LGM conditions than for the Holocene (Bitz et al., 2007).

The abrupt climate-change event at 8.2 ka is an example with which to study the recovery time of the AMOC to freshwater perturbation under near-modern boundary conditions (Rohling and Pälike, 2005). Since AR4, substantial new observational and model evidence are published. The pattern of reconstructed and modelled surface ocean and atmospheric climate anomalies is consistent with a reduction in the strength of the AMOC (Figure 5.19a,b,d). Although only indirect evidence for changes in AMOC strength can be inferred from proxy records, available proxy records from the North Atlantic support the hypothesis that freshwater input into the North Atlantic reduced the amount of deep and central water-mass formation as reduced Nordic Seas

1 overflows, reduced intermediate water temperatures and reduced ventilation state of North Atlantic Deep
 2 Water (Figure 5.19c,d) (Bamberg et al., 2010; Ellison et al., 2006; Kleiven et al., 2008; McManus et al.,
 3 2004). A concomitant cooling of SST and atmospheric temperatures in the North Atlantic and in Greenland
 4 has been observed (Figure 5.19a,b) with the climate anomaly associated with the event lasting 100–160 years
 5 (Daley et al., 2011). The additional freshwater that entered the North Atlantic during the 8.2-ka event is
 6 estimated to range between $1.6 \cdot 10^{14} \text{ m}^3$ and $8 \cdot 10^{14} \text{ m}^3$ (Barber et al., 1999; Clarke et al., 2004; von
 7 Grafenstein et al., 1998). The duration of the meltwater pulse may have been as short as 0.5 years (Clarke et
 8 al., 2004), but new estimates based on meltwater drainage following a collapse of saddle between remaining
 9 Laurentide ice domes indicate an up to 200 year-duration in two separate stages (Gregoire et al., 2012). A
 10 four model ensemble with a one-year 2.5 Sv freshwater perturbation only give temperature anomalies half of
 11 the reconstructed and shorter duration than observed, resulting either from imprecise representation of
 12 Holocene climate state or a too short duration of the freshwater forcing (Morrill et al., submitted-a). Based
 13 on the paleoceanographic reconstructions, the freshwater perturbation of this size was insufficient to trigger a
 14 complete collapse of the AMOC (Figure 5.19d). The reconstructions consistently show that the shallow and
 15 deep overturning circulation of the North Atlantic recovered completely after the cessation of the meltwater
 16 perturbation. The recovery timescale was on the order of 200 years (Bamberg et al., 2010; Ellison et al.,
 17 2006) (Figure 5.19c, d). One record points to a partial recovery on a decadal timescale (Kleiven et al., 2008).
 18 It is possible that this record is affected by a threshold effect due to the vertical displacement of deep water
 19 masses. Both recovery timescale and sensitivity of the AMOC to the freshwater perturbation are consistent
 20 with model experiments for the 8.2-ka event using coarse-resolution models, GCMs and eddy permitting
 21 models (LeGrande and Schmidt, 2008; Li et al., 2009; Spence et al., 2008). The recovery of temperatures out
 22 of the cold anomaly appears overprinted with natural variability in the proxy data, and is more gradual in
 23 data than in the GCM experiments (Figure 5.19e, f).
 24

25 Associated with the North Atlantic climate and AMOC induced anomalies is a characteristic teleconnection
 26 pattern with widespread Northern Hemisphere cooling and drying and a southward displacement of the ITCZ
 27 (See Figure 5.19e, f), and a wetting of SH tropics (Morrill et al., submitted-b). These patterns are highly
 28 consistent between paleoclimate observations and the ensemble response to a freshwater perturbation in the
 29 North Atlantic of 0.1 Sv. Model experiments indicate that a hemispheric see-saw pattern existed during the
 30 event, and there is some support from observations that this likely was the case.
 31

32 [INSERT FIGURE 5.19 HERE]

33 **Figure 5.19:** Compilation of selected paleoenvironmental and climate model data for the abrupt Holocene cold event at
 34 ca. 8.2 ka, documenting temperature and ocean circulation changes around the event and the spatial extent of climate
 35 anomalies following the event. Published age constraints for the period of release of freshwater from glacier Lakes
 36 Agassiz and Ojibway are bracketed inside the vertical blue bar. Vertical grey bar denotes the time of the main cold
 37 event as found in Greenland Ice core records (Thomas et al., 2007). **a)** Black curve: NGrIP $\delta^{18}\text{O}$ (temperature proxy)
 38 from Greenland Summit (North Greenland Ice Core project members (2004). Red curve: Simulated Greenland
 39 temperature in an 8.2-ka event simulation with the ECBilt-CLIO-VECODE model (Wiersma et al., 2011). Blue curve:
 40 Simulated Greenland temperature in an 8.2-ka event simulation with the CCSM3 model (Morrill et al., 2011). **b)** North
 41 Atlantic/Nordic Seas SST-reconstructions, age models are aligned on the peak of the cold-event (less than 100-year
 42 adjustment). Blue curve: Nordic Seas (Risebrobakken et al., 2011). Black curve: Gardar Drift south of Iceland (Ellison
 43 et al., 2006). **c)** Deep- and intermediate water records. Black curve: Sortable silt record (overflow strength proxy) from
 44 Gardar Drift south of Iceland (Ellison et al., 2006), Atlantic intermediate water temperature reconstruction (Bamberg et
 45 al., 2010). **d)** Black curve: $\delta^{13}\text{C}$ (deep water ventilation proxy) at 3.4 km water depth south of Greenland (Kleiven et al.,
 46 2008). Age model is aligned on the minimum overflow strength in c) (less than 100-year adjustment). Modelled change
 47 in the strength of the AMOC: Green curve: An 8.2-ka event simulation with the GISS model (LeGrande et al., 2006).
 48 Red curve: An 8.2-ka event simulation with the ECBilt-CLIO-VECODE (v. 3) model (Wiersma et al., 2011). Blue
 49 curve: An 8.2-ka event simulation with the CCSM3 model (Morrill et al., 2011). **e)** Spatial distribution of the ensemble
 50 mean annual mean surface temperature anomaly ($^{\circ}\text{C}$) from a multi-model water hosing experiment with 0.1 Sv
 51 freshwater forcing in the NW Atlantic (Stouffer et al., 2006). Paleoclimate data from records resolving the 8.2-ka event
 52 are plotted with symbols: C=cold anomaly, W=warm anomaly, X=No significant anomaly. Main data sources:
 53 (Wiersma et al., 2011); (Morrill et al., 2011) **f)** Spatial distribution of the ensemble mean annual mean precipitation
 54 anomaly (mm day^{-1}) from a multi-model water hosing experiment with 0.1 Sv freshwater forcing in the NW Atlantic
 55 (Stouffer et al., 2006). Paleoclimate data from records resolving the 8.2-ka event are plotted with symbols: D=dry
 56 anomaly, W=wet anomaly, X=No significant anomaly. Main data sources: (Wiersma et al., 2011); (Morrill et al., 2011).
 57

58 [START FAQ 5.1 HERE]

FAQ 5.1: Is the Sun a Major Driver of Climate Changes?

The Sun is the ultimate source of the energy that powers the climate system. The strength of that energy output varies over a wide range of timescales, from billions of years to just a few days. Over the past 120 years, solar variability is one of three recognised natural sources of climate variability that, together with anthropogenic factors, combine to explain much of the observed changes in global surface temperatures (Chapter 10). However, that variability has made only a minor contribution to the observed increase in global surface temperatures over the past 120 years.

The Sun's core is a massive nuclear fusion reactor that converts hydrogen into helium. This produces energy which radiates throughout the solar system as electromagnetic radiation. The amount of energy striking the top of Earth's atmosphere varies over time scales ranging from days to billions of years, depending on the generation and emission of electromagnetic energy by the Sun, and on the Earth's orbital path around the Sun.

Satellite-based radiometers have directly measured total solar irradiance (TSI) since 1978, and indicate that $\sim 1360 \text{ W m}^{-2}$ reaches the top of the Earth's atmosphere when the Earth is located at 149,598,000 kilometres (one Astronomical Unit) from the Sun. The total power received by the Earth amounts to $1.7 \times 10^{17} \text{ W}$. Earth's surface and atmosphere reflect about 30% of this energy back into space.

Satellite-based radiometers record higher levels of TSI overall when the Sun is more active. Higher solar activity produces more dark sunspots, which decrease solar irradiance, but also more bright regions, called faculae, which increase solar irradiance. TSI variations have a prominent 11-year activity cycle, during which TSI values (in recent years) increased on average by about 0.1% (1.4 W m^{-2}), when the number of sunspots increased from a minimum to a maximum.

Many studies have shown that the global surface temperature record over the past 30 years can best be explained by a combination of natural and anthropogenic factors (FAQ 5.1, Figure 1). Natural factors include solar variability, volcanic eruptions and internal variability, each of which produces characteristic patterns of regional climate responses.

When solar activity surges during the 11-year cycle, the resultant increase in TSI produces global warming in the order of 0.1°C . When large volcanic eruptions, such as that of Mt Pinatubo in 1991, inject aerosols into the atmosphere, they can cool the Earth's surface by around 0.3°C to 0.4°C for a few years. Natural climate variability associated with the El Niño Southern Oscillation warm global surface temperatures during El Niño events, and cool global surface temperatures during La Niñas. Global temperatures rose by around 0.2°C during the large El Niño of 1997–1998.

During the last 30 years, anthropogenic factors, including rising concentrations of greenhouse gases, and other changes in atmospheric composition and land use, have produced warmed global surface temperatures by about 0.4°C . Hence, the Sun's role in climate change is modest: it is not a major driver of systematic climate warming over the past 30 years, especially in terms of global mean surface temperatures.

TSI variations have nevertheless featured in the past 120 years of observed climate change (FAQ 5.1, Figure 1). Here again, a combination of natural and anthropogenic factors are involved, but these forcing factors vary over time. TSI variations prior to 1978 have been reconstructed using observed sunspot numbers (back to 1610 CE), or indirectly from estimates of solar activity based on radioisotopes archived in polar ice and tree rings. Distinct 50- to 100-year long periods of very low activity are commonly referred to as grand solar minima (e.g., Maunder minimum; 1645–1715 CE), during which times TSI levels are thought to be reduced. Most estimates of the average TSI change between the Maunder minimum and the Modern maxima (1950 CE to the present) range from 0.1 to 0.2%—roughly between one and two times the amplitude of the TSI change during the 11-year solar activity cycle.

Internal variability is a noisy signal, which can produce warmings and coolings without long-term trend. Volcanic forcing, which produces cooling, is initially abrupt, and its effects dissipate within a few years. The model-based solar irradiance record suggests an increasing warming trend during the first half of the 20th century, underlying alternating warming and cooling with a strong 11-year cyclicity.

1
2 But only a significant anthropogenic forcing can account for the magnitude of the observed global surface
3 temperature warming—by around 0.8°C—over the past 120 years, especially the prominent increase over the
4 last 30 years. However, solar forcing provides a diminishing contribution, relative to anthropogenic forcing,
5 to the observed increase in global surface temperatures over the past 120 years.

6
7 **[INSERT FAQ 5.1, FIGURE 1 HERE]**

8 **FAQ 5.1, Figure 1:** Global surface temperature variations from 1899 to 2006 and natural (internal, volcanic, and solar)
9 and anthropogenic factors that influence surface temperatures (modified from Lean, 2010). a) Observed (black line) and
10 model (red line) composed from the monthly mean global surface temperature time series in panels b to e. b) The El
11 Niño Southern Ocean index, used as an indicator of short-term internal variability that cannot be directly attributed to
12 an external forcing. c) Short-lived, but strong, cooling effects of volcanic eruptions, d) Solar forcing which consists of
13 the 11-year sunspot cycle, superimposed on a steadily increasing trend during the first half of the 20th century. e)
14 Anthropogenic forcing, consisting of a warming component due to greenhouse gases, and a cooling component due to
15 aerosols.

16
17 In other words, climate change is caused by a combination of different factors (internal, solar, volcanic,
18 greenhouse gases) and the contribution of the different factors is changing with time. This important
19 conclusion is demonstrated in the FAQ 5.1, Figure 1. It shows the mean global monthly temperature from
20 1899 to 2006 (black curve panel a) together with the four factors (internal variability, solar, volcanic, and
21 anthropogenic forcing) depicted in panel b to e which are needed to explain it (Lean et al., 2011). For each
22 factor the estimated contribution to the mean global temperature is given in degrees centigrade. Adding up
23 all the contributions leads to the red curve shown in panel a. Panel b shows the El Niño Southern Ocean
24 index which is used as an indicator of the short-term internal variability which cannot be directly attributed
25 to an external forcing. The short-lived but strong cooling effects of volcanic eruptions are depicted in panel
26 c. Panel d shows the solar forcing which consists of the 11-year sunspot cycle superimposed on steadily
27 increasing trend during the first half of the 20th century. Finally, panel e comprises the anthropogenic effect
28 consisting of a warming component due to the greenhouse gases and a cooling component due to aerosols.

29
30 The figure is divided into two time intervals. During the first period from 1890 CE to 1965 CE the
31 temperature increased by about 0.25°C. This increase which was reduced by volcanic activity between 1880
32 CE and 1910 CE is attributed mainly to solar (0.1°C) and anthropogenic (0.15°C) forcing. After 1965 solar
33 forcing does not show an increasing trend, but anthropogenic forcing increases rapidly and contributes
34 0.55°C to the global warming which is interrupted by cooling episodes due to the eruptions of El Chichon
35 (1982) and Pinatubo (1991). The figure indicates that natural forcing factors played an important role for
36 climate change up to about 1965, but that anthropogenic forcing became the dominant factor since that time.

37
38 This raises the question of the future role of solar forcing in climate change. Reconstruction of the solar
39 activity throughout the past 10,000 years tells us that solar forcing fluctuates between grand maxima and
40 minima. Compared to the past 10,000 years, the Sun has been very active during the past 60 years.
41 According to most predictions, solar forcing will decrease for the next few decades and then increase again
42 to present-day levels. With a high degree of confidence, the data from the past 10,000 years tells us that the
43 forcing range between Grand solar maxima and Grand solar minima is much smaller than the anthropogenic
44 effect.

45
46 In summary, solar forcing together with volcanic forcing and internal variability played a dominant role in
47 the past 10,000 years when the greenhouse gases were almost constant. During the past decades the
48 anthropogenic effect became the dominant forcing factor. During the future decades solar forcing will only
49 play a minor role.

50
51 **[END FAQ 5.1 HERE]**

52
53 **[START FAQ 5.2 HERE]**

54
55 **FAQ 5.2: How Unusual is the Current Sea Level Rate of Change?**

56
57 *The current rate of mean global sea level change, estimated at $1.7 \pm 0.3 \text{ mm yr}^{-1}$ for the entire 20th century
58 and $3.2 \pm 0.5 \text{ mm yr}^{-1}$ since 1993 CE (the satellite altimetry era), (Chapter 13), is unusual in the context of*

1 *the last two millennia. However, this rate is not unusually rapid relative to past periods of rapid ice sheet*
2 *deglaciation, such as transitions between glacial and interglacial periods. Exceptional tectonic effects can*
3 *also drive very rapid local sea level changes, with rates exceeding the current rates of change.*

4
5 Sea level is commonly thought of as where the ocean meets the land. Earth scientists define sea level as a
6 measure of the position of the sea surface relative to the land, both of which may be moving relative to the
7 center of the Earth, reflecting a combination of climate and geophysical factors. Climate factors affecting sea
8 level include changes in ocean temperature and thermal expansion/contraction, and changes in terrestrial
9 glacier ice mass and current velocities. Geophysical factors affecting sea level include land subsidence or
10 uplift, glacial isostatic adjustments, and changes in Earth's rotation. Glacial isostatic adjustments (GIA) refer
11 to the response of relative sea level to changes in the distribution of mass on the Earth, specifically water and
12 ice. Local and regional changes in these climate and geophysical factors result in significant deviations from
13 the global estimate of the mean rate of sea level change. For example, sea level is falling at rates approaching
14 10 mm yr^{-1} along the Swedish coast of the Gulf of Bothnia, due to ongoing uplift caused by the loss of
15 continental ice since the last glacial period. In contrast, sea level rose at rate of about 12 mm yr^{-1} from 1940
16 to 2005 in Bangkok, mainly in response to ground subsidence.

17
18 The average rate of global sea level rise over the 20th century (about $1.7 \pm 0.3 \text{ mm yr}^{-1}$) may seem small
19 relative to observations of wave and tidal oscillations around the globe, which can be orders of magnitude
20 larger. However, when this rate is integrated over time, the magnitude of this rise becomes quite significant,
21 especially in the heavily populated, low-lying coastal regions of the Earth.

22
23 Prior to about 1700 CE (the instrumental period), estimates of the global rate of sea level change are derived
24 from indirect measures of sea level change recorded in sedimentary, biological and archaeological archives.
25 These proxy records are spatially limited, and reflect both local and global conditions. Reconstruction of a
26 global signal is strengthened when individual records from widely different environmental settings converge
27 on a common signal.

28
29 Sea level reconstructions for the last two millennia offer an opportunity to use proxy records to overlap with,
30 but extend beyond the instrumental period. A recent example of such a reconstruction used sedimentary
31 evidence from salt-marsh deposits on the Atlantic Coast of the United States, combined with sea level
32 reconstructions based on tide gauge data and model predictions, to document an average rate of sea level
33 change since the late 19th century of 2.1 mm yr^{-1} (1.9 to 2.2 mm yr^{-1}). This century-long rate of sea level
34 rise exceeded any other century-scale sea level change rate in the entire 2000 year record.

35
36 On longer timescales, much larger rates and amplitudes of sea level changes were sometimes encountered.
37 Glacial-interglacial climate cycles over the past 500,000 years resulted in global sea level changes of up to
38 about 120 to 140 m. Much of this sea level change occurred over 10,000–15,000 year intervals
39 corresponding to the transition from a full glacial period to an interglacial period, at average rates of 10–15
40 mm yr^{-1} . These high rates are only sustainable when the planet is emerging from periods of extreme
41 glaciation when there are large high-latitude ice sheets in contact with the oceans. During one interval of the
42 transition from the last glacial maximum (about 21,000 years ago) to the present interglaciation (Holocene,
43 last 11,700 years), fossil coral reef deposits indicate that global sea level rose abruptly by 14–18 m in less
44 than 500 years, which translates to rates exceeding 40 mm per year of sea level rise over this interval of time.

45
46 On even longer geologic timescales, major geological processes such as formation of a submerged ocean
47 basaltic plateau can displace sufficient water to raise sea level by 5–10 m but the duration of plateau
48 formation is millions to tens-of-millions of years and the maximum rate of the associated change in sea level
49 is less than 0.01 mm yr^{-1} .

50
51 It is important to note that these examples from longer timescales, which indicate rates of sea level change
52 greater than observed today, all occur in special circumstances in either time or location: at times of
53 transition from full glacial to interglacial condition; at locations where the long-term after-effects of these
54 transitions are still occurring; at locations of major tectonic upheavals or at locations in major deltas where
55 subsidence due to a sediment compaction, sometimes amplified by human factors such as ground-fluid
56 extraction.

1 In summary, the instrumental and geologic record support the conclusion that the current rate of mean global
2 sea level change is unusual relative to that observed and/or estimated over the last two millennia.
3

4 **[INSERT FAQ 5.2, FIGURE 1 HERE]**

5 **FAQ 5.2, Figure 1:** Estimates of the average rate of sea level change (mm yr^{-1}) for 4 select time intervals: last 5
6 glacial/interglacial transitions; last 2 millennia; 20th century; satellite altimetry era (1993–2010).
7

8 **[END FAQ 5.2 HERE]**
9

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1 **Appendix 5.A: Supplementary Material**

2 **Table 5.A.1:** Summary of Atmospheric CO₂ Proxy Methods and Confidence Assessment of their Main Assumptions.

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Method	Scientific Rationale	Estimated Applicability	Limitations	Main Assumptions (<i>relative confidence</i>)
Alkenone (phytoplankton biomarker) carbon isotopes	Measurements of carbon isotope ratios of marine sedimentary alkenones (or other organic compounds) allows determination of the isotopic fractionation factor during carbon fixation (ϵ_p) from which pCO ₂ can be calculated.	100– ~4000 ppm; 0–100 Ma	Alkenones are often rare in oligotrophic areas and sometimes absent. Method relies on empirical calibration and $\delta^{13}C$ is sensitive to other environmental factors, especially nutrient-related variables. Method has been used successfully to reconstruct glacial-interglacial changes.	Measured alkenone carbon isotope ratio is accurate and precise (<i>high</i>). Ambient aqueous pCO ₂ has a quantifiable relationship with ϵ_p that can be distinguished from the nutrient-related physiological factors such as algal growth rate, cell size, cell geometry and light-limited growth (<i>medium</i>). Aqueous pCO ₂ is in equilibrium with atmospheric pCO ₂ (<i>medium</i>). Carbon isotope fractionation in modern alkenone-producing species is the same in ancient species and constant through time (<i>medium</i>). Levels of biological productivity (e.g., dissolved phosphate concentrations) can be calculated (<i>high</i>). Carbon isotope ratio of aqueous CO ₂ in the mixed layer can be determined (<i>medium</i>). Sea surface temperature can be determined (<i>high</i>). Atmospheric pO ₂ is known or assumed (<i>medium</i>). Diagenetic effects are minimal, or can be quantified (<i>medium</i>).
Boron isotopes in foraminifera	Boron isotope ratios ($\delta^{11}B$) in foraminifera (or other calcifying organisms) give paleo-pH from which pCO ₂ can be calculated if a value for a second carbonate system parameter (e.g., alkalinity) is assumed	100– ~4000 ppm; 0–100 Ma	Calculated pCO ₂ is very sensitive to the boron isotope ratio of seawater which is relatively poorly known, especially for the earlier Cenozoic. Effects of foraminiferal preservation are not well understood. Method has been used successfully to reconstruct glacial-interglacial changes.	Measured boron isotope ratio is accurate and precise (<i>high</i>): The equilibrium constant for dissociation of boric acid and boron isotopic fractionation between B(OH) ₃ and B(OH) ₄ ⁻ are well known (<i>high</i>): Boron incorporation into carbonate is exclusively from borate ion (<i>high</i>). Boron isotope ratio of foraminifer calcification reflects ambient surface seawater pH (<i>high</i>). Aqueous pCO ₂ is in equilibrium with atmospheric pCO ₂ (<i>high</i>) Habitats of extinct species can be determined (<i>high</i>) There is no vital effect fractionation in extinct species, or it can be determined (<i>high</i>). The boron isotope ratio of seawater ($\delta^{11}B_{sw}$) can be determined (<i>medium</i>). Ocean alkalinity or concentration of Total Dissolved Inorganic Carbon can be determined (<i>high</i>). Sea surface temperature (SST) and salinity (SSS) can be determined (<i>high</i>). Diagenetic effects are minimal or can be quantified (<i>medium</i>).
Carbon isotopes in soil carbonate and organic matter	Atmospheric pCO ₂ affects the relationship between the $\delta^{13}C$ of soil CO ₂ and the $\delta^{13}C$ of soil organic matter at depth in certain soil types, hence measurement of	100– ~2000 ppm; 0–400 Ma	Method works better for some soil types than others. CO ₂ loss is difficult to quantify and method and effects of late diagenesis may be difficult to determine.	Isotopic composition of soil CO ₂ is reflected in soil carbonates below a depth of 50 cm. (<i>medium</i>) The concentration of respired CO ₂ in the soil is known or assumed (<i>medium</i>). Isotopic composition of atmospheric CO ₂ is known or can be inferred

	these parameters in paleosols can be used to calculate past pCO ₂			(<i>low</i>) Soil carbonates were precipitated in the vadose zone in exchange with atmospheric CO ₂ (<i>high</i>). The original depth profile of a paleosol can be determined (<i>low</i>). Burial (late) diagenetic effects are minimal or can be quantified (<i>high</i>).
Stomata in plant leaves	The relative frequency of stomata on fossil leaves (Stomatal Index; (Salisbury, 1928)) can be used to calculate past atmospheric CO ₂ levels	100– ~700 ppm; 0–400 Ma	Closely related species have very different responses to pCO ₂ . The assumption that short-term response is the same as the evolutionary response is difficult to test. This and the shape of the calibration curves mean that much greater confidence applies to low pCO ₂ and short timescales.	Measured stomatal index is accurate and precise (<i>high</i>). Measured stomatal index is representative of the plant (<i>high</i>) The target plants adjust their stomatal index of leaves to optimize CO ₂ uptake (<i>medium</i>). Atmospheric pCO ₂ close to the plant is representative of the atmosphere as a whole (<i>medium</i>). The quantitative relationship between stomatal index and CO ₂ observed on short timescales (ecophenotypic or 'plastic response') applies over evolutionary time (<i>low</i>). Environmental factors such as irradiance, atmospheric moisture, water availability, temperature, and nutrient availability do not affect the relationship between stomatal index and CO ₂ (<i>medium</i>). Stomatal index response to CO ₂ of extinct species can be determined or assumed (<i>low</i>). Taphonomic processes do not affect stomatal index counts (<i>high</i>). Diagenetic processes do not affect stomatal index counts (<i>high</i>).

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Table 5.A.2: Summary of SST proxy methods and confidence assessment of their main assumptions.

Method	Scientific Rationale	Estimated Applicability	Limitations	Main Assumptions (<i>relative confidence</i>)
δ ¹⁸ O of mixed-layer planktonic foraminifera	Partitioning of ¹⁸ O/ ¹⁶ O from seawater into calcite shells of all foraminifera is temperature dependent. Verified by theoretical, field and laboratory studies. Utilizes extant and extinct species that resided in the photic zone.	0–50°C, 0–150 Ma	The ¹⁸ O/ ¹⁶ O ratios of recrystallized planktonic foraminifer shells in carbonate-rich sediments are biased toward colder seafloor temperatures, and at most, can only constrain the lower limit of SST. The transition in preservation is progressive with age. Well-preserved forams from clay rich sequences on continental margins are preferred. Diagenetic calcite is detectable by visual and microscopic techniques	Analytical errors are negligible (<i>high</i>) Sensitivity to T is high and similar to modern descendants (<i>high</i>) Seawater δ ¹⁸ O is known. The uncertainty varies with time depending on presence of continental ice-sheets, though error is negligible in the Pleistocene and during minimal ice periods such as the Eocene (<±0.25°C). Error doubles during periods of Oligocene and early Neogene glaciation because of weak constraints on ice-volume. (<i>medium to high</i>) Species lives in the mixed-layer and thus records SST (<i>high</i>) Local salinity/seawater δ ¹⁸ O is known (<i>low to medium</i>) Carbonate ion/pH is similar to modern (<i>medium, high</i>) Foraminifera from clay-rich sequences are well preserved and ratios unaffected by diagenesis (<i>high</i>) Foraminifera from carbonate-rich pelagic sequences are well preserved and ratios unaffected by diagenesis (<i>high to low; decreasing confidence</i>)

Mg/Ca in mixed-layer planktonic foraminifera	Partitioning of Mg/Ca from seawater into calcite shells is temperature dependent. Calibration to T is based on empirical field and laboratory culturing studies, as Mg concentrations of inorganically precipitated calcite are an order of magnitude higher than in biogenic calcite. There is no ice-volume influence on seawater Mg/Ca, though sensitivity does change with seawater Mg concentration	5–35°C, 0–65 Ma	Diagenetic recrystallization of foram shells can bias ratios, though the direction of bias is unknown and comparisons with other proxies suggest it is minor. The Mg/Ca is also slightly sensitive to seawater pH. Long-term changes in seawater Mg/Ca, on the order of a 2–5 %/10 myr, must be constrained via models.	with age) Biased towards summer SST in polar oceans (<i>medium</i>) Analytical errors are negligible (<i>high</i>) Mg containing oxide and organic contaminants have been removed by oxidative/reductive cleaning (<i>high</i>) Sensitivity to T in extinct species is similar to modern species (<i>medium</i>) Species lives in the mixed-layer and thus records SST (<i>high</i>) Seawater Mg/Ca is known (<i>high to medium: decreasing confidence with time</i>). Surface water carbonate ion/pH is similar to modern (<i>medium</i>) Forams from clay-rich sequences are well preserved and ratios unaffected by diagenesis (<i>high</i>) Forams from carbonate-rich pelagic sequences are well preserved and ratios unaffected by diagenesis (<i>high to low; decreasing confidence with age</i>) Biased towards summer SST in polar oceans (<i>medium</i>)
TEX ₈₆ index in Archea	The ratio of cyclopentane rings in archaeal tetraether lipids (TEX), i.e., isoprenoid glycerol dibiphytanyl glycerol tetraethers (GDGTs), is sensitive to the temperature of growth environment. The relationship and calibration with temperature is empirical (based on core tops), as the underlying mechanism(s) for this relationship has yet to be identified. Verification of field calibrations with laboratory cultures is still in progress. The compounds are extracted from bulk sediments.	1–40°C, 0–150 Ma	The depth from which the bulk of sedimentary GDGT's are produced is assumed to be the mixed-layer though this cannot be verified, for the modern or past. At least two species with differing ecologies appear to be producing the tetraethers. The GDGT signal is ultimately an integrated community signal allowing the potential for evolutionary changes to influence regional signals over time. Tetraethers are found in measurable abundances on continental shelves and/or organic rich sediments.	Analytical errors are small (<i>high</i>) Sensitivity to T similar to modern (<i>medium</i>) Species that produced tropical sedimentary GDGT's resided mainly in the mixed-layer and thus records SST (<i>medium to high</i>) Species that produced the sedimentary GDGT's in the sub-polar to polar regions mainly resided in the mixed-layer and thus records SST (<i>low</i>) No alteration of GDGT ratios during degradation of compounds (<i>medium to low: decreasing confidence with age</i>) No contamination by GDGT's derived from terrestrial sources (<i>medium to high depending on location of samples</i>) Biased towards summer SST in polar oceans (<i>medium</i>)
UK ₃₇ Index in Algae	Based on the relative concentration of C ₃₇ methyl ketones derived from the cells of haptophyte phytoplankton. Calibrations are empirically derived through field and culture studies	5–28°C, 0–50 Ma	The distribution of haptophyte algae ranges from sub-polar to tropical	Analytical errors are negligible (<i>high</i>) Sensitivity to SST similar to modern (<i>medium to high; decreasing confidence with time</i>) Species that produced the sedimentary alkenones lived in the mixed-layer and thus record SST (<i>high</i>) No alteration of alkenone saturation index during degradation of compounds (<i>medium; decreasing confidence with age</i>) Biased towards summer SST in polar oceans (<i>medium</i>)

Microfossil census modern analogue techniques	Utilises a statistical correlation between extant planktonic microfossil assemblage data (most commonly foraminifera, but also diatoms and radiolarian) and modern analogue databases. Most commonly used statistical methods are modern analogue technique (MAT) and artificial neural network (ANN).	0–40 °C 0–5 Ma	Dependent on quality, coverage, size and representativeness of the core top modern analogue data base. Extant species reduce with increasing age. This and paleogeographic and ocean circulation differences with age-limit applicability beyond 5 Ma.	The ecology of modern assemblages is largely controlled by SST (<i>high</i>) Sensitivity of paleo-assemblages to SST is similar to modern (<i>high, but decreases with increasing age</i>) Eurythermal assemblages responding to non-temperature (e.g., nutrient availability) influences can be identified (<i>medium</i>) That the extant species used to reconstruct SST mainly reside in the mixed layer (<i>medium to high</i>). Depositional and post-depositional processes have not biased the assemblage (<i>medium to high</i>)
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Table 5.A.3: Assessment of leads and lags between Antarctic, hemispheric temperatures and atmospheric CO₂ concentration during terminations. Chronological synthesis of publications, main findings, incorporation in IPCC assessments and key uncertainties.

Reference	Investigated Period	Source CO ₂ Data	Source Temperature Data	Lag Quantification Method	Lag Between Temperature and CO ₂ (positive, temperature lead)	Key Limitations
(Fischer et al., 1999)	Termination I Terminations I, II, III	Taylor Dome, Byrd* (CH ₄ synchronized age scales) Vostok* (gas age scales based on firn modeling)	Byrd d ¹⁸ O, Vostok dD (CH ₄ synchronized age) Vostok* dD (GT4 ice age scale)	Maximum at onset of interglacial periods	Antarctica: 600 ± 400 years	Ice core synchronization for Termination I (~300 years) Gas age-ice age difference simulated by firn models for interglacial conditions could be overestimated by ~400 years Signal to noise ratio Resolution of CO ₂ measurements and firnification smoothing (~300 years)
(Petit et al., 1999) (Pépin et al., 2001)	Terminations I, II, III, IV	Vostok* (GT4 gas age scale based on firn modeling)	Vostok dD (GT4 ice age age)	Onset of transitions	Antarctica: in phase within uncertainties Positive	Gas age-ice age difference simulated by firn models for glacial conditions could be overestimated by up to 1500 yr Resolution of CO ₂ measurements and firnification smoothing (~300 yr)

(Mudelsee, 0–430 ky 2001)			Entire record	Antarctica : 1300 ± 1000 years	Signal to noise ratio (1 ice core)	
<p><i>AR4: High-resolution ice core records of temperature proxies and CO₂ during deglaciation indicates that Antarctic temperature starts to rise several hundred years before CO₂ (Caillon et al., 2003; Monnin et al., 2001). During the last deglaciation, and likely also the three previous ones, the onset of warming at both high southern and northern latitudes preceded by several thousand years the first signals of significant sea level increase resulting from the melting of the northern ice sheets linked with the rapid warming at high northern latitudes (Pépin et al., 2001; Petit et al., 1999; Shackleton, 2000). Current data are not accurate enough to identify whether warming started earlier in the Southern Hemisphere (SH) or Northern Hemisphere (NH), but a major deglacial feature is the difference between North and South in terms of the magnitude and timing of strong reversals in the warming trend, which are not in phase between the hemispheres and are more pronounced in the NH (Blunier and Brook, 2001).</i></p>						
(Monnin et al., 2001)	Termination I	High resolution data from EDC on EDC1 gas age scale (based on firn modeling)	EDC on EDC1 ice age scale	Crossing points of linear fit	Antarctica: 800 ± 600 years	Gas age-ice age difference (±1000 years) Signal to noise ratio (1 ice core)
(Caillon et al., 2003)	Termination III	Vostok on GT4 gas age scale	Vostok d ⁴⁰ Ar on GT4 gas age scale	Maximum lagged correlation	Antarctica: 800 ± 200 years	Relationship between d ⁴⁰ Ar and temperature assumed to be instantaneous. The 800 yr is a minimum CO ₂ -temperature lag which does not account for a possible delayed response of firn gravitational fractionation to surface temperature change
<p><i>AR5 : Continental temperatures in Antarctica are governed by a number of processes, including the dominant CO₂ radiative effect, orbital forcing and changes in ice-sheet height. Previous reconstructions indicated a lead of Antarctic temperature over CO₂ concentration during previous glacial terminations by 800 ± 600 years (Caillon et al., 2003; Monnin et al., 2001; Appendix 5.3). Recently an improved estimate of gas ice-ice age differences in different ensembles of Antarctic ice cores suggested that earlier studies likely overestimated this lead. For the last glacial termination the most recent estimate is indistinguishable from zero (Parrenin et al., submitted; Pedro et al., 2012).</i></p> <p><i>Large-scale reconstructions of Southern Hemisphere climate change (Shakun et al., 2012) for the last glacial termination document a lead of Southern Hemisphere averaged temperature over Northern Hemisphere temperature. This lead can be explained by the bipolar thermal seesaw concept (Stocker and Johnsen, 2003) (see also Section 5.7) related to changes in the interhemispheric ocean heat transport caused by weakening of the AMOC during glacial termination (Ganopolski and Roche, 2009). Southern Hemisphere warming prior to Northern Hemisphere warming can also be explained by the fast sea ice response to changes in austral spring insolation (Stott et al., 2007; Timmermann et al., 2009). According to these mechanisms, southern temperature lead over Northern Hemisphere neither contradicts the northern hemisphere forcing of deglacial ice volume changes (high confidence), nor the important role of CO₂ in generating glacial-interglacial temperature variations due to the greenhouse effect.</i></p>						
(Shakun et al., 2012)	Termination I	EDC age scale synchronized to GICC05 ⁺ (Lemieux-Dudon et al., 2010)	NH : stack of 50 records including 2 Greenland ice cores SH : stack of 30 records incl. 4 ice cores (Vostok, EDML, EDC, Dome	Lag correlation (20 to 10 ka) using Monte-Carlo statistics	SH : 620 ± 660 years NH : –720 ± 660 years Global : –460 ± 340 years	Uncertainties in the original age scales of each record: e.g., reservoir ages of marine sediments, radiocarbon calibration (intCal04), Antarctic gas / ice chronology Similar limitations as in earlier studies for Antarctic temperature lead on CO ₂ Non stability of the phase lags : global temperature leads

(Pedro et al., 2012)	Siple Dome and Byrd, synchronized to GICC05 ⁺ age scale	F)* on their original age scale d ¹⁸ O composite (Law Dome, Siple Dome, Byrd, EDML and TALDICE* ice cores) synchronized to GICC05 ⁺ using firn modelling (Pedro et al., 2011)	Lag correlation (9–21 ka) and derivative lag correlation	Antarctica: 200 ± 200 years	CO ₂ at the onset of deglacial warming Uncertainty on gas – ice age difference in high accumulation sites (<300 years) and on synchronization methods to GICC05; data resolution Temperature versus other (e.g., elevation, moisture origin) signals in coastal ice core d ¹⁸ O Correlation method sensitive to minima, maxima and inflexion points
(Parrenin et al., submitted)	EDC, new gas age scale produced from the modified EDC3 ice age scale using lock-in depth derived from d ¹⁵ N of N ₂ and adjusted to be consistent with GICC05 ⁺ gas age scale. Processes affecting the gas lock-in depth such as impurities are implicitly taken into account when using d ¹⁵ N (no use of firn models).	Stack temperature profile derived from water isotopes from EDC*, Vostok*, Dome Fuji*, TALDICE* and EDML* synchronized to a modified EDC3 ice age scale	Monte-Carlo algorithm at linear break points	Antarctica: Warming onset: –70 ± 260 years Bølling onset: 300 ± 180 years Younger Dryas onset: –20 ± 150 years Holocene onset: 540 ± 120 years	Accuracy, resolution and interpolation of d ¹⁵ N of N ₂ ; assumption of no firn convective zone at EDC under glacial conditions. Data resolution and noise (e.g., precipitation intermittency biases in stable isotope records)

Notes:

* Names of different Antarctic ice cores (Byrd, Taylor Dome, Vostok, Siple Dome, Law Dome, TALDICE, Dome Fuji, EDML, EDC), with different locations, surface climate and firnification conditions. For the most inland sites (Vostok, EDC, Dome Fuji), at a given ice core depth, gas ages are lower than ice ages by 1500–2000 years (interglacial conditions) and 5000–5500 years (glacial conditions) while this gas age-ice age difference is lower (400–800 years) for coastal, higher accumulation sites (Byrd, Law Dome, Siple Dome).

⁺GICC05: Greenland Ice Core Chronology 2005, based on annual layer counting in Greenland (NGRIP, GRIP and DYE3 ice cores) (Rasmussen et al., 2006), back to 60 ka (Svensson et al., 2008). The synchronism between rapid shifts in Greenland climate and in atmospheric CH₄ variations allows to transfer GICC05 to Greenland and then to Antarctic CH₄ variations (Blunier et al., 2007).

Additional point: CO₂-Antarctic temperature phase during AIM events

Studies on CO₂ phasing relative to CH₄ during Dansgaard Oeschger event onsets (Ahn and Brook, 2008; Bereiter et al., 2012) suggest a lag of maximum CO₂ concentration relative to the Antarctic Isotope Maxima (AIM) 19, 20, 21, 23 and 24 by 260±220 yr during MIS5 and 670–870 yr±360 yr relative to AIM 12, 14, 17 during MIS3 (Bereiter et al., 2012).

Accordingly, the lag is dependent on the climate state. A lag is not discernable for shorter AIM. This study avoids the ice age/gas age difference problem, but relies on the bipolar seesaw concept, i.e., it assumes that maximum Antarctic temperatures are coincident to the onset of DO events and the concurrent CH₄ increase.

5.A.1 Supplemental Information for Figure 5.8

Comparisons of simulated and reconstructed NH temperature changes. The reconstructions and simulations that contribute to each panel are indicated in the appendix of the main text (Tables 5.A1 and 5.A2, respectively). Note that some reconstructions represent a smaller spatial domain than the full NH or a specific season, while annual temperatures for the full NH mean are shown for the simulations.

(a) Multi-model mean and estimated 90% multi-model range are shown by the thick and thin lines, respectively, for two groups of simulations: those forced by stronger (weaker) solar variability in red (blue). Note that the strength of the solar variability is not the only difference between these groups: the GCMs and the other forcings are also different between the groups. Overlap of reconstructed temperatures shown by green shading. All timeseries are expressed as anomalies from their 1500–1850 CE mean; and smoothed with a 30-year Gaussian-weighted filter, truncated 7 years from the end of each series to reduce end-effects of the filter. The grey shading represents a measure of the overlapping reconstruction confidence intervals, with scores of 1 and 2 assigned to temperatures within ± 1.645 (90% confidence range) or ± 1 standard deviation, respectively, then summed over all reconstructions and scaled so that the maximum score is dark grey, and minimum score is pale grey.

(b-d) Superposed composites (time segments from selected periods positioned so that the years with peak negative forcing are aligned) of the forcing and temperature response to (b) individual volcanic forcing events; (c) multi-decadal changes in volcanic activity; (d) multi-decadal changes in solar irradiance. Upper panels show the volcanic forcing (b), 40-year smoothed volcanic forcing (c), or 40-year difference in band-pass filtered solar forcing (d) for the individual selected periods (thin lines) and the composite mean (thick). In (d), the thick green line shows the composite mean of the volcanic forcing, also band-pass filtered, but constructed using the solar composite periods to demonstrate the changes in volcanic forcing that are coincident with solar variability. Lower panels show the NH temperature composite means and estimated 90% range of simulations (red line, pink shading) and reconstructions (grey line and shading), with overlap between the simulated and reconstructed ranges (darker shading). Each composite is shifted to have zero mean during the (b) 5 or (c–d) 40 years preceding the peak negative forcing. Only reconstructions capable of resolving (b) interannual or (c–d) interdecadal variations are used. Reconstructed and simulated temperature timeseries were first (c) smoothed with a 40-year Gaussian-weighted filter or (d) 20-to-160-year band-pass filtered.

(b) The individual volcanic forcing events are identified using the Ammann et al., (2007) volcanic forcing history, selecting only events from 1400–1999 that exceed -1.0 W m^{-2} below the mean volcanic forcing for the period 1500–1899. An event is not selected if a larger negative volcanic forcing event was present within 6 years (before or after) the event. The composite is formed by aligned time segments centred on 13 years: 1452, 1460, 1587, 1600, 1621, 1641, 1695, 1815, 1823, 1835, 1884, 1903 and 1992.

(c) The periods of strongest multi-decadal changes in volcanic forcing are identified using the Ammann et al., (2007) volcanic forcing history from 850–1999, smoothing with a 40-year Gaussian-weighted filter. All 80-year periods centred on years when the smoothed forcing exceeds -0.25 W m^{-2} below the 1500–1899 mean volcanic forcing are selected, except that a period is not selected if its central year is within 39 years of the central year of another period that has a larger negative 40-year smoothed volcanic forcing. The composite is formed by aligned time segments centred on 5 years: 1176, 1259, 1454, 1598 and 1814.

(d) The periods of strongest multi-decadal changes in solar forcing are identified using the Ammann et al., (2007) solar forcing history from 850–1999 CE, band-pass filtered to remove variations on timescales shorter than 20 years or longer than 160 years. The central year of each 80-year period is selected if the difference in band-passed solar forcing between that year and the value 40 years earlier exceeds -0.1 W m^{-2} . A period is not selected if its central year is within 39 years of the central year of another period that has a larger 40-year reduction in band-pass filtered solar forcing. The composite is formed by aligned time segments centred on 7 years: 1044, 1177, 1451, 1539, 1673, 1801 and 1905.

(e–f) Mean NH temperature difference between (e) MCA (950–1250 CE) and LIA (1400–1700 CE) and (f) 20th century (1900–2000 CE) and LIA, from reconstructions (grey), multi-reconstruction mean and range (dark grey), multi-model mean and range (brown), and model simulations (red/blue for models forced by

stronger/weaker solar variability). Time averages over shorter periods are used where data are incomplete (e.g., 1000–1250 CE is used for the MCA for reconstructions or simulations that begin in 1000 CE) providing that there are at least 200 years of data for the MCA and LIA periods, and at least 70 years for the 20th century. Where an ensemble of simulations is available from one model experiment, the ensemble mean is shown in solid and the individual ensemble members by open circles. Results are sorted into ascending order and labelled.

5.A.2 Supplemental Information to Section 5.3.5

Section 5.3.5 assesses knowledge of changes in hemispheric and global temperature over the last 2 ka from a range of studies, reconstructions and simulations. Tables 5.A.4 and 5.A.5 provide further information about the datasets used in Figures 5.7–5.9, and the construction of Figure 5.8 is described in more detail.

Figure 5.8 compares simulated and reconstructed NH temperature changes (see caption). In (a), all timeseries are expressed as anomalies from their 1500–1850 CE mean; and smoothed with a 30-year Gaussian-weighted filter, truncated 7 years from the end of each series to reduce end-effects of the filter. The grey shading represents a measure of the overlapping reconstruction confidence intervals, with scores of 1 and 2 assigned to temperatures within ± 1.645 standard deviation (90% confidence range) or ± 1 standard deviation, respectively, then summed over all reconstructions and scaled so that the maximum score is dark grey, and minimum score is pale grey. The composite of individual volcanic forcing events shown in (b) is formed by aligned time segments centred on the thirteen years: 1452, 1460, 1587, 1600, 1621, 1641, 1695, 1815, 1823, 1835, 1884, 1903 and 1992 CE. These are the years from 1400–1999 CE in the Ammann et al., (2007) volcanic forcing history that exceed -1.0 W m^{-2} below the mean volcanic forcing for the period 1500–1899 CE, excluding events with within 6 years (before or after) of a stronger event. The composite in (c) is formed by aligned time segments centred on the five years: 1176, 1259, 1454, 1598 and 1814 CE, selected where the Ammann et al., (2007) volcanic forcing history from 850–1999 CE, smoothing with a 40-year Gaussian-weighted filter exceeds -0.25 W m^{-2} below the 1500–1899 CE mean. The composite in (d) is formed by aligned time segments centred on the seven years: 1044, 1177, 1451, 1539, 1673, 1801 and 1905 CE. These are the years with the strongest multi-decadal changes in the Ammann et al., (2007) solar forcing history during 850–1999 CE, identified where the band-pass filtered forcing (retaining variations on timescales between 20 and 160 years) shows reductions of at least -0.1 W m^{-2} over a 40-year period. The thick green line in Figure 5.8d shows the composite mean of the volcanic forcing, also band-pass filtered, but constructed using the solar composite periods to demonstrate the changes in volcanic forcing that are coincident with solar variability. Each composite is shifted to have zero mean during the (b) 5 or (c–d) 40 years preceding the peak negative forcing. Only reconstructions capable of resolving (b) interannual or (c–d) interdecadal variations are used. Reconstructed and simulated temperature timeseries were first smoothed with a 40-year Gaussian-weighted filter in (c) or 20-to-160-year band-pass filtered in (d).

Table 5.A.4: NH climate reconstructions assessed in Table 5.1 and used in Figures 5.7–5.8.

Reference [Identifier]	Period (CE)	Resolution	Region ^a	Proxy Coverage ^b				Method and Data
				H	M	L	O	
Ammann and Wahl (2007) [AW2007]	1000–1980	Annual	L+S 0–90°N	☒	☒	☐	☐	Modification of the Mann et al., (1998, 1999) method; principal component inverse regression Multi-proxy network
Briffa et al., (2001) [only used in Figure 5.8b–d due to divergence issue]	1402–1960	Annual (summer)	L 20°N–90°N	☒	☐	☐	☐	Principal component forward regression of regional composite averages Tree-ring density network, age effect removed via age-band decomposition
Christiansen and Ljungqvist et al., (2012) [CL2012]	1–1973	Annual	L+S 30°N–90°N	☒	☒	☐	☐	Composite average of local records calibrated by local inverse regression Multi-proxy network

D'Arrigo et al., (2006) [DWJ2006]	713–1995	Annual	L 0–90°N	☒ ☐ ☐ ☐	Forward linear regression of composite average Network of long tree-ring width chronologies, age effect removed by Regional Curve Standardisation
Frank et al., (2007) [FEC2007]	831–1992	Annual	L 20°N–90°N	☐ ☐ ☐ ☐	Variance matching of composite average, adjusted for artificial changes in variance Network of long tree-ring width chronologies, age effect removed by Regional Curve Standardisation
Hegerl et al., (2007) [He..2007]	558–1960	Annual	L 20–90°N	☐ ☐ ☐ ☐	Total Least Squares regression Multi-proxy network
Juckes et al., (2007) [Ju..2007]	1000–1980	Annual	L 0–90°N	☐ ☐ ☐ ☐	Variance matching of composite average Multi-proxy network
Leclercq and Oerlemans (Leclercq and Oerlemans, 2011) [LO2011]	1600–2000	Multi-decadal	L 0–90°N L 90°S–0° L 90°S–90°N	☐ ☒ ☐ ☐	Inversion of glacier length response model 308 glacier records
Ljungqvist (2010) [L2010]	1–1999	Decadal	L 30°N–90°N	☐ ☐ ☐ ☐	Variance matching of composite average Multi-proxy network
Loehle and McCulloch (2008) [LM2008]	16–1935	Multi-decadal	L	☐ ☐ ☐ ☐	Average of calibrated local records Multi-proxy network
Mann et al., (2008) [Ma..2008]	200–1980	Decadal	L and L+S versions, 0–90°N, 0–90°S, and 90°S–90°N	☒ ☒ ☐ ☐	(i) Variance matching of composite average (ii) Total Least Squares regression Multi-proxy network
Mann et al., (2009) [Ma..2009]	500–1849	Decadal	L+S 0–90°N	☒ ☒ ☐ ☐	Regularized Expectation Maximization with Truncated Total Least Squares Multi-proxy network
Moberg et al., (2005) [Mo..2005]	1–1979	Annual	L+S 0–90°N	☒ ☒ ☐ ☐	Variance matching of composites of wavelet decomposed records Tree-ring width network for short timescales; non-tree-ring network for long timescales
Pollack and Smerdon (2004) [PS2004]	1500–2000	Centennial	L 0–90°N L 0–90°S L 90°S–90°N	☐ ☒ ☐ ☐	Borehole inversion

Notes:

(a) Region: L = land only, L+S = land and sea, latitude range indicated;

(b) Proxy location and coverage: H = high latitude, M = mid latitude, L = low latitude, O = oceans;

☐ = none or very few

☐ = limited

☒ = moderate

Table 5.A.5: Summary of the AOGCM simulations used in Figure 5.8, 5.9 and 5.12. Acronyms describing forcings: SS (solar forcing, stronger variability), SW (solar forcing, weaker variability), V (volcanic activity), G (GHG concentration), A (aerosols), L (Land-use changes), O (orbital changes), Z (other forcings such as tropospheric and/or stratospheric ozone changes). The table is divided into experiments previous to and those belonging to the CMIP5/PMIP3 project (Braconnot et al., 2012b). Super indices in forcing acronyms refer to original references to solar, volcanic and GHG forcing reconstructions used as boundary conditions for simulations. PMIP3 experiments follow forcing guidelines provided in Schmidt et al (2011; 2012). See Fernández-Donado et al., (submitted) for more information on pre-CMIP5/PMIP3 forcing configurations.

Model (Label)	(no. simulations) Time Interval	Forcings	Reference
<i>Pre CMIP5/PMIP3 Experiments</i>			
CCSM3	(1x) 1000–2098 CE	SS ¹¹ .V ²¹ .G ^{31,35,38}	Hofer et al., (2011)

	(3x) 1500–2098 CE		
CSM1.4	(1x) 850–1990 CE	SS ¹² ·V ²¹ ·G ^{31,35,38} ·A	Ammann et al., (2007)
CSIRO-MK3L-1-2	(3x) 102–2001 CE	SW ¹⁴ ·G ³⁴ ·O	Phipps et al., (submitted)
	(3x) 501–2001 CE	SW ¹⁴ ·V ²⁴ ·G ³⁴ ·O	
ECHAM4/OPYC	(1x) 1500–2000 CE	SS ¹¹ ·V ^{21,26} ·G ³⁹ ·A·L	Stendel et al., (2006)
ECHAM5/MPIOM	(5x) 800–2005 CE	SW ¹³ ·V ²⁵ ·G ^{33,34} ·A·L·O	Jungclaus et al., (2010)
	(3x) 800–2100 CE	SS ¹² ·V ²⁵ ·G ^{33,34} ·A·L·O	
ECHO-G	(1x) 1000–1990 CE	SS ¹¹ ·V ²² ·G ^{31,36,37}	González-Rouco et al (2003); NH average adjusted by Osborn et al., (2006); González-Rouco et al., (2006); Wagner et al., (2007)
	(1x) 1000–1990 CE	SS ¹¹ ·V ²² ·G ^{31,36,37}	
	(3x) 6000–1998 CE	SS ¹¹ ·G ^{31,36,37} ·O	
HadCM3	(1x) 1492–1999 CE	SS ¹¹ ·V ²³ ·G ³² ·A·L·O·Z	Tett et al., (2007)
IPSLCM4	(1x) 1001–2000 CE	SS ¹¹ ·G ^{34,35,38} ·A·O	Servonnat et al., (2010)
<i>CMIP5/PMIP3 Experiments</i>			
BCC-csm1-1	(1x) 851–2000 CE	SW ¹⁵ ·V ²⁴ ·G ^{xx} ·O	Wu (2012)
CCSM4	(1x) 850–2004 CE	SW ¹⁵ ·V ²⁴ ·G ³⁴ ·A·O	Landrum et al., (submitted)
CSIRO-MK3L-1-2	(1x) 851–2000 CE	SW ¹⁴ ·V ²⁵ ·G ³⁴ ·O	Phipps et al., (submitted)
GISS-E2-R	(1x) 850–2004 CE	SW ¹⁴ ·V ²⁵ ·G ³⁴ ·A·L·O	
	(1x) 850–2004 CE	SW ¹⁴ ·V ²⁴ ·G ³⁴ ·A·L·O	
	(1x) 850–2004 CE	SW ¹⁵ ·V ²⁵ ·G ³⁴ ·A·L·O	
	(1x) 850–2004 CE	SW ¹⁵ ·V ²⁴ ·G ³⁴ ·A·L·O	
FGOALS-g1	(1x) 1000–1999 CE	SW ^{xx} ·V ^{xx} ·G ^{xx} ·A	Zhou et al (2011)
HadCM3	(1x) 800–2000 CE	SW ^{xx} ·V ^{xx} ·G ^{xx} ·A·L·O	Schurer et al., (submitted)
MPI-ESM	(1x) 850–2005 CE	SW ^{xx} ·V ^{xx} ·G ^{xx} ·A·L·O	

Notes:

(*) Key for super indices in column 3. Original references for:

[1] Solar:

[11] Bard et al (2000) spliced to Lean et al (1995a)

[12] Bard et al (2000)

[13] Krivova et al (2007)

[14] Steinhilber et al (2009)

[15] Vieira et al (2010)

[2] Volcanic:

[21] Ammann et al (2003)

[22] Crowley (2000)

[23] Crowley et al (2003)

[24] Gao et al (2008)

[25] Crowley and Uterman (submitted)

[26] Robertson et al (2001)

[3] GHGs:

[31] Etheridge et al (1996)

[32] Johns et al (2003)

[33] Diagnosed + Marland et al (2003)

[34] MacFarling Meure et al (2006)

[35] Blunier et al (1995)

[36] Etheridge et al (1998)

[37] Battle et al (1996)

[38] Fluckiger et al (2002)

[39] Robertson et al (2001)

[xx] [PLACEHOLDER FOR FINAL DRAFT: Information to be provided]

5.A.3 Sea Level Estimates from Pliocene Benthic $\delta^{18}\text{O}$ Records

If the signal only records ice volume, then based on the relationship of the $\delta^{18}\text{O}$ of seawater to ice volume derived from pore water chemistry ($\sim 0.08\text{‰}$ per 10 m of sea level equivalent (Schrag et al., 2002), and assuming this relationship still held during the Pliocene, the lowest $\delta^{18}\text{O}$ values in the LR04 stack (Lisiecki and Raymo, 2005) suggest peak sea levels $\sim 12\text{--}31$ m higher than present. In contrast, Dowsett et al., (2009) reconstructed Pliocene deep-water (>2000 m water depth) temperature anomalies at 20 sites that ranged from -0.9°C to $+4.2^\circ\text{C}$, with an average of $1 \pm 1.2^\circ\text{C}$ (1 standard deviation) warmer than present. A temperature sensitivity of 0.28‰ per $^\circ\text{C}$ suggests that the lowest Pliocene benthic $\delta^{18}\text{O}$ values may be entirely explained

1 by warmer deepwater temperature. Other attempts to constrain the temperature component in benthic $\delta^{18}\text{O}$
2 records indicate higher-than-present sea level during mid-Pliocene warm periods (Dwyer and Chandler,
3 2009; Sosdian and Rosenthal, 2009), but these have large uncertainties ($\pm 15\text{--}25$ m) (Miller et al., 2012).
4 Assuming $\delta^{18}\text{O}$ isotopic compositions for the GIS (Greenland Ice Sheet), WAIS (Wais Antarctic Ice Sheet)
5 and EAIS (East Antarctic Ice Sheet), and a deep-ocean temperature contribution of between 20–50% of the
6 glacial-interglacial signal in the Pliocene $\delta^{18}\text{O}$ benthic record, Naish and Wilson (2009) and Miller et al.,
7 (2012) also concluded that peak sea levels were higher than present during the warmest mid-Pliocene
8 intervals, but these also have large uncertainties ($\pm 15\text{--}25$ m).
9

10 5.A.3.1 *Estimates of the Start and Duration of the LIG*

11
12 Following Stirling et al., (1995), the start of the LIG is defined by the time at which penultimate glaciation
13 sea levels, at sites far from former ice sheets, first reached their present value. The end is likewise defined by
14 the time at which these far-field levels fell below present. These definitions are maintained here although the
15 first is inconsistent with the definition of the onset of the Holocene and is also ambiguous since the isostatic
16 response results in sea level not reaching this level simultaneously everywhere (Lambeck and Nakada,
17 1992).
18

19 There have been a number of attempts to model open-system behaviour of fossil corals in order to "correct"
20 apparent ages that do not show closed-system behaviour (e.g., Thompson et al., 2003). Geochronologists are
21 currently debating the reliability of these open-system models (see Stirling and Andersen, 2009), but there
22 are still too few studies for an overall assessment. Thus, we take a conservative approach and use only those
23 published U-series ages that show reasonable evidence of closed-system history, based on a lack of original
24 aragonite alteration (<5%), U contents similar to modern corals, low Th contents, and $\delta^{234}\text{U}$ initial values
25 that fall within $\pm 8\%$ of the modern seawater value. Analytical errors (2 standard deviations) on individual
26 ages range from 0.2–2.2 kyr; most are 1.0 kyr or less.
27

28 Some of the differences between the duration of the LIG in the coral records can be explained by biological,
29 geological and geophysical factors. The apparent early start of the LIG on Barbados may be due in part to the
30 reefs here being dominated by the rapidly growing reef crest species *Acropora palmata*, resulting in
31 construction of a "keep-up" reef that keeps pace with a rising sea level. In contrast, Florida Keys LIG reefs
32 generally lack *A. palmata* and may have been "catch-up" reefs that responded more slowly to sea level rise.
33 In Bermuda, California and parts of Hawaii, the shorelines are erosional marine terraces overlain by coral-
34 bearing marine sediments. Here, the early part of the LIG may have been occupied by bench cutting and the
35 ages are from detrital corals that were deposited during the later part of the LIG. Also, the start time of reef
36 development depends on other factors, such as water temperature and changes in ocean currents.
37 Geochemical alteration from undetected diagenesis may also be responsible for some of the outlying values.
38 Finally GIA contributes a complex spatial-temporal sea level signal throughout an ice age cycle, including
39 during interglacial phases (Kopp et al., 2009; Lambeck and Nakada, 1992; Lambeck et al., 2012). For
40 example, during interglacial periods, sea level will rise due to GIA on the subsiding bulges at the periphery
41 of the former continental ice sheets whereas at further distance, in the so-called far-field, they will fall.
42

Chapter 5: Information from Paleoclimate Archives

Coordinating Lead Authors: Valérie Masson-Delmotte (France), Michael Schulz (Germany)

Lead Authors: Ayako Abe-Ouchi (Japan), Juerg Beer (Switzerland), Andrey Ganopolski (Germany), Jesus Fidel González Rouco (Spain), Eystein Jansen (Norway), Kurt Lambeck (Australia), Juerg Luterbacher (Germany), Tim Naish (New Zealand), Timothy Osborn (UK), Bette Otto-Bliesner (USA), Terrence Quinn (USA), Rengaswamy Ramesh (India), Maisa Rojas (Chile), XueMei Shao (China), Axel Timmermann (USA)

Contributing Authors: Kevin Anchukaitis (USA), Patrick J. Bartlein (USA), Gerardo Benito (Spain), Peter Clark (USA), Thomas Crowley (USA), Patrick De Deckker (Australia), Barbara Delmonte (Italy), Pedro DiNezio (USA), Trond Dokken (Norway), Harry J. Dowsett, R. Lawrence Edwards (USA), Hubertus Fischer (Switzerland), Dominik Fleitmann (UK), Claus Fröhlich (Switzerland), Aline Govin (Germany), Alan Haywood (UK), Chris Hollis (New Zealand), Ben Horton (USA), Robert Kopp (USA), Amaelle Landais (France), Camille Li (Norway), Dan Lunt (UK), Natalie Mahowald (USA), Shayne McGregor (USA), Jerry X. Mitrovica (USA), Anders Moberg (Sweden), Daniel R. Muhs (USA), Stefan Mulitza (Germany), Frédéric Parrenin (France), Paul Pearson (UK), Alan Robock (USA), Eelco Rohling (UK), Ulrich Salzmann (UK), Joel Savarino (France), Jason Smerdon (USA), Olga Solomina (Russia), Pavel Tarasov (Germany), Claire Waelbroeck (France), Dieter Wolf-Gladrow (Germany), Yusuke Yokoyama (Japan), Masakazu Yoshimori (Japan), James Zachos (USA), Dan Zwartz (New Zealand)

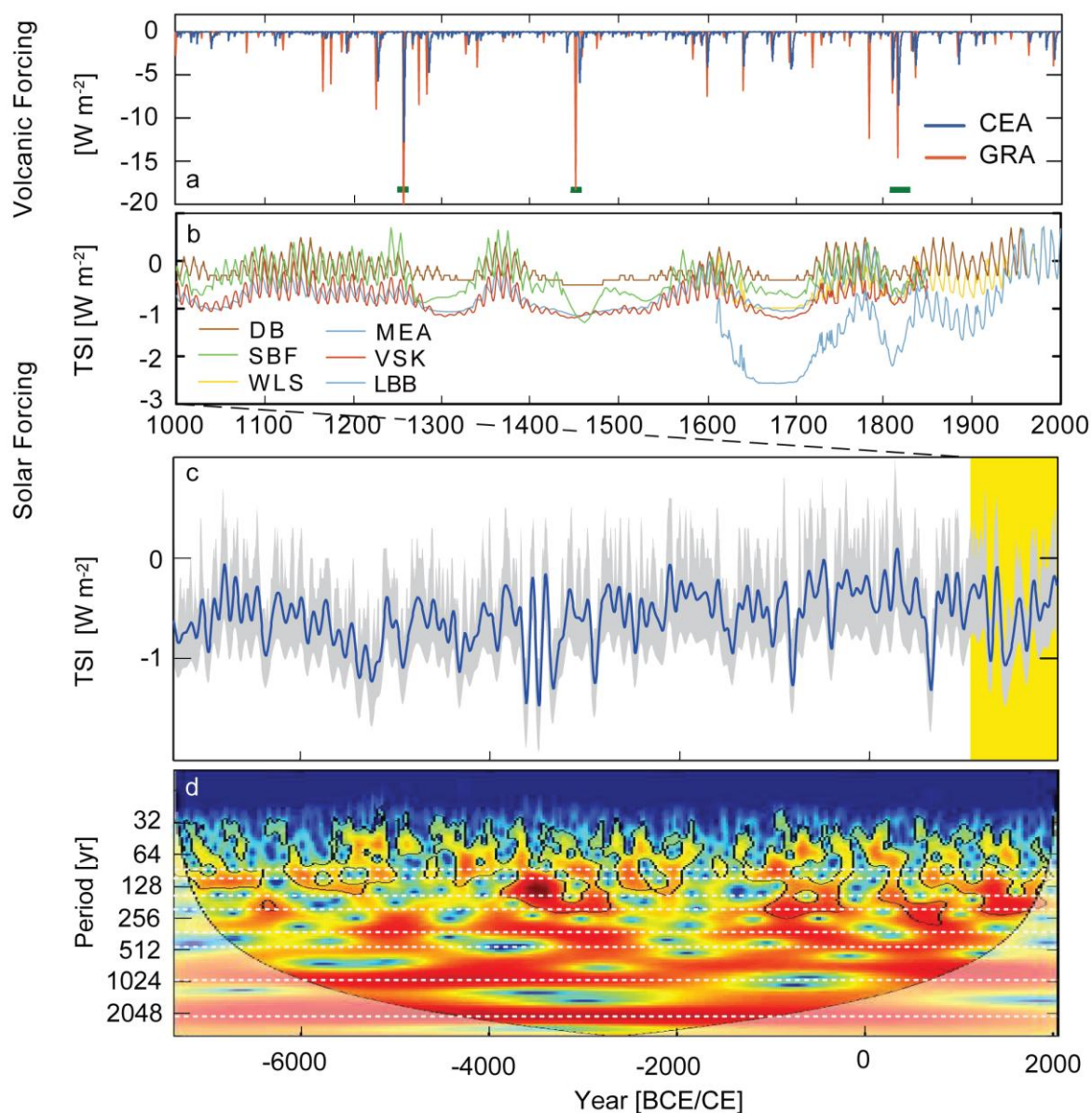
Review Editors: Anil K. Gupta (India), Fatemeh Rahimzadeh (Iran), Dominique Raynaud (France), Heinz Wanner (Switzerland)

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1 **Figures**

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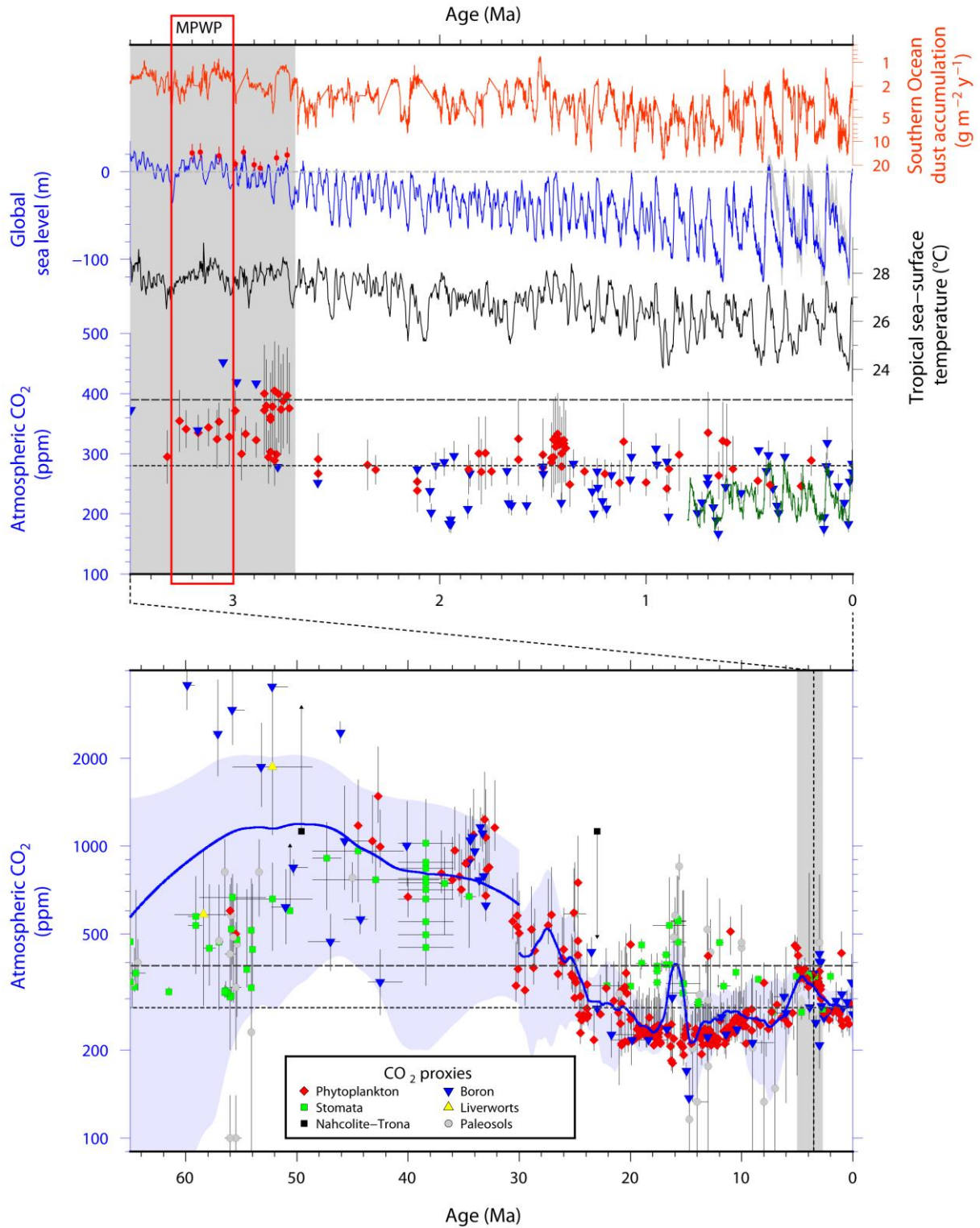
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5 **Figure 5.1:** a) Two reconstructions of volcanic forcing for the past 1000 years derived from ice core sulfate and used
6 for PMIP3-CMIP5 (Coupled Model Intercomparison Project) simulations (Schmidt et al., 2011). GRA: (Gao et al.,
7 2008); CEA: (Crowley and Unterman, submitted; Crowley and Hyde, 2008; Timmreck et al., 2009). Volcanic sulfate
8 peaks identified from their isotopic composition as originating from the stratosphere (Cole-Dai et al., 2009) are
9 indicated by squares (green: Greenland; brown: Antarctica) (Baroni et al., 2008). b) TSI reconstructions back to 1000
10 CE. Proxies of solar activity (e.g., sunspots, ^{10}Be) are used to estimate the parameters of the models or directly TSI. All
11 records except LBB (Lean et al., 1995) have been used for PMIP3-CMIP5 simulations (Schmidt et al., 2011). DB:
12 (Delaygue and Bard, 2011); MEA: (Muscheler et al., 2007); SBF: (Steinhilber et al., 2009); WLS: (Wang et al., 2005);
13 VSK: (Vieira et al., 2011). Before 1600 CE, the 11-year cycle has been added artificially to the original data. c) TSI
14 reconstruction (100-year low-pass filtered; grey shading: 1 standard deviation uncertainty range) for the past 9300 years
15 (Steinhilber et al., 2009). The reconstruction is based on ^{10}Be and calibrated using the relationship between instrumental
16 data of the open magnetic field, which modulates the production of ^{10}Be and TSI for the past 4 solar minima. d)
17 Wavelet analysis (Torrence and Compo, 1998) of TSI showing the existence of several preferred periodicities (87, 104,
18 150, 208, 350, 510, ~980, ~2200 years) with varying amplitudes.

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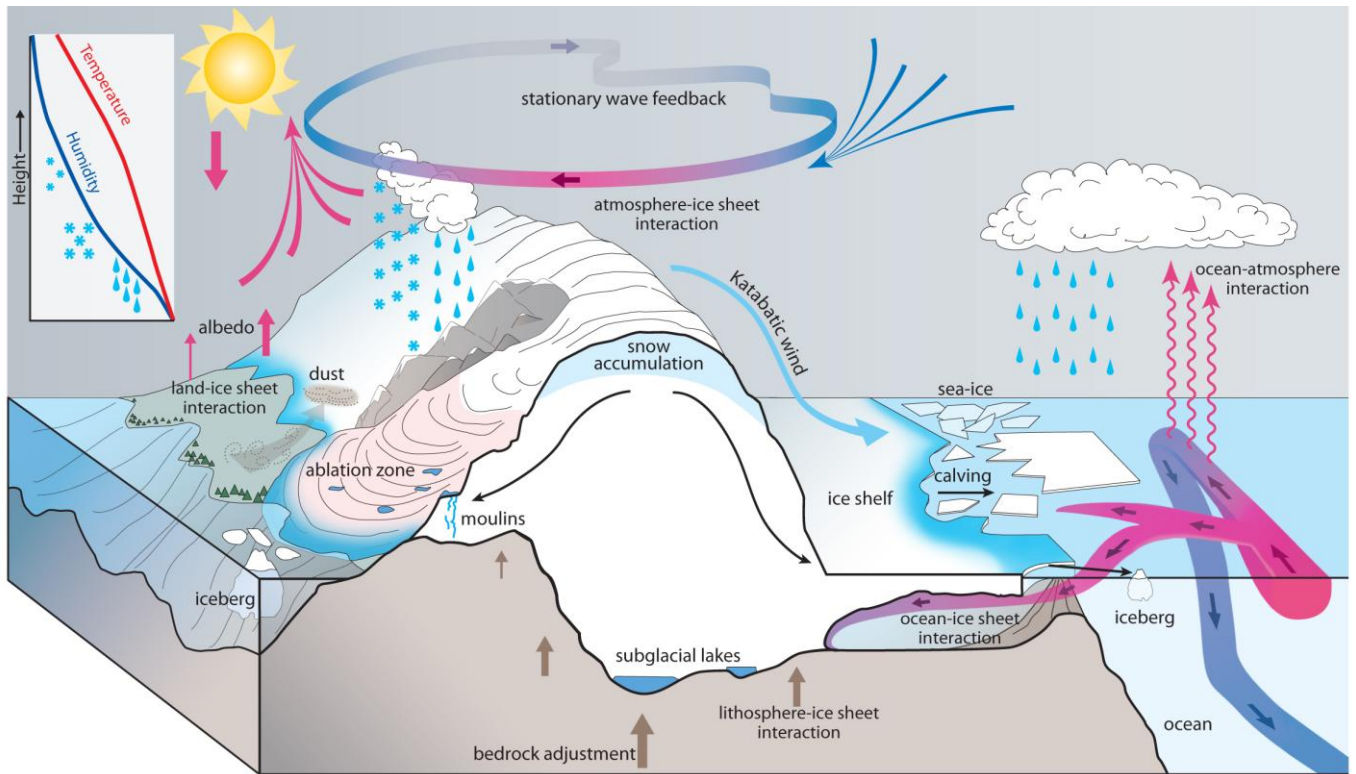
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Figure 5.2: (Top) Radiative forcings and perturbations and orbital-scale Earth system responses 3.6 Ma to present. Reconstructed dust mass accumulation rate from the Atlantic sector of the Southern Ocean (red) (Martinez-Garcia et al., 2011). Sea level curve (purple) is the stacked benthic oxygen isotope proxy for ice volume and ocean temperature (Lisiecki and Raymo, 2005) calibrated to global average eustatic sea level (Miller et al., 2012; Naish and Wilson, 2009). Also shown are global eustatic sea level reconstructions for the last 500 kyr based on sea level calibration of the $\delta^{18}\text{O}$ curve using dated coral shorelines (grey line; Waelbroeck et al., 2002) and weighted mean estimates (2 standard deviation uncertainty) for far-field reconstructions of eustatic peaks during mid-Pliocene interglaciations (red dots; Miller et al., 2012). The dashed horizontal line represents modern sea level. Tropical sea surface temperature (black line) based on a stack of 4 alkenone-based SST reconstructions (Herbert et al., 2010). Atmospheric CO_2 measured from EPICA Dome C ice core (blue line; Lüthi et al., 2008), and estimates of CO_2 from boron $\delta^{11}\text{B}$ isotopes in foraminifera

1 in marine sediments (blue triangles; Honisch et al., 2009; Seki et al., 2010), and phytoplankton alkenone-derived carbon
2 isotope proxies (red diamonds; Pagani et al., 2010; Seki et al., 2010), plotted with 2 standard deviation uncertainty.
3 Present (2012 CE) and pre-industrial CO₂ concentrations are indicated with long-dashed and short-dashed grey lines,
4 respectively. (Bottom) Concentration of atmospheric CO₂ for the last 65 Myr is reconstructed from marine and
5 terrestrial proxies and compiled by Beerling and Royer (2011; additional boron CO₂ proxy data from Pearson and
6 Palmer (2000) are also included). Individual proxy methods are colour-coded. Errors represent reported uncertainties
7 (plotted with 2 standard deviation uncertainty; see also Table 5.1 for assessment of confidence of proxies). Most of the
8 data points for CO₂ proxies are based on duplicate and multiple analyses. The blue line is a kernel regression through all
9 the data points with a bandwidth of 8 Myr prior to 30 Ma, and 1 Myr to present. The blue shading is a 1 standard
10 deviation. Uncertainty band constructed using block bootstrap resampling (Mudelsee et al., submitted). Shaded grey
11 vertical band highlights global warmth during the Pliocene (~5–2.7 Ma; about +2–3°C global mean; see also Box 5.2
12 Figure 1). The red box labelled MPWP represents the Mid Pliocene Warm Period from 3.3–3 Ma)
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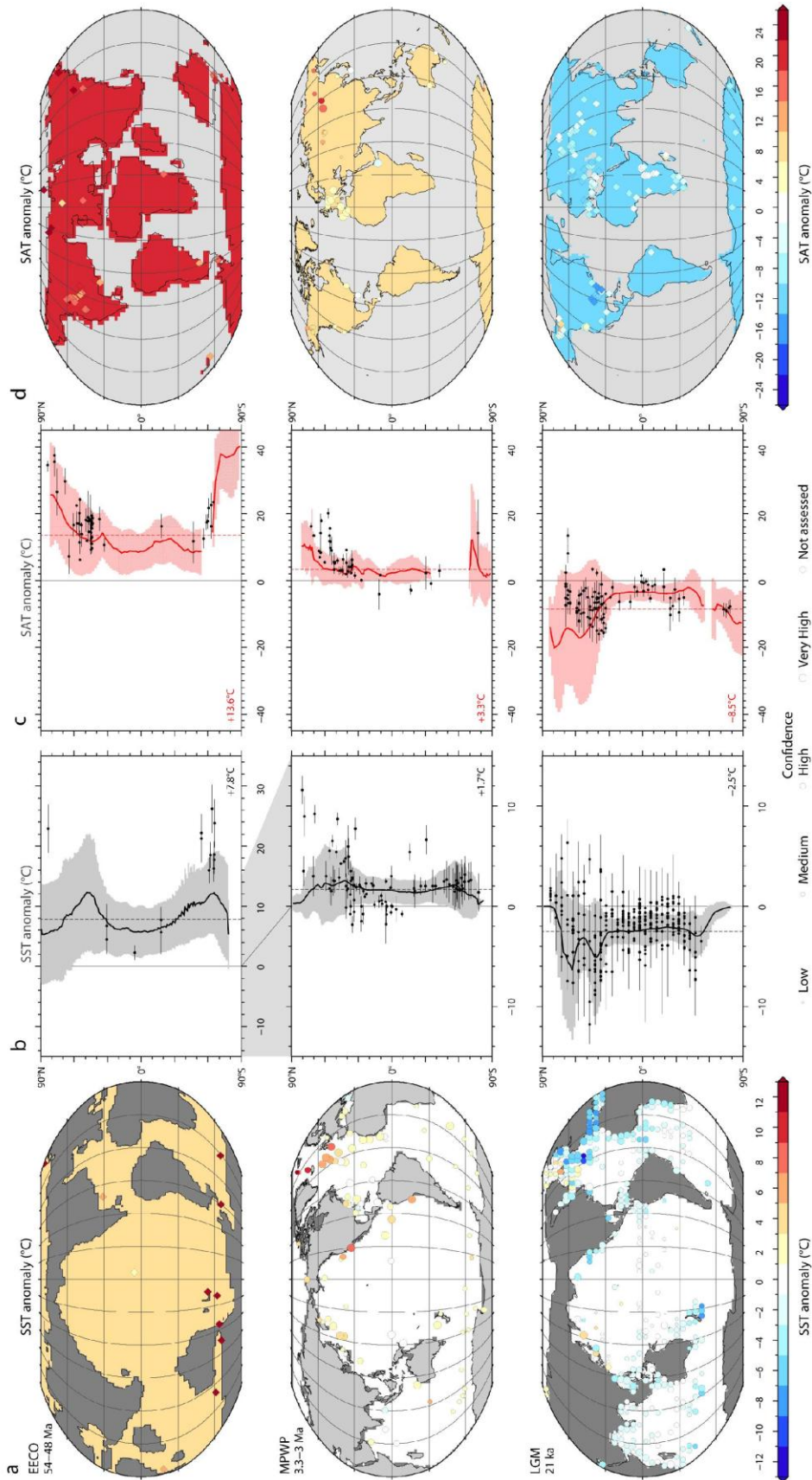
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4 **Box 5.1, Figure 1:** Schematic illustration of multiple interactions between ice sheets, solid Earth, and climate system
 5 which can drive internal variability and affect the coupled ice sheet–climate response to external forcings on timescales
 6 of hours to millions of years.

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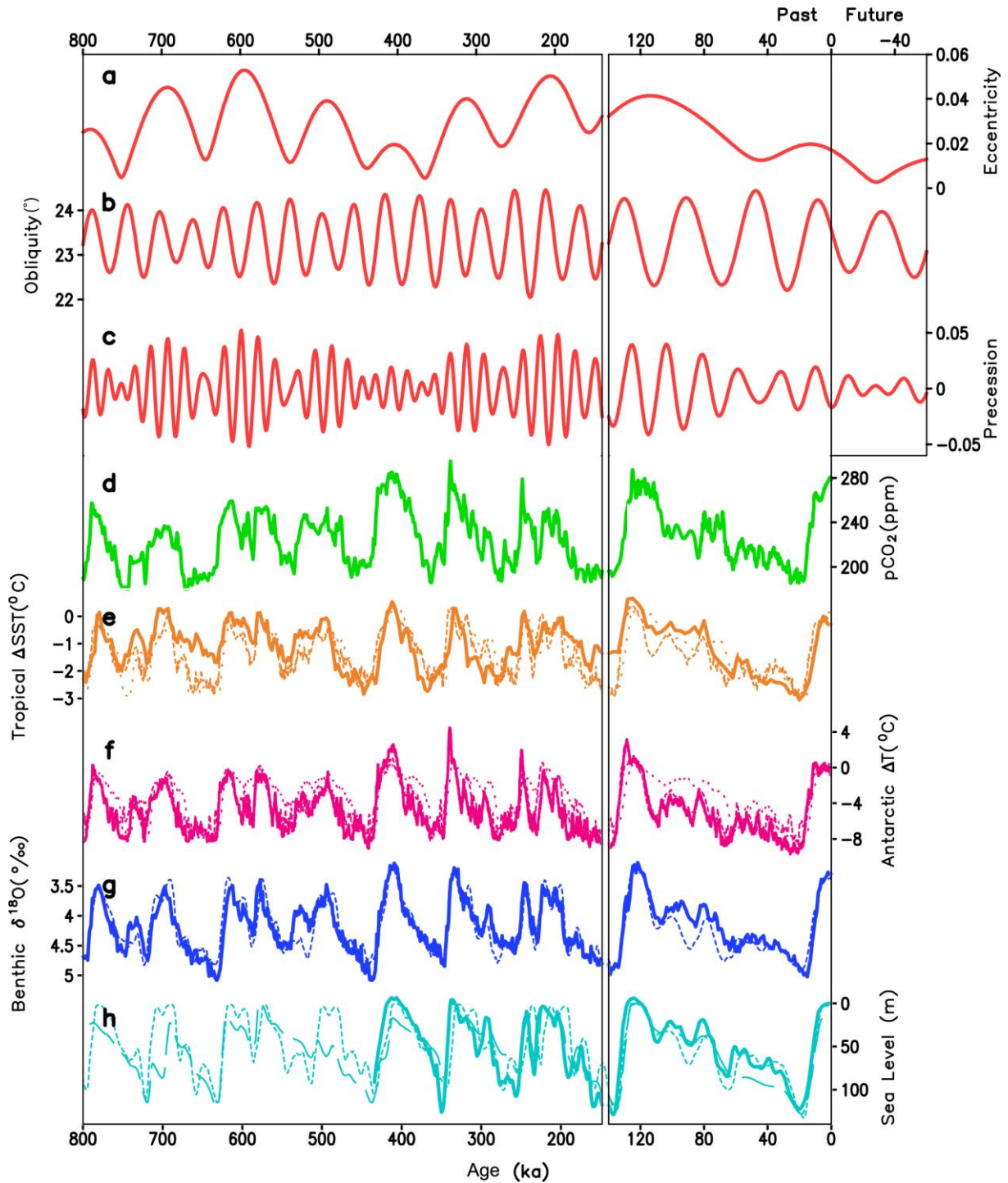
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Box 5.2, Figure 1: Data and multi model mean (MMM) comparisons of a) SST, b) zonally-averaged MMM SST gradient and proxy SST, c) zonally averaged MMM surface air temperature (SAT) gradient and proxy SAT, and d) SAT anomalies for the Early Eocene Climatic Optimum (EECO, top row), the mid-Pliocene warm period (MPWP, middle row) and the LGM (bottom row). Model temperature anomalies are calculated relative to the pre-industrial value of

1 each model in the ensemble prior to calculating the MMM anomaly (a, d; colour shading). Zonal MMM gradients (b, c)
2 are plotted with a shaded band indicating 2 standard deviation uncertainty. Site specific temperature anomalies
3 estimated from proxy data are calculated relative to present site temperatures and are plotted (a, d) using the same
4 colour scale as the model data, and a circle size scaled to estimates of confidence. In the zonal plots (b, c) the proxy data
5 anomalies are shown with error bars indicating 2 standard deviation uncertainty. Proxy data compilations for the LGM
6 are from MARGO Project Members (2009) and Bartlein et al., (2011), for the MPWP are from Dowsett et al., (2012)
7 and Salzmann et al., (2008), and for the EECO are from Hollis et al., (submitted) and Lunt et al., (2012). Model
8 ensemble simulations for the LGM are after (Harrison et al., submitted), for the Pliocene are from Haywood et al.,
9 (submitted), and for the EECO are after Lunt et al., (2012).

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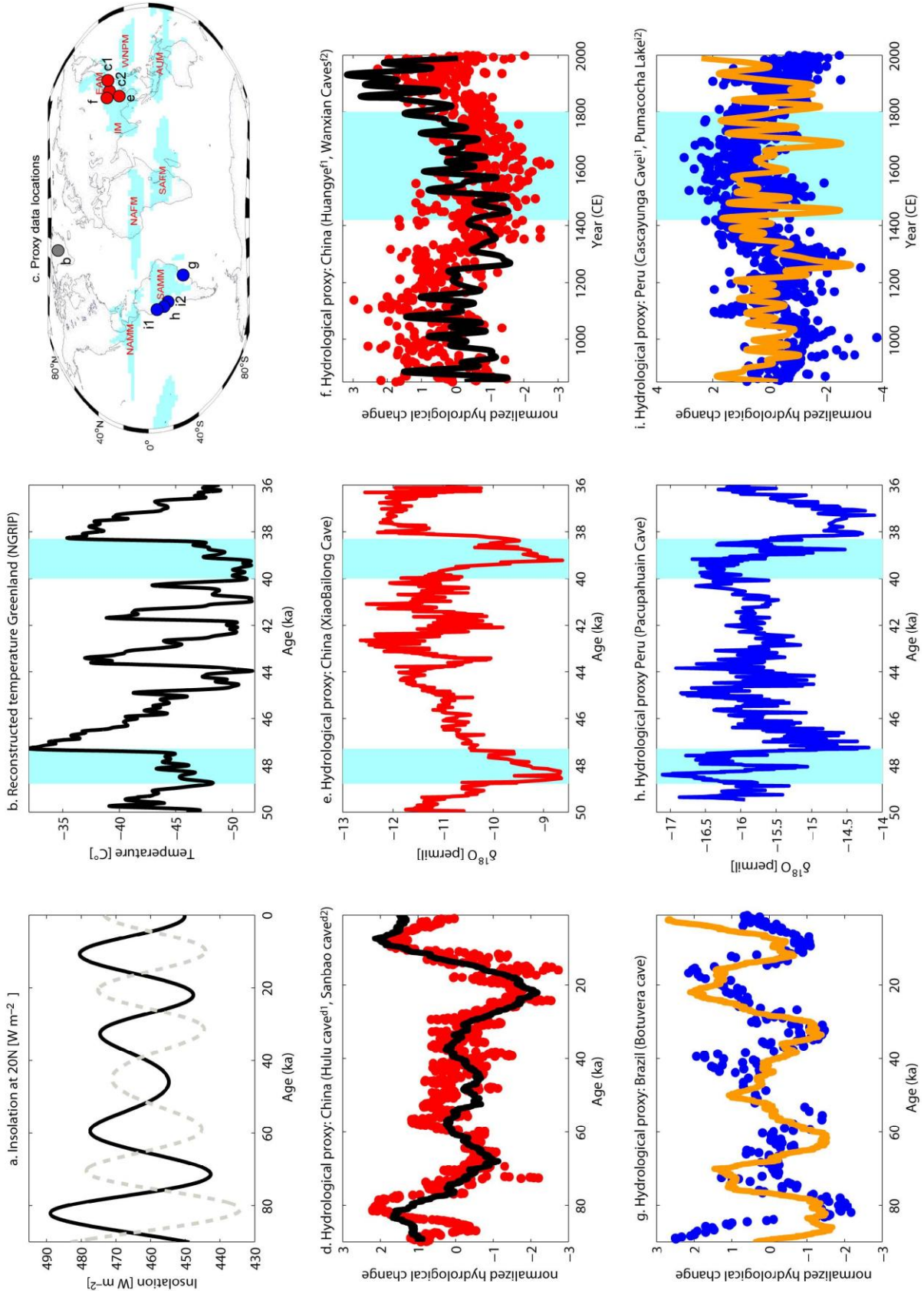
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Figure 5.3: Orbital parameters and proxy records over the past 800 kyr. (a) Eccentricity, (b) obliquity, (c) precessional parameter (Berger and Loutre, 1991), (d) atmospheric concentration of CO₂ from Antarctic ice cores (Ahn and Brook, 2008; Lüthi et al., 2008; Petit et al., 1999; Siegenthaler et al., 2005), (e) tropical SST stack (Herbert et al., 2010), (f) Antarctic temperature stack based on up to seven different ice cores (Blunier and Brook, 2001; EPICA Community Members, 2006; Jouzel et al., 2007; Petit et al., 1999; Stenni et al., 2011; Watanabe et al., 2003), (g) stack of benthic δ¹⁸O, a proxy for global ice volume and deep ocean temperature (Lisiecki and Raymo, 2005), (h) reconstructed sea level (Waelbroeck et al., 2002). Solid lines represent orbital forcing and proxy records, dashed lines depict results of simulations with climate and climate-ice sheet models forced by variations of the orbital parameters and the atmospheric concentrations of the major greenhouse gases. Short dashed line: CLIMBER-2 (Ganopolski and Calov, 2011), long dashed line: IcIES (Abe-Ouchi et al., 2007), dotted line: Bern3D (Ritz et al., 2011). Note the change of the age-axis scale at 140 ka.

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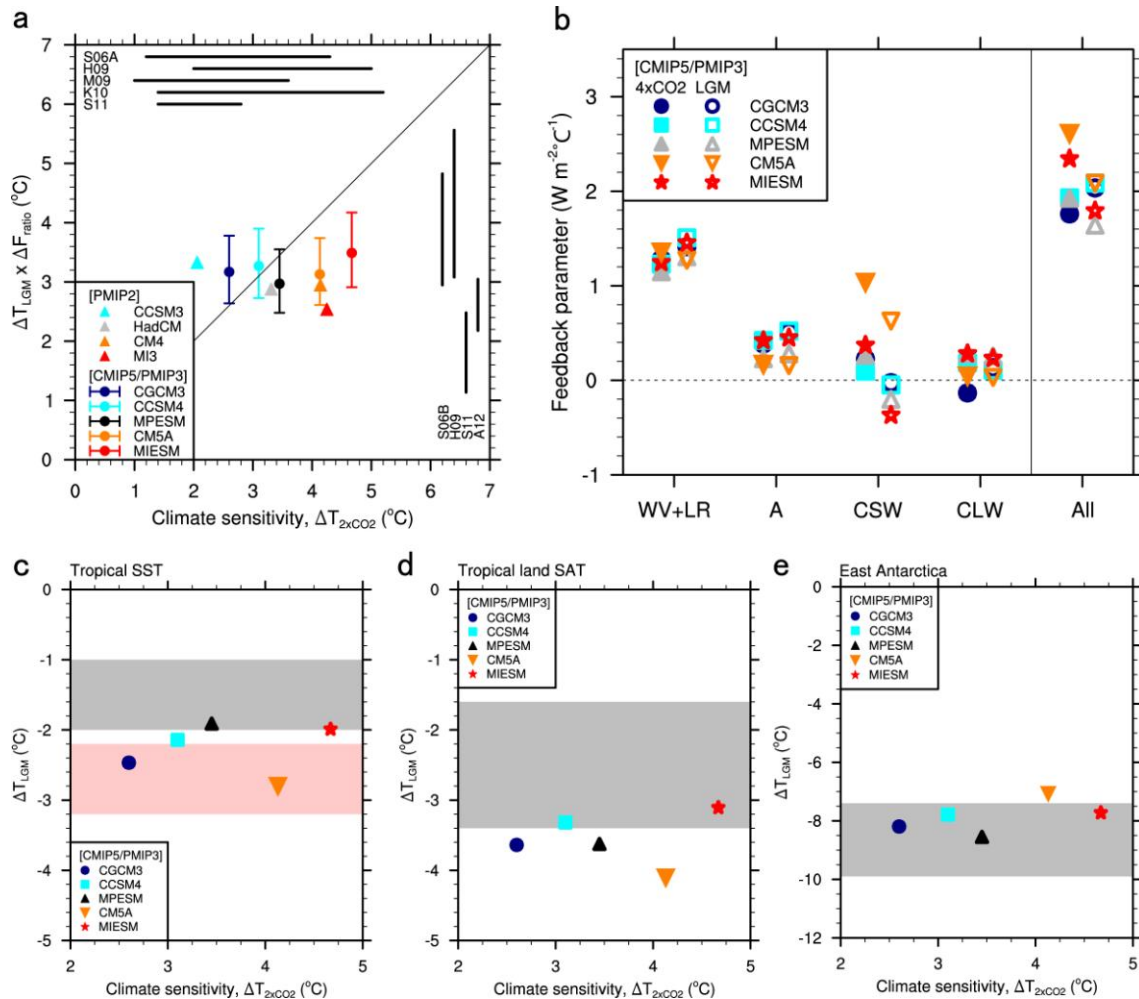


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Figure 5.4: Interhemispheric response of monsoon systems to orbital forcing, AMOC changes, and radiative forcing since 850 CE: a. boreal summer insolation changes at 20°N (black) ($W m^{-2}$), austral summer insolation changes at 20°S (blue); b. Temperature changes in Greenland (°C) reconstructed from NGRIP ice core on SS09 timescale (Huber et al., 2006), location indicated by black circle in c; c. Location of proxy records displayed in relation to the global monsoon regions (Wang and Ding, 2008) North American Monsoon (NAMM), South American Monsoon (SAMM), NAFM

1 (North African Monsoon), SAFM (South African Monsoon), IM (Indian Monsoon), EAM (East Asian Monsoon),
2 WNPM (Western North Pacific Monsoon), AUM (Australian Monsoon). d. Reconstructed (purple) standardized
3 negative $\delta^{18}\text{O}$ anomaly in East Asian Summer Monsoon region derived from Hulu cave (Wang et al., 2001) and Sanbao
4 cave speleothem records, China (Wang et al., 2008) and simulated standardized multi-model average (black) of annual
5 mean rainfall anomalies averaged over region 108°E – 123°E and 25°N – 40°N using the transient runs conducted with
6 LOVECLIM (Timm et al., 2008), FAMOUS (Smith and Gregory, 2012), and the HadCM3 snapshot simulations
7 (Singarayer and Valdes, 2010); e. $\delta^{18}\text{O}$ from Xiaobailong cave, China (Cai et al., 2010); f. standardized negative $\delta^{18}\text{O}$
8 anomalies (purple) in Huangye (Tan et al., 2011) and Wanxian Caves (Zhang et al., 2008), China, and simulated
9 standardized annual mean and 30-year low pass filtered rainfall anomalies (black) in region 100°E – 110°E , 20°N – 35°N ,
10 ensemble averaged over the Last Millennium experiments conducted with CCSM4, ECHO-G, MPI-ESM, CSIRO-
11 MARK3, MIROC, HADCM3; g. standardized negative $\delta^{18}\text{O}$ anomaly (blue) from Botuvera speleothem, Brazil (e.g.,
12 Cruz et al., 2009) and simulated standardized multi-model average (black) of annual mean rainfall anomalies averaged
13 over region 45°W – 60°W and 35°S – 15°S using same experiments as in panel d; h. standardized negative $\delta^{18}\text{O}$ anomaly
14 (brown) from Pacupahuain cave, Peru (Kanner et al., 2012); i. standardized $\delta^{18}\text{O}$ anomalies (blue) from Cascayunga
15 Cave, Peru (Reuter et al., 2009) and Pumacocha Lake, Peru (Bird et al., 2011) and simulated standardized annual mean
16 and 30-year low-pass filtered rainfall anomalies (black) in region 76°W – 70°W , 15.7°S – 8°S , ensemble averaged over
17 the Last Millennium experiments from f.
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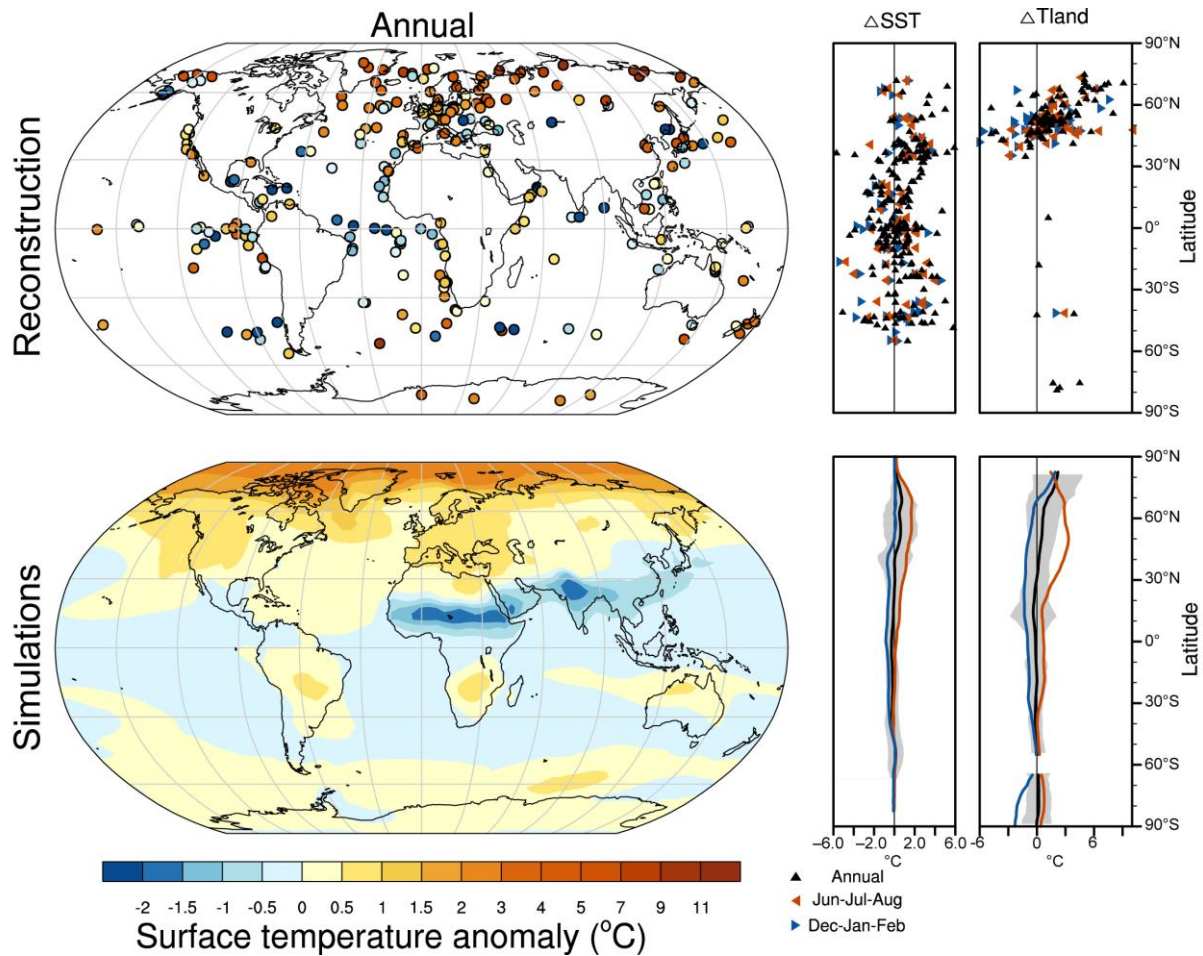
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Figure 5.5: a) Relation between equilibrium climate sensitivity estimated from $2 \times CO_2$ or abrupt $4 \times CO_2$ experiments and that estimated from LGM simulations. Climate sensitivity of PMIP2 AOGCMs was taken from Crucifix (2006) and that of CMIP5/PMIP3 models was taken from Andrews et al., (2012) and Brady et al., (submitted). Climate sensitivity based on LGM simulations were derived by multiplying the ratio of radiative forcing between $2 \times CO_2$ and LGM (ΔF_{ratio}). ΔF_{ratio} for three PMIP2 models (CCSM3, HadCM, CM4) were taken from Crucifix (2006), and it was taken from Yoshimori et al., (2009) for MI3. Its range, -0.80 to -0.56 , with a mean of -0.67 was used for CMIP5/PMIP3 models. Horizontal bars represent 90% confidence interval for the estimated climate sensitivity based on glacial climates in literatures: “S06a”, “M09”, “H10”, “K10” and “S11” denote Schneider von Deimling et al., (2006a), MARGO Project Members (2009), Holden et al., (2010a), Köhler et al., (2010), and Schmittner et al., (2011), respectively. Vertical bars represent 90% confidence interval for LGM global mean temperature change in literatures multiplied by the mean of ΔF_{ratio} . “S06b” and “A12” denote Schneider von Deimling et al., (2006b) and Annan and Hargreaves (submitted), respectively. Also plotted is a one-to-one line; b) Strength of individual feedbacks for the CMIP5/PMIP3 abrupt $4 \times CO_2$ (131–150 years) and LGM (stable states) experiments following the method in Yoshimori et al., (2011). “WV+LR”, “A”, “CSW”, “CLW” denote water vapour plus lapse-rate, surface albedo, shortwave cloud, and longwave cloud feedbacks, respectively. “All” denotes the sum of all feedbacks except for the Planck response. Feedback parameter here is defined as the change in net radiation at the top of the atmosphere due to the change in individual fields such as water vapour, and it is normalized by the global mean surface air temperature change. Climate sensitivity depends on forcings, feedbacks and ocean heat uptake. Positive (negative) value indicates that the feedback amplifies (suppresses) the initial temperature response; c) Relation between models’ climate sensitivity and LGM tropical SST change from today; d) Same as in c but for tropical surface air temperature over land; and e) Same as in c but for surface air temperature in East Antarctica. Gray shadings in c-e are 90% confidence intervals for temperature reconstructions from Annan and Hargreaves (submitted) and a range for East Antarctica based on reconstructions from stable isotopes from several ice cores (Dome F, Vostok, EDC and EDML) from Stenni et al., (2010) and Uemura et al., (2012). Pink shading in c represents estimates by Ballantyne et al., (2005) with ± 1 standard deviation. “HadCM”, “CM4”, “MI3”, “CGCM3”, “MPESM”, “CM5A”, and “MIESM” denote “HadCM3”, “IPSL-CM4”, “MIROC3.2”, “MRI-CGCM3”, “MPI-ESM-P”, “IPSL-CM5A-LR”, and “MIROC-ESM”, respectively.

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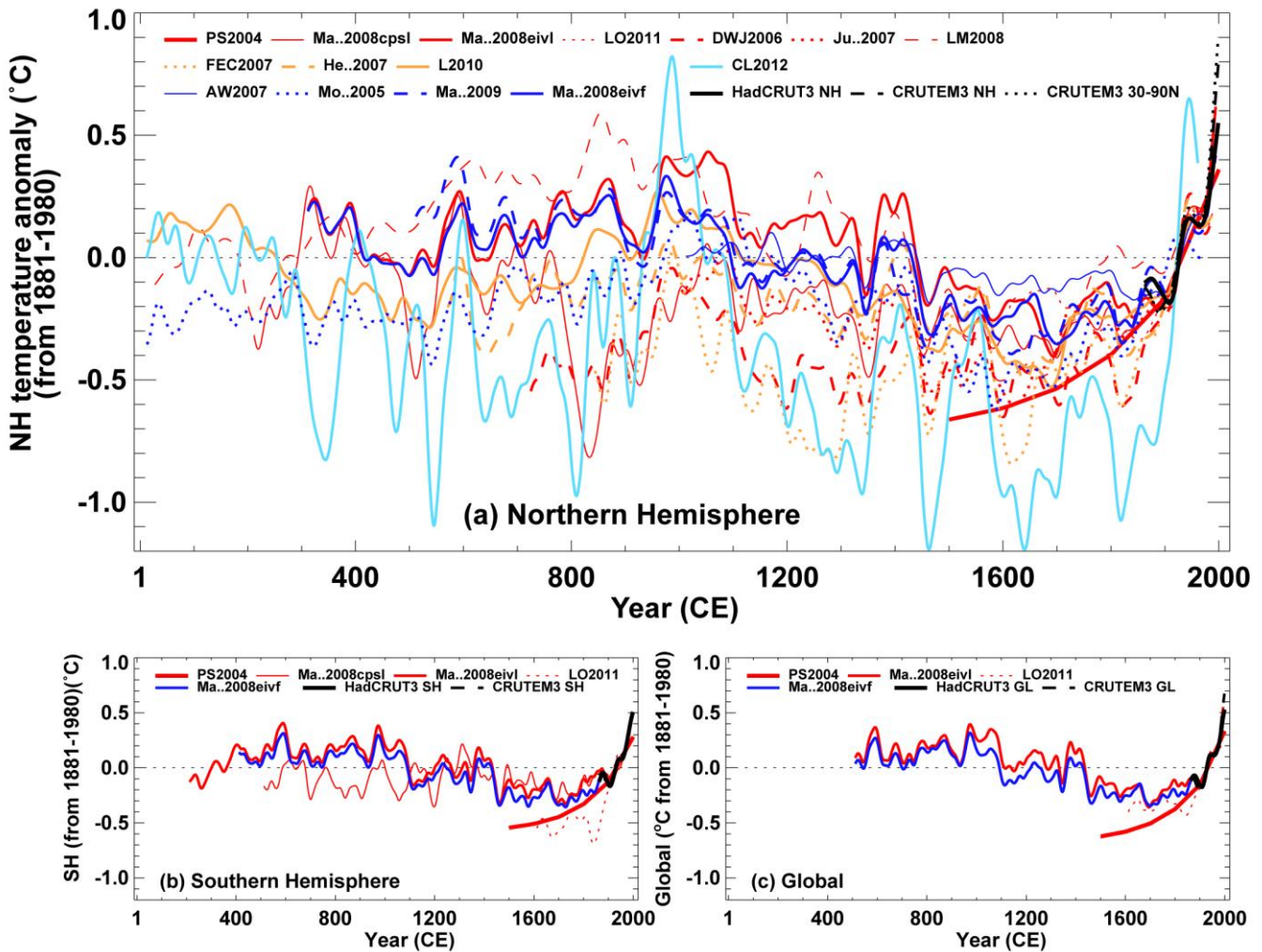
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Figure 5.6: Changes in surface temperature for the Last Interglacial as reconstructed from data and simulated by an ensemble of climate model experiments in response to orbital forcing. Top left: Proxy data syntheses of annual surface temperature anomalies as published by Turney and Jones (2010) and McKay et al., (2011). McKay et al., calculated an annual anomaly for each record as the average SST of the 5-kyr period centered on the warmest temperature between 135 ka and 118 ka and then subtracting the average SST of the late Holocene (5 ka to 0 ka). Turney and Jones calculated the annual temperature anomalies relative to 1961–1990 CE by averaging the LIG temperature estimates across the isotopic plateau in the marine and ice records and the period of maximum warmth in the terrestrial records (assuming globally synchronous terrestrial warmth). Top right: Proxy records of zonal mean anomalies of SST from Turney and Jones (annual) and McKay et al., (annual and seasonal) and terrestrial surface temperature from Turney and Jones (annual) and compiled from published literature by Tarasov and Müller (seasonal). SST anomalies larger than 6°C (two annual in Nordic Sea, two annual in Southern Ocean, one annual in eastern tropical Pacific) and terrestrial anomalies larger than 10°C (four annual in Siberia, one DJF each in Siberia and Finland) or less than –6°C (two DJF in France) are not included in zonal averages. Bottom left: Multi-model average of annual surface air temperature anomalies simulated for the Last Interglacial computed with respect to the pre-industrial control simulations. The results for the Last Interglacial are obtained from 18 simulations for 125–130ka conducted by 12 modeling groups (CCSM3_Bremen, CCSM3_LLN, CCSM3_NCAR, CLIMBER_LSCE, COSMOS_AWI, ECHAM5_ZMAW, HadCM3_Bristol, IPSL_LSCE, KCM_Kiehl, LOVECLIM_Amsterdam, LOVECLIM_LLN, and MIROC_Tokyo). Bottom right: Zonal mean anomalies of SST and terrestrial surface temperature from the multi-model mean for annual, June-July-August and December-January-February. Gray-shaded bands indicate the 2 standard deviation uncertainties of the annual mean anomalies.

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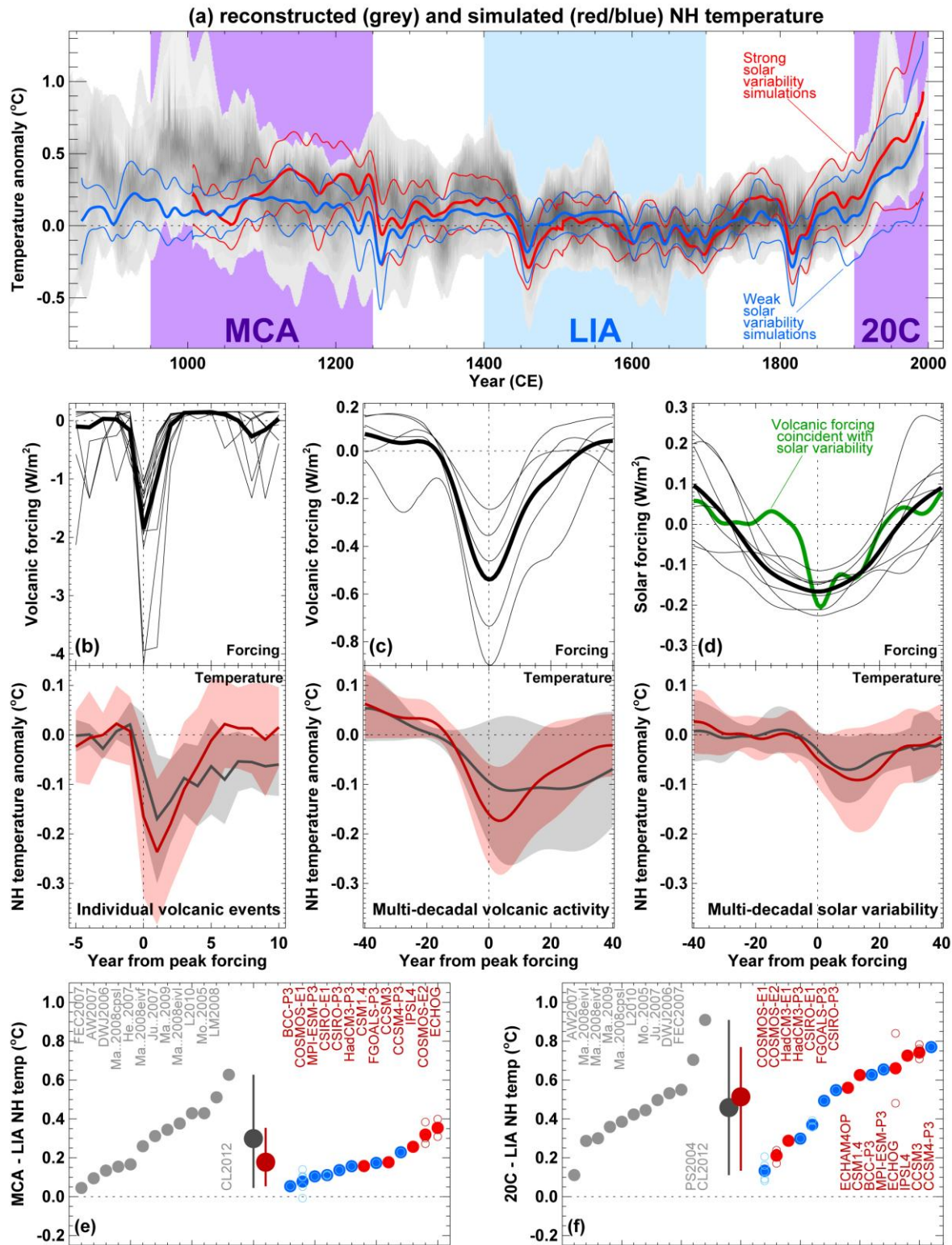
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4 **Figure 5.7:** Reconstructed (a) Northern and (b) Southern Hemisphere, and (c) global annual temperatures during the
 5 last 2000 years. Individual reconstructions (see Appendix 5.A.1 for further information about each one) are shown as
 6 indicated in the legends, grouped by colour according to their spatial representation (red: land-only all latitudes; orange:
 7 land-only extra-tropical latitudes; light blue: land and sea extra-tropical latitudes; dark blue: land and sea all latitudes)
 8 and instrumental temperatures shown in black (HadCRUT3 land and sea, and CRUTEM3 land-only). All series
 9 represent anomalies ($^{\circ}\text{C}$) from the 1881–1980 CE mean (horizontal dashed line) and have been smoothed with a filter
 10 that reduces variations on timescales less than ~ 50 years.

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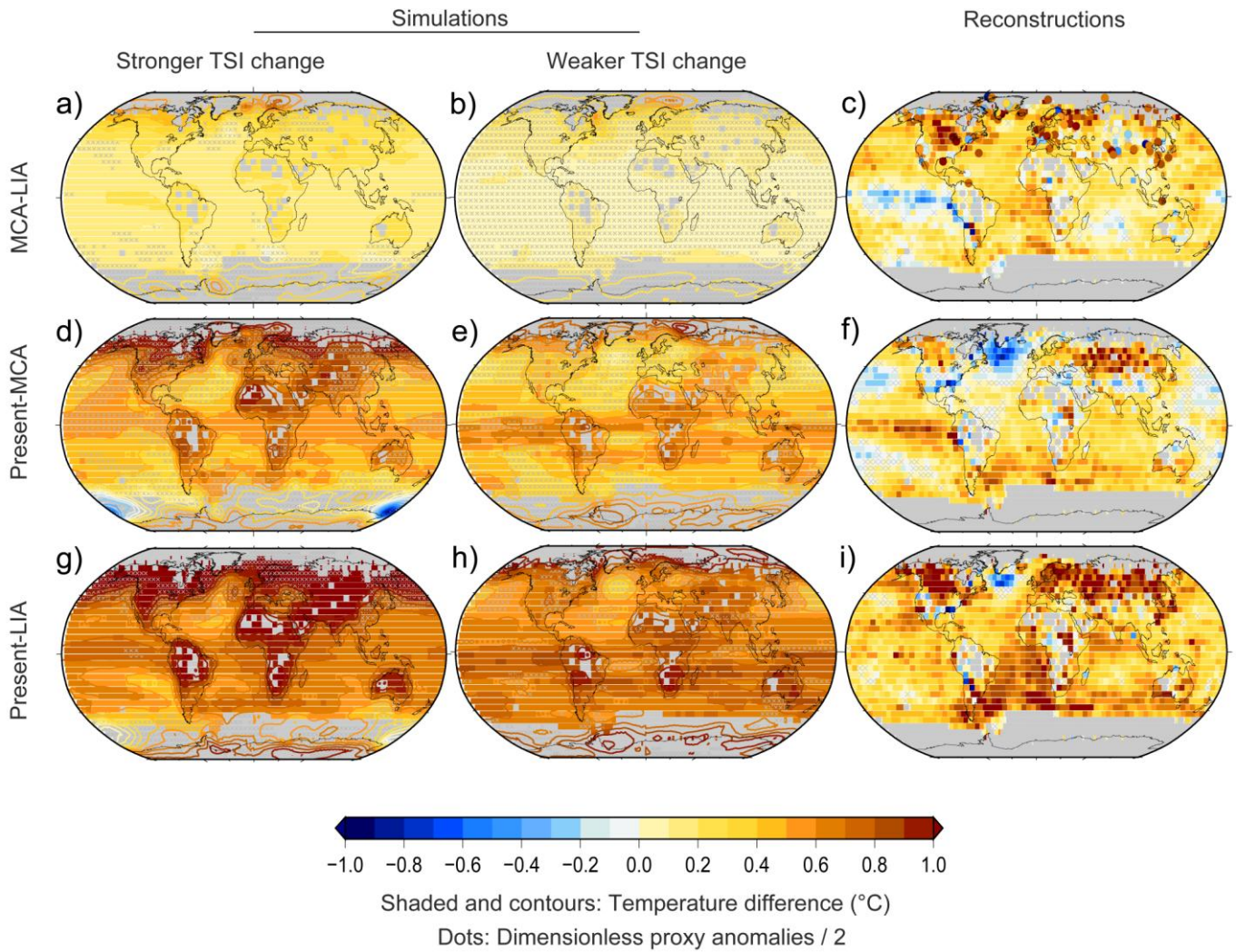
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Figure 5.8: Comparisons of simulated and reconstructed NH temperature changes. (a) Changes over the last millennium. (b) Response to individual volcanic events. (c) Response to multi-decadal periods of volcanic activity. (d) Response to multi-decadal variations in solar activity. (e) Mean change from MCA to LIA. (f) Mean change from 20th century to LIA. Note that some reconstructions represent a smaller spatial domain than the full NH or a specific season, while annual temperatures for the full NH mean are shown for the simulations. (a) Simulations shown by coloured lines (thick lines: multi-model-mean; thin lines: multi-model 90% range; red/blue lines: models forced by stronger/weaker solar variability, though other forcings and model sensitivities also differ between the red and blue groups); overlap of reconstructed temperatures shown by grey shading; all data are expressed as anomalies from their 1500–1850 CE mean and smoothed. Superposed composites (time segments from selected periods positioned so that the years with peak

1 negative forcing are aligned) of the forcing and temperature response to (b) the 13 strongest individual volcanic forcing
2 events after 1400 CE (the data shown are not smoothed); (c) multi-decadal changes in volcanic activity; (d) multi-
3 decadal changes in solar irradiance. Upper panels show the volcanic or solar forcing for the individual selected periods
4 together with the composite mean (thick); in (d), the composite mean of the volcanic forcing (green) during the solar
5 composite is also shown. Lower panels show the NH temperature composite means and 90% range of spread between
6 simulations (red line, pink shading) or reconstructions (grey line and shading), with overlap indicated by darker shading.
7 Mean NH temperature difference between (e) MCA (950–1250 CE) and LIA (1400–1700 CE) and (f) 20th century
8 (1900–2000) and LIA, from reconstructions (grey), multi-reconstruction mean and range (dark grey), multi-model mean
9 and range (brown), and simulations (red/blue for models forced by stronger/weaker solar variability). Where an
10 ensemble of simulations is available from one model, the ensemble mean is shown in solid and the individual ensemble
11 members by open circles. Results are sorted into ascending order and labelled. Reconstructions, models and further
12 details are given in Appendix 5.A.1 and Appendix 5.A.2.

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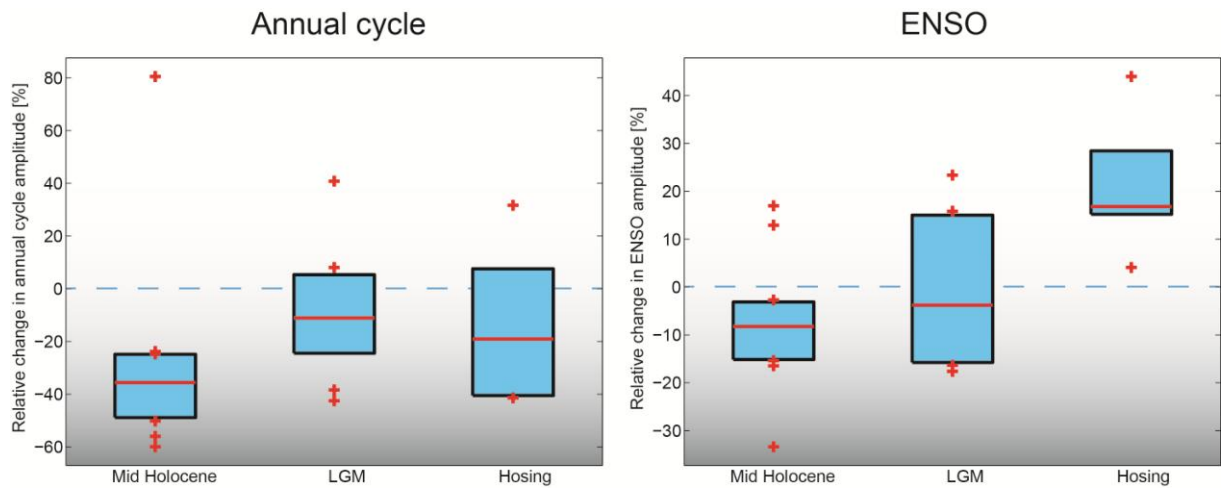
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Figure 5.9: Simulated and reconstructed temperature changes for key periods in the last millennium. Annual temperature differences for: (a) to (c) MCA (950–1250 CE) minus LIA (1400–1700 CE); (d) to (f) present (1950–2000 CE) minus MCA; (g) to (i) present minus LIA. Model temperature differences (left and middle columns) are average temperature changes in the ensemble of available model simulations of the last millennium (see Appendix 5.A.2), grouped into those using stronger solar forcing changes (TSI change from the LMM to present >0.23 %; left column) and those weaker changes (TSI change from the LMM to present < 0.1%; middle column). Right column shows differences in the Mann et al., (2009) field reconstruction, and in (c), dots represent proxy anomalies from Ljungqvist et al., (2012) scaled by 0.5 for display purposes. Unhatched grid-cells indicate significant differences (<0.05 level) in reconstructed fields (right) or that ≥80% of the simulations agreed in showing significant changes of the same sign (left and middle). For simulations starting after 950 CE, the period 1000–1250 was used to estimate MCA values. Grid-cells outside the domain of the Mann et al., (2009) reconstruction are shaded grey in the model panels to enable easier comparison, though contours illustrate model output over the complete global domain. Simulations have been weighted by the number of experiments used from each model: BCC-csm1-1 (1), CSM1.4 (1), CCSM3 (5), CSIRO-mk3L-1-2 (7), ECHAM5-MPIOM (8), MPI-ESM-P (1), ECHO-G (3), FGOALS-gl (1), GISS-E2-R (4), HadCM3 (2), IPSLCM4 (1).

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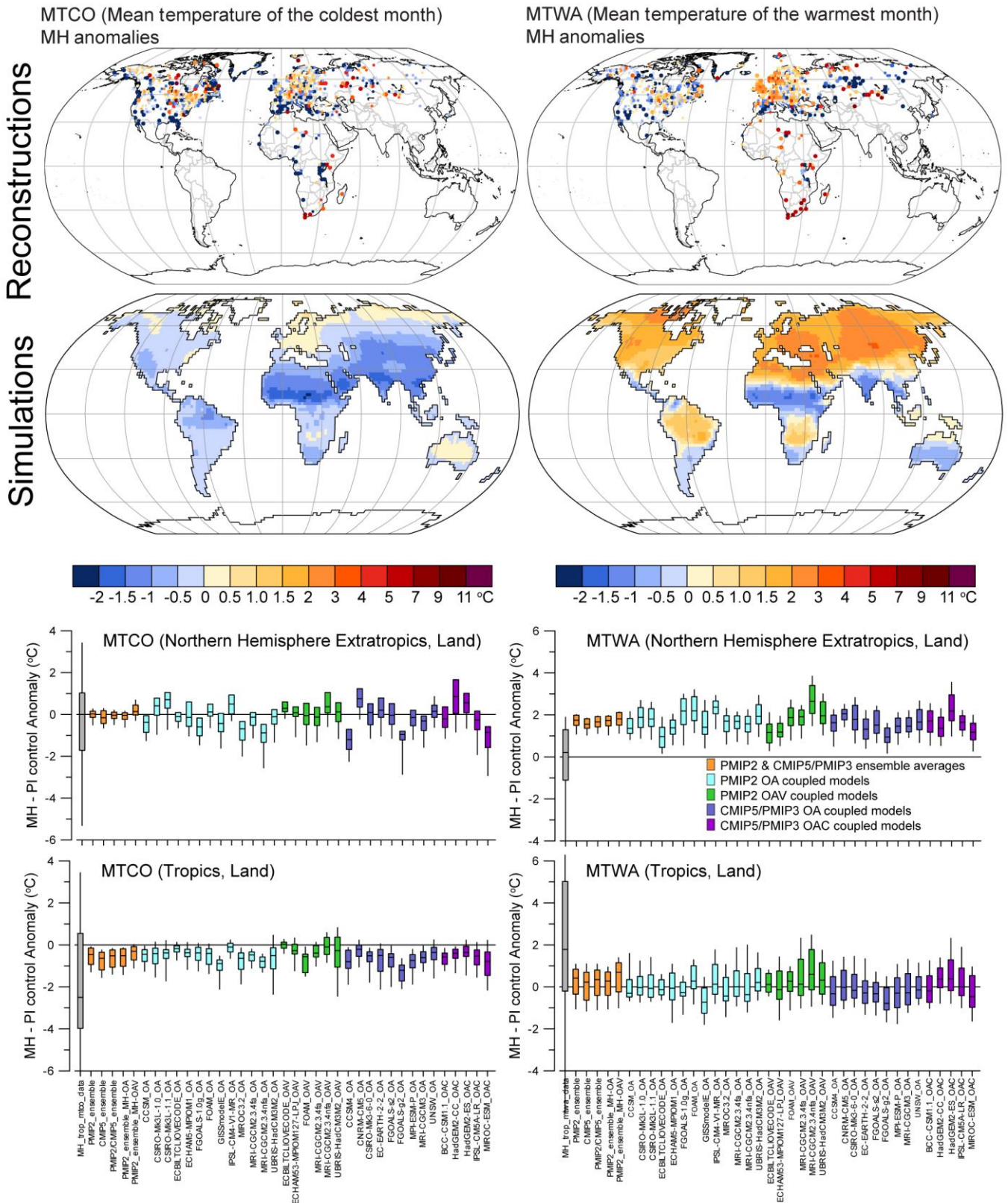
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Figure 5.10: Relative changes in amplitude of the annual cycle of SST in Niño 3 region (average over 5°S–5°N and 150°W–90°W) (left) and changes in amplitude of interannual SST anomalies in the Niño 3.4 region (average over 5°S–5°N and 170°W–120°W) (right) simulated by an ensemble of climate model experiments in response to external forcing. Left: Multi-model average of relative changes (%) in amplitude of the mean seasonal cycle of Niño 3 SST for mid-Holocene and LGM time-slice experiments and for freshwater perturbation experiments (Hosing) that lead to a weakening of the AMOC by more than 50%. Bars encompass the 25 and 75 percentiles, with the red horizontal lines indicating the median in the respective multi-model ensemble, red crosses are values in the upper and lower quartile of the distribution; Right: same as left, but for the SST anomalies in the Niño 3.4 region, representing ENSO variability. The MH and LGM experiments were coordinated by the Paleoclimate Modelling Intercomparison Project Phase II (PMIP2) or III (PMIP3). The MH ensemble includes 4 experiments (6k) performed by models participating in PMIP2 (FGOALS1.0g, IPSL-CM4, MIROC3.2 medres, CCSM3.0) and 7 experiments (mid-Holocene) performed by models participating in PMIP3 (CCSM4.0, CSIRO-Mk3-6-0, HadGEM2-CC, HadGEM2-ES, MIROC-ESM, MPI-ESM-P, MRI-CGCM3). The LGM ensemble includes 5 experiments (21 ka) performed by models participating in PMIP2 (FGOALS1.0g, IPSL-CM4, MIROC3.2 medres, CCSM3.0, HadCM3) and 5 experiments (LGM) performed by models participating in PMIP3 (CCSM4, GISS-E2-R, IPSL-CM5A-LR, MIROC-ESM, and MPI-ESM-P). The changes in response to MH and LGM forcing are computed with respect the preindustrial control simulations coordinated by PMIP2 (0k experiment) and PMIP3 (piControl experiment). The results for Hosing are obtained from freshwater perturbation experiments conducted with CCSM2.0, CCSM3.0, HadCM3, ECHAM5-MPIOM, GFDL-CM2.1 (Timmermann et al., 2007), CSM1.4 (Bozbiyik et al., 2011) for pre-industrial or present-day conditions and with CCSM3 for glacial conditions (Merkel et al., 2010). The changes in response to fresh water forcing are computed with respect the portion of simulations when the AMOC is high.

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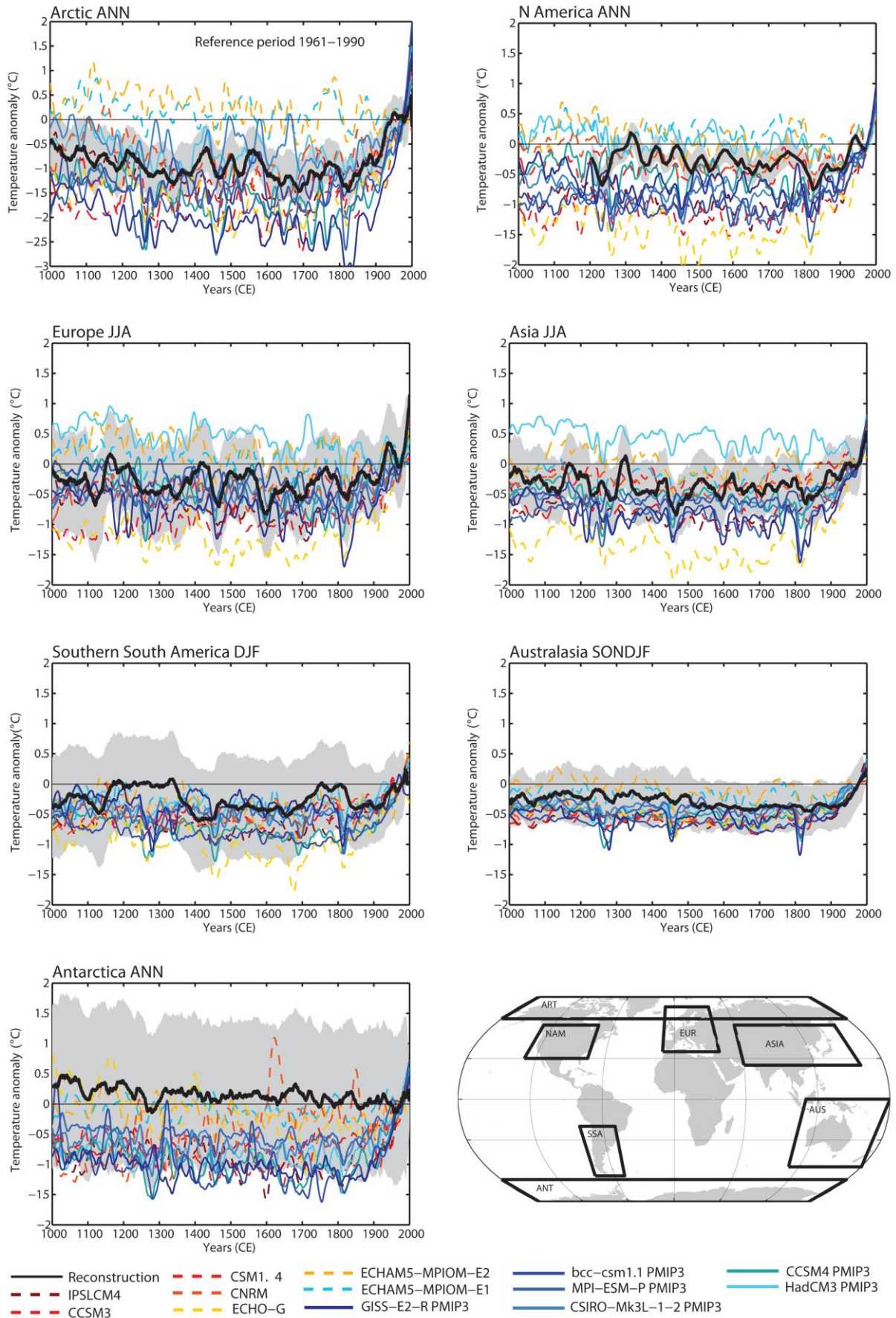
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Figure 5.11: Model-data comparison of surface temperature anomalies for the mid-Holocene (6 ka). MTCO is the mean temperature of the coldest month; MTWA is the mean temperature of the warmest month. Top panels are pollen-based reconstructions of Bartlein et al., (2011). Middle panels are corresponding surface temperature anomalies simulated by the PMIP2 and PMIP3 models. Bottom panels contain boxplots for reconstructions (grey), for model ensembles (orange) and for the individual models interpolated to the locations of the reconstructions. The boxes are drawn using the 25th, 50th and 75th percentiles (bottom, middle and top of the box, respectively), and whiskers extend to the 5th and 95th percentiles of data or model results within each area. The northern extratropics are defined as 30-90°N and the tropics as

1 30°S-30°N. Thirty-three models are assessed, PMIP2 OA: CCSM3, CSIRO-Mk3L-1.0, CSIRO-Mk3L-1.1,
2 ECBILTCLIOVECODE, ECHAM5-MPIOM1, FGOALS-1.0g, FOAM, GISSmodelE, IPSL-CM4-V1-MR, MIROC3.2,
3 MRI-CGCM2.3.4fa, MRI-CGCM2.3.4nfa, UBRIS-HadCM3M2; PMIP2 OAV: ECBILTCLIOVECODE, ECHAM53-
4 MPIOM127-LPJ, FOAM, MRI-CGCM2.3.4fa, MRI-CGCM2.3.4nfa, UBRIS-HadCM3M2; CMIP5/PMIP3 OA:
5 CCSM4, CNRM-CM5, CSIRO-Mk3-6-0, EC-EARTH-2-2, FGOALS-s2, FGOALS-g2, MPI-ESM-P, MRI-CGCM3,
6 UNSW; CMIP5/PMIP3 BCC-CSM1.1, HadGEM2-CC, HadGEM2-ES, IPSL-CM5A-LR, MIROC-ESM.
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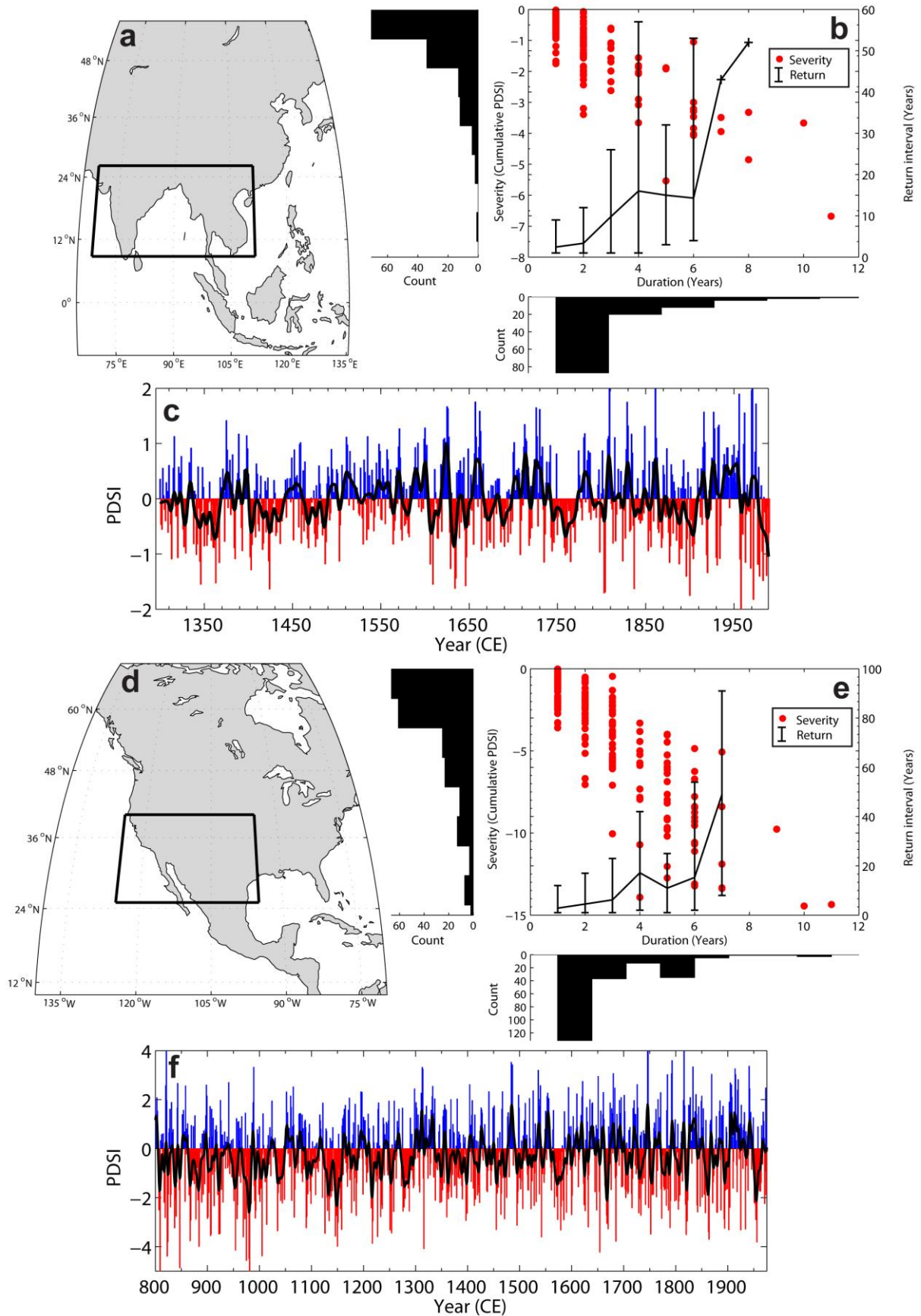


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Figure 5.12: Regional temperature reconstructions and simulations over the past millennium (1001–1999 CE). Temperature anomalies are calculated with respect to the 1961–1990 CE reference period, for annual (ANN) or selected

1 seasons (December to February [DJF], June to August [JJA] or September to February [SONDJF]) in regions indicated
2 in the lower right panel. Reconstructions (PAGES 2k Consortium, submitted, thick black line) and simulated (coloured
3 lines) temperature anomalies are for land only, with the exception of the Arctic and Australasian regions which combine
4 land and ocean. Grey shading indicates uncertainty ranges of reconstructions, as defined for each region (PAGES 2k
5 Consortium, submitted). Model results from Last Millennium simulations are displayed using dashed lines for pre-
6 PMIP3 simulations and solid lines for CMIP5-PMIP3 simulations. Blue/green colours indicate simulations using low
7 solar forcing (see Appendix 5.A, Table 5.A.5) and red/yellow indicate simulations using strong solar forcing (Appendix
8 5.A, Table 5.A.5). All model time series are smoothed with a 30-year moving average filter. Models used: Pre-PMIP3:
9 ECHO-G (Gonzalez-Rouco et al., 2006), CCSM (Ammann et al., 2007), IPSL (Servonnat et al., 2010), CCSM-Bern
10 (Hofer et al, 2011), ECHAM5/MPIOM (E1, E2) (Jungclaus et al., 2010), and CNRM (Swingedouw et al., 2011).
11 CMIP5-PMIP3: CCSM4 (Landrum et al., submitted), GISS-E2-R (Schmidt et al., 2006), HadCM3 (Schurer et al.,
12 submitted), CSIRO-Mk3L-1-2 (Phipps et al., 2011), MPI-ESM-P (Raddatz et al., 2007), and BCC-CSM1-1 (Wu et al.,
13 submitted).
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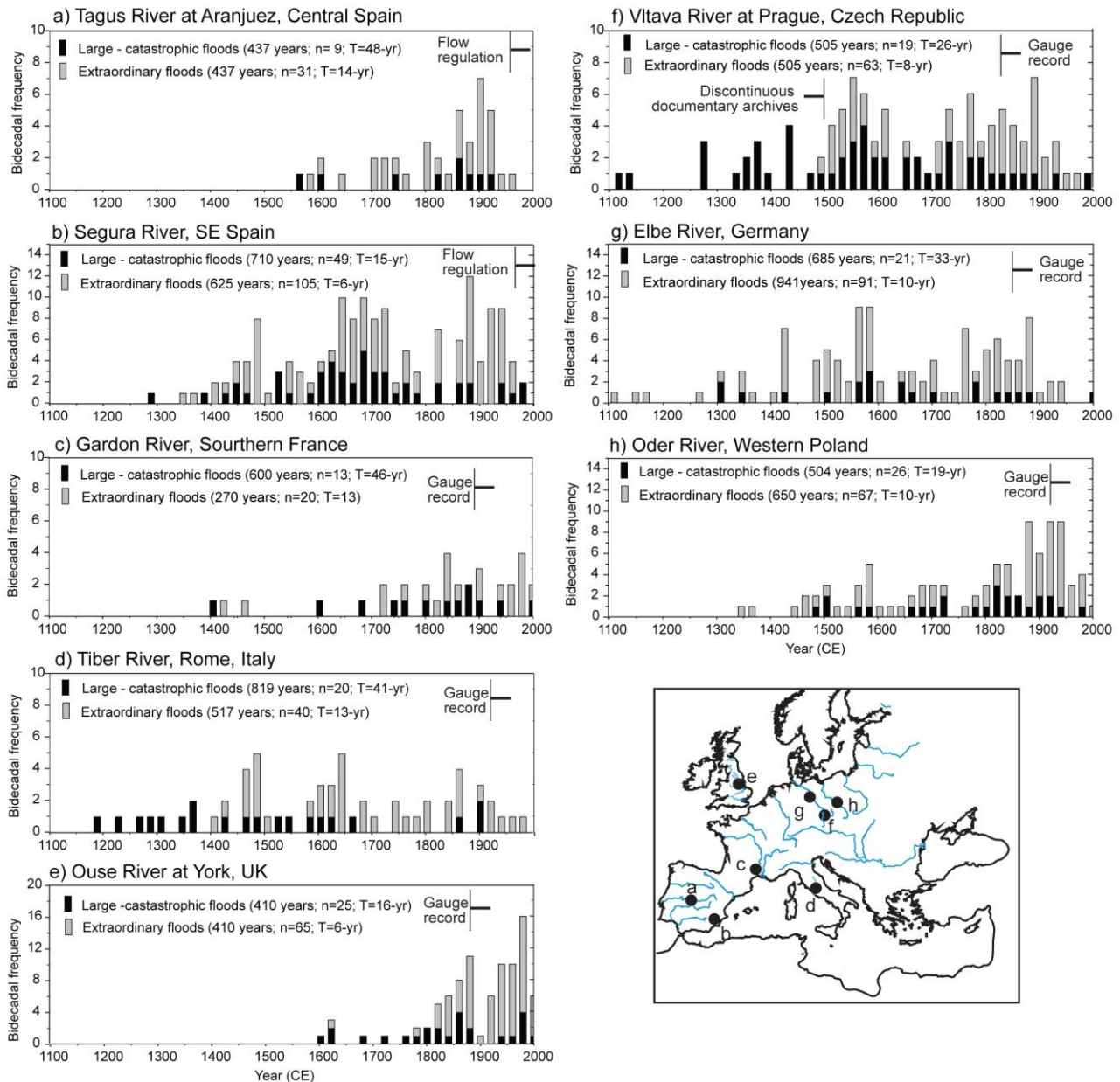
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Figure 5.13: Severity, duration, and frequency of droughts in the Monsoon Asia (Cook et al., 2010b) and North American (Cook et al., 2004) Drought Atlases. The box in a) and d) indicates the region over which the tree ring reconstructed Palmer Drought Severity Index (PDSI) values have been averaged to form the regional mean time series

1 shown in c) and f), respectively. Solid black lines in c) and f) are a 9 year Gaussian smooth on the annual data shown by
2 the red and blue bars. The covariance of drought (PDSI < 0) duration and cumulative severity for each region is shown
3 in panels b) and e) by the red circles (corresponding to the left x-axis), along with the respective marginal frequency
4 histograms for each quantity. Not shown in b) is an outlier with an apparent duration of 24 years, corresponding to the
5 'Strange Parallels' drought identified in Cook et al., (2010b). Return intervals for droughts of given durations (shown as
6 connected lines and their error bars and corresponding to the right x-axes in the same panels) are estimated as the mean
7 interval between their occurrence, with the minimum and maximum reconstructed intervals indicated. No error bars are
8 present if there is only a single observation of a drought of that duration. The period of analysis is restricted by the
9 availability of tree-ring data to the period 1300 CE to 1989 CE for Monsoon Asia, following Cook et al., (2010), and
10 from 800 CE to 1978 CE for North America, following Cook et al., (2004).

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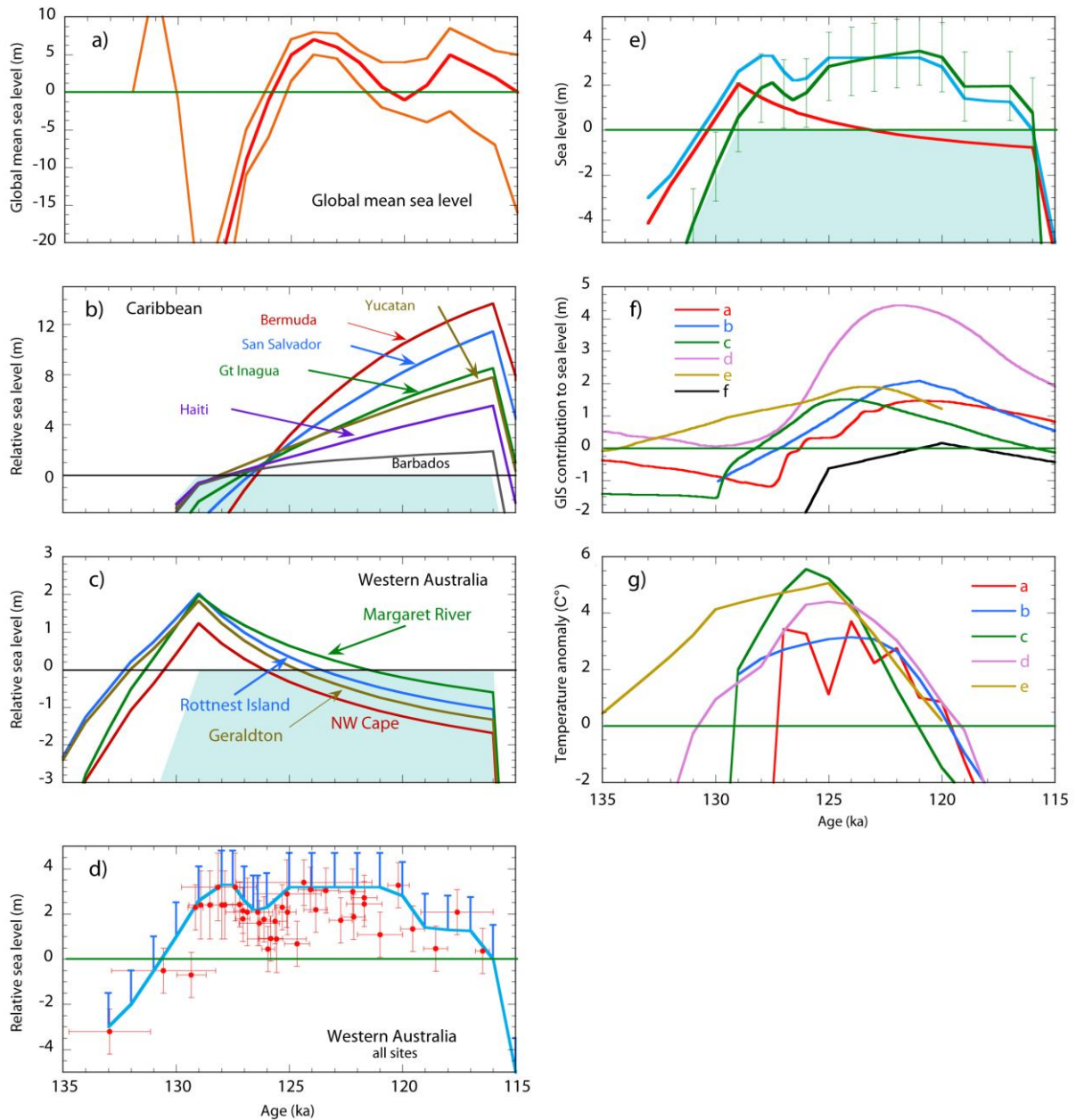
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Figure 5.14: Flood frequency from palaeofloods, historical and instrumental records in selected European rivers. Depicted is the number of floods that exceeded a particular discharge threshold or flood level over periods of 20-years (bidecadal). Flood categories include large-catastrophic floods (CAT) associated with high flood discharge or severe damages, and extraordinary floods (EXT) causing inundation of the floodplain with moderate to minor damages. Legend at each panel indicates for each category the period of record in years, number of flood over the period, and the average occurrence interval (in years). A: Tagus River combined palaeoflood, historical and instrumental flood records from Aranjuez and Toledo with thresholds of $100\text{--}400\text{ m}^3\text{ s}^{-1}$ (EXT) and $>400\text{ m}^3\text{ s}^{-1}$ (CAT) (data from (Benito et al., 2003; Benito et al., 2003b)). B: Segura River Basin (SE Spain) documentary and instrumental records at Murcia (Barriendos and Rodrigo, 2006; Machado et al., 2011). C: Gardon River combined discharges from palaeofloods at La Baume (Sheffer et al., 2008), documented floods (since the 15th century and historical and daily water stage readings at Anduze (1741–2005; (Neppl et al., 2010). Discharge thresholds referred to Anduze are $1000\text{--}3000\text{ m}^3\text{ s}^{-1}$ (EXT), $>3000\text{ m}^3\text{ s}^{-1}$ (CAT). At least five floods larger than the 2002-flood (the largest in the gauged record) occurred in the period AD 1400–1800 (Sheffer et al., 2008). D: Tiber River floods in Rome from observed historical stages (since AD 1100; (Calenda et al., 2005; Camuffo and Enzi, 1996) and continuous stage readings (1870 to present) at the Ripetta landing (Calenda et al., 2005). Discharge thresholds set at $2300\text{--}2900\text{ m}^3\text{ s}^{-1}$ (EXT) and $>2900\text{ m}^3\text{ s}^{-1}$ (CAT; $>17\text{ m}$ stage at Ripetta). Recent flooding is difficult to evaluate in context due to river regulation structures. F: Vltava River combined documentary and instrumental flood record at Prague (Brázdil et al., 2005) discharge thresholds: CAT, flood index 2 and 3 or discharge $>2900\text{ m}^3\text{ s}^{-1}$; EXT flood index 1 or discharge $2000\text{--}2900\text{ m}^3\text{ s}^{-1}$. G: Elbe River combined documentary and instrumental flood record (Mudelsee et al., 2003). Classes refer to Mudelsee et al., (2003) strong

1 (EXT) and exceptionally strong (CAT) flooding. H: Oder River combined documentary and instrumental flood record
2 (Mudelsee et al., 2003). I: River Ouse at York combined documentary and instrumental flood record (Macdonald and
3 Black, 2010). Discharge thresholds for large floods was set at $500 \text{ m}^3 \text{ s}^{-1}$ (CAT) and for ordinary floods at $350\text{--}500 \text{ m}^3$
4 s^{-1} (EXT). The map shows the location of rivers used in the flood frequency plots.
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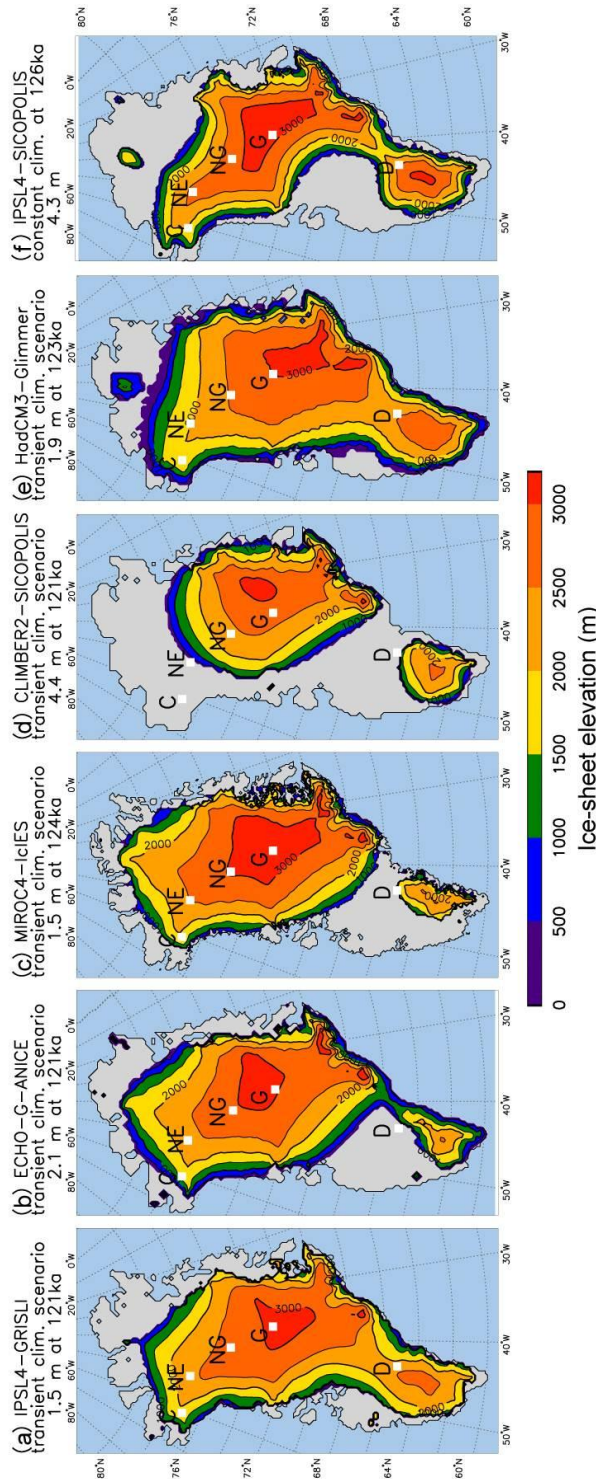
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Figure 5.15: Sea level during the Last Interglaciation. (a) Proxy-derived estimate of global mean sea level anomaly (Kopp et al., (2009). Median (red line) and 16th and 84th percentiles (orange lines). Due to scale, only the 84th percentile is displayed prior to 130 ka. (b) Predicted sea levels for selected sites in the Caribbean and North Atlantic on the assumption that ice volumes during the interval from 129–116 ka are equal to those of today (Lambeck et al., 2012), displaying the spatial variability that can be expected across the region due to isostatic effects. The reference ice-volume model for the LIG interval (shaded), Earth rheology and ice sheet parameters are based on rebound analyses from different regions spanning the interval from Marine Isotope Stage 6 to the present (c.f. Lambeck et al., 2006). These predictions are strongly dependent on the ice load over North America, both, before and after the interglacial period, as well as on mantle rheology. Observations from these sites provide more information on ice histories than on global sea level function. (c) Same as (b) but for different sites along the Western Australia coast. The dependence on details of the ice sheet and on Earth-model parameters is less important at these sites than for those in (b). Thus data from these locations, assuming tectonic stability, is more appropriate for estimating LIG sea level. (d) Local LIG relative sea level reconstructions from Western Australia based on coral elevations (red) that pass diagenetic screening (Dutton and Lambeck, 2012). All results are for *in-situ* samples. Age error bars correspond to 2 standard deviation uncertainties. All elevations have been normalised to the upper growth limit of corals corresponding to mean low water spring or mean low sea level. The blue line indicates the simplest interpretation of local sea level consistent with reef stratigraphy and should be considered as lower limits by an amount indicated by the blue upper limit error bars. The differences from (a) in the timing of the start and end of the interglacial interval, as well as in the timing of the lowstand in the middle of the interval may be a consequence of different assumptions used in deriving the model ages.

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1 (e) The Western Australian reconstructed evidence (blue) from (d) compared with the model-predicted result (red) as in
2 (c) for a reference site midway between the northern and southern most localities. The difference between the
3 reconstructed and predicted functions provides an estimate of the global mean sea level (green). Uncertainties in this
4 estimate include the observational uncertainties from (d) and the model uncertainties. Reference ice-volume model
5 (shaded) as in (c). (f) Contribution of ice sheets to sea level change derived from transient ice sheet model simulations
6 for the Greenland ice sheet (red, a: Quiquet et al., submitted; blue, b: Helsen et al., submitted; green, c: Abe-Ouchi et al.,
7 2007 and Greve et al, 2011; pink, d:Robinson et al., 2011; light green, e: Stone et al., submitted, (a)–(e) correspond to
8 the labels in Figure 5.16) and for the Antarctic ice sheet (black, f: Pollard and DeConto, 2009). (g) Central Greenland
9 surface-air temperature anomalies for summer (June–August, JJA) used for ice sheet simulations displayed in panel (f)
10 and in Figure 5.16. Anomalies in all panels are calculated relative to present.
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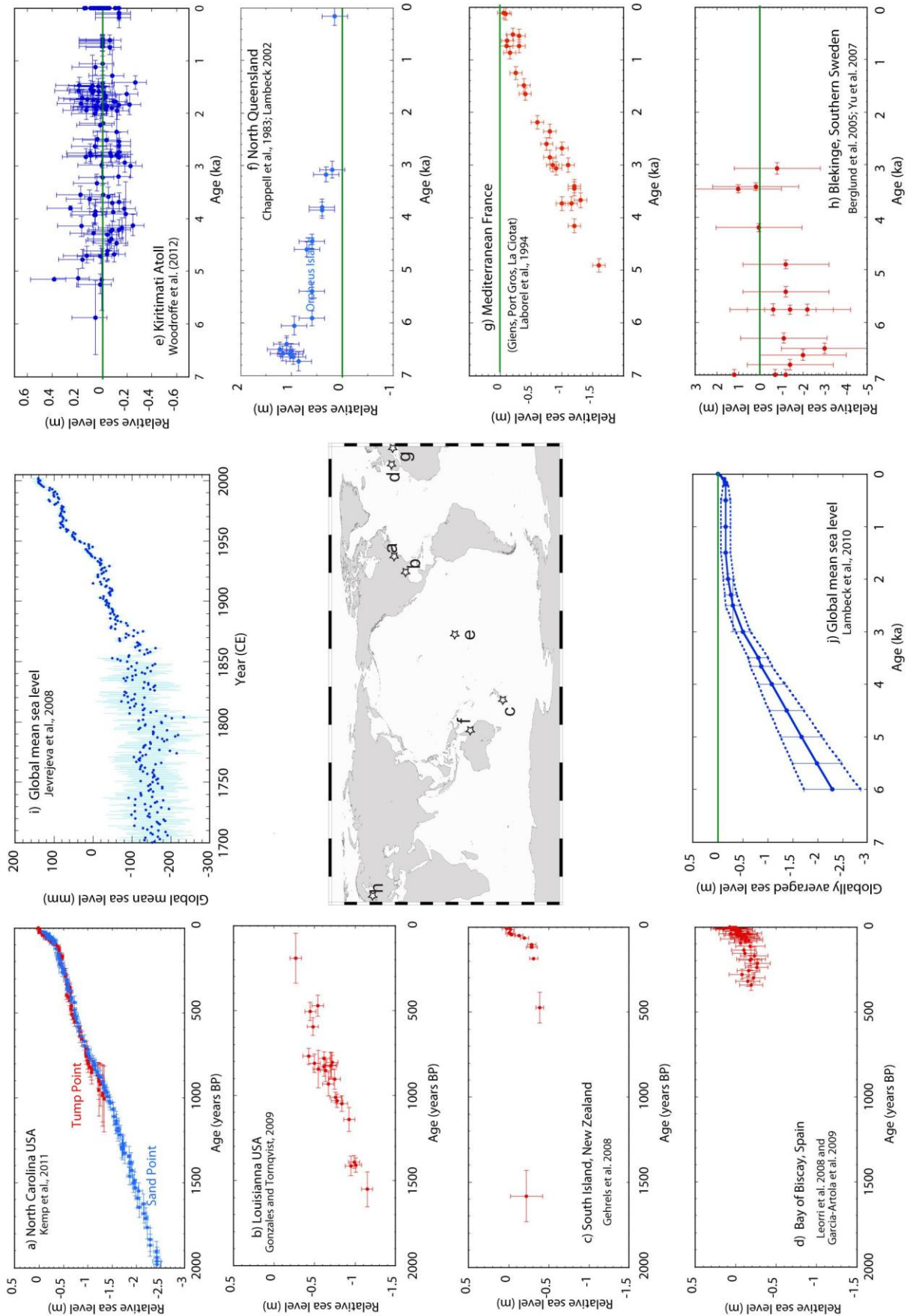
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4 **Figure 5.16:** Simulated Greenland ice-sheet elevation at the LIG in transient (a–e) and constant-forcing experiments (f).
 5 (a) GRISLI ice-sheet model with transient climate forcing derived from IPSL simulations and paleoclimate
 6 reconstructions (Quiquet et al., 2012). (b) ANICE ice-sheet model asynchronously two-way coupled with the regional
 7 climate model RACMO2 forced with ECHO-G simulated climate from 130–115ka (Helsen et al., submitted). (c) ICIIES
 8 ice-sheet model with transient climate forcing from 135–115 ka from MIROC GCM (Abe-Ouchi et al., 2007; Greve et
 9 al., 2011). (d) “Best-guess” simulation from ensemble runs with the SICOPOLIS ice-sheet model driven by transient
 10 LIG climate simulations downscaled from CLIMBER2 with the regional model REMBO (Robinson et al., 2011). (e)
 11 “Best guess” simulation from ensemble simulations with the Glimmer ice-sheet model forced with transient climate
 12 forcing from 135–120 ka with HadCM3 (Stone et al., submitted). (f) SICOPOLIS ice model forced with a constant
 13 Eemian climate simulation of IPSL (at 126 ka), running for 6000 years starting from fully glaciated present-day GIS, as
 14 in Otto-Bliesner et al, (2006) in AR4 (Born and Nisancioglu, submitted). White squares in each panel show the
 15 locations of ice core sites at which ice from the LIG has been found (Dahl-Jensen et al., submitted; Dansgaard et al.,

1 1985; North Greenland Ice Core Project members, 2004) : GRIP/GISP (G), NGRIP (NG), NEEM (NE), Camp Century
2 (C), and possibly Dye3 (D). For ice sheet simulations using transient climate forcing, the minimum in ice volume is
3 illustrated. The discrepancy of model outputs regarding timing and topography for minimum ice volume results from
4 differences in climate forcings (cf. Figure 5.15g), ice-sheet models including their melt schemes (van de Berg et al.,
5 2011), as well as differences in present-day climate (Quiquet et al., 2012). Compared to present-day, the average
6 Greenland ice sheet volume of the 4 transient ice sheet models in panels a–e, which depict ice at the NEEM location,
7 corresponds to 1.8 m sea level change. All panels use original model resolution and grids.
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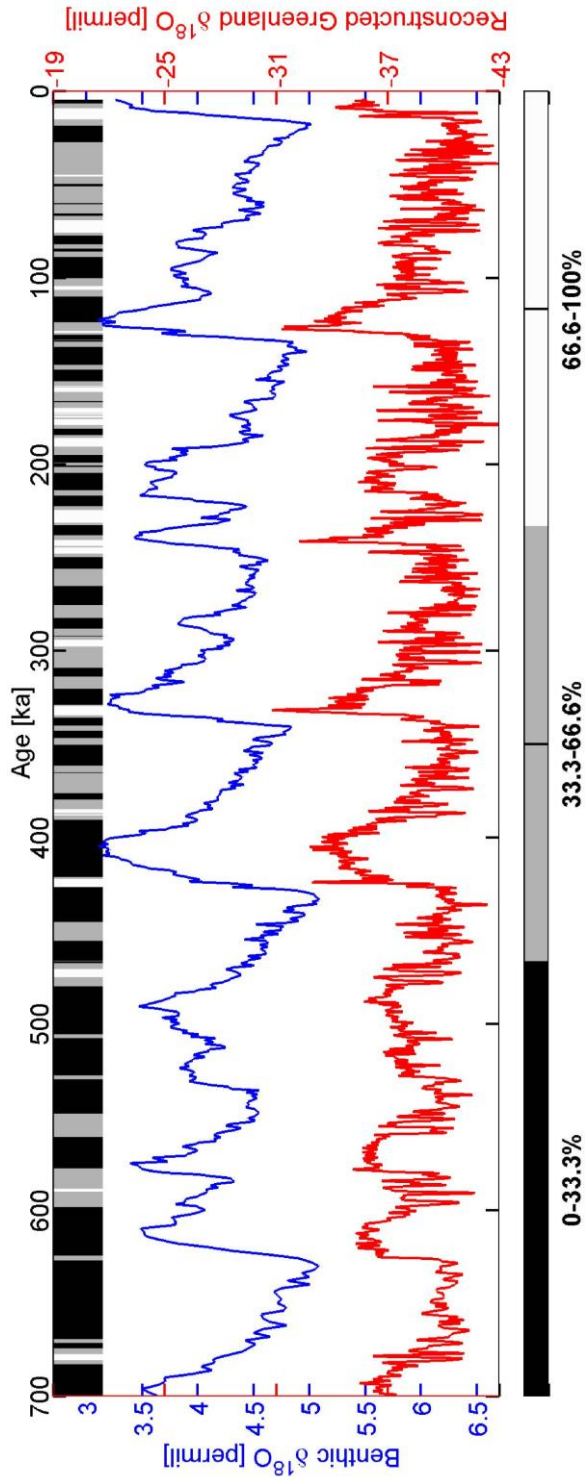


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Figure 5.17: Observational evidence for sea level change in recent and late Holocene time. Left panels (a-d): High resolution relative sea level results from saltmarsh data at representative sites, without corrections for glacial isostatic

1 movement of land and sea surfaces. Locations are given on the map. The North Carolina (a) result is representative of
2 other North American Atlantic coast locations (Kemp et al., 2011). The rate of change occurring late in the 19th century
3 are seen in all high resolution saltmarsh records – e.g., (c) Gehrels et al., (2008) (see also Gehrels and Woodworth,
4 submitted); (d) Garcia-Artola et al., (2009); Leorri et al., (2008) –that extend into modern time and is consistent with
5 Roman archaeological evidence (Lambeck et al., 2004). The oscillation in sea level at about 1000 CE seen in the North
6 Carolina record occurs in some (González and Törnqvist, 2009; van de Plassche et al., 1998) but not all records (c.f.,
7 Gehrels et al., 2011; Kemp et al., 2011). Right hand side panels (e-h) Observational evidence for sea level change from
8 lower resolution but longer period records. All records, except for Blekinge (h) where the isostatic signal dominates the
9 observed sea level change (Yu et al., 2007), are uncorrected for isostatic effects. The Kiritimati record (Christmas
10 Island) consists of coral microatoll elevations whose fossil elevations are with respect to the growth position of living
11 microatolls (Woodroffe et al., 2012). The Orpheus Island record (f) is also based on microatoll evidence (Chappell,
12 1983; Lambeck et al., 2002b). The data from Mediterranean France (g) is based on biological indicators (Laborel et al.,
13 1994) restricted to three nearby locations between which differential isostatic effects are less than the observational
14 errors (Lambeck and Bard, 2000). The Blekinge result (h), corrected for isostatic rebound, is based on the analysis of
15 transgression and regression sequences in marginal basins (Yu et al., 2007). The two global records are estimates of
16 change in global mean sea level from (i) the instrumental record (Jevrejeva et al., 2008), and (j) from a range of
17 geological and archaeological indicators (Lambeck et al., 2010b), with the contributing records corrected for the
18 isostatic effects at each location.
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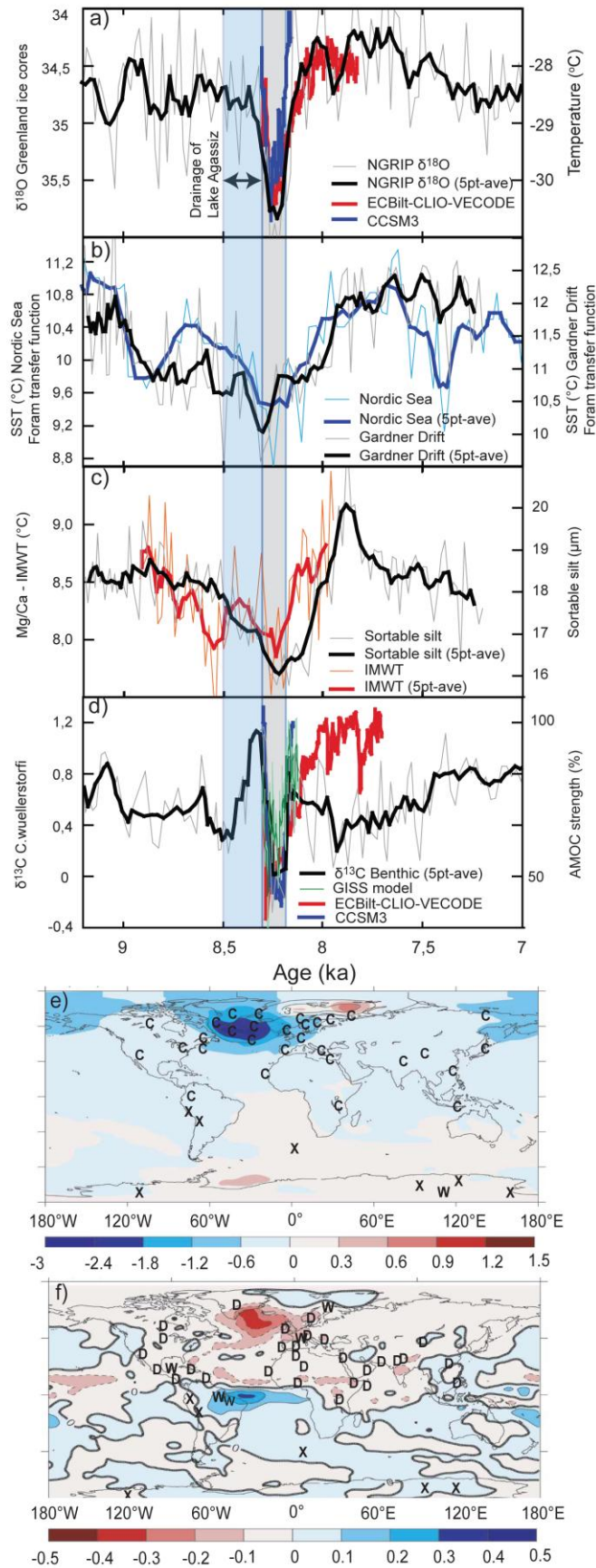
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Figure 5.18: Dependence of millennial-scale bipolar seesaw activity (a measure of DO variability) on climate background state: Benthic $\delta^{18}\text{O}$ stack (blue) (Lisiecki and Raymo, 2005) representing a combination of global ice-volume, deep ocean temperature and hydrography, terciles of millennial-scale bipolar seesaw activity index (gray shading, showing periods with low frequency of events in black and high frequency in white) (Barker et al., 2011), and $\delta^{18}\text{O}$ analogue for Greenland (red) empirically derived from Antarctic ice core data using bipolar seesaw methods and assumptions on orbital lags (Barker et al., 2011).

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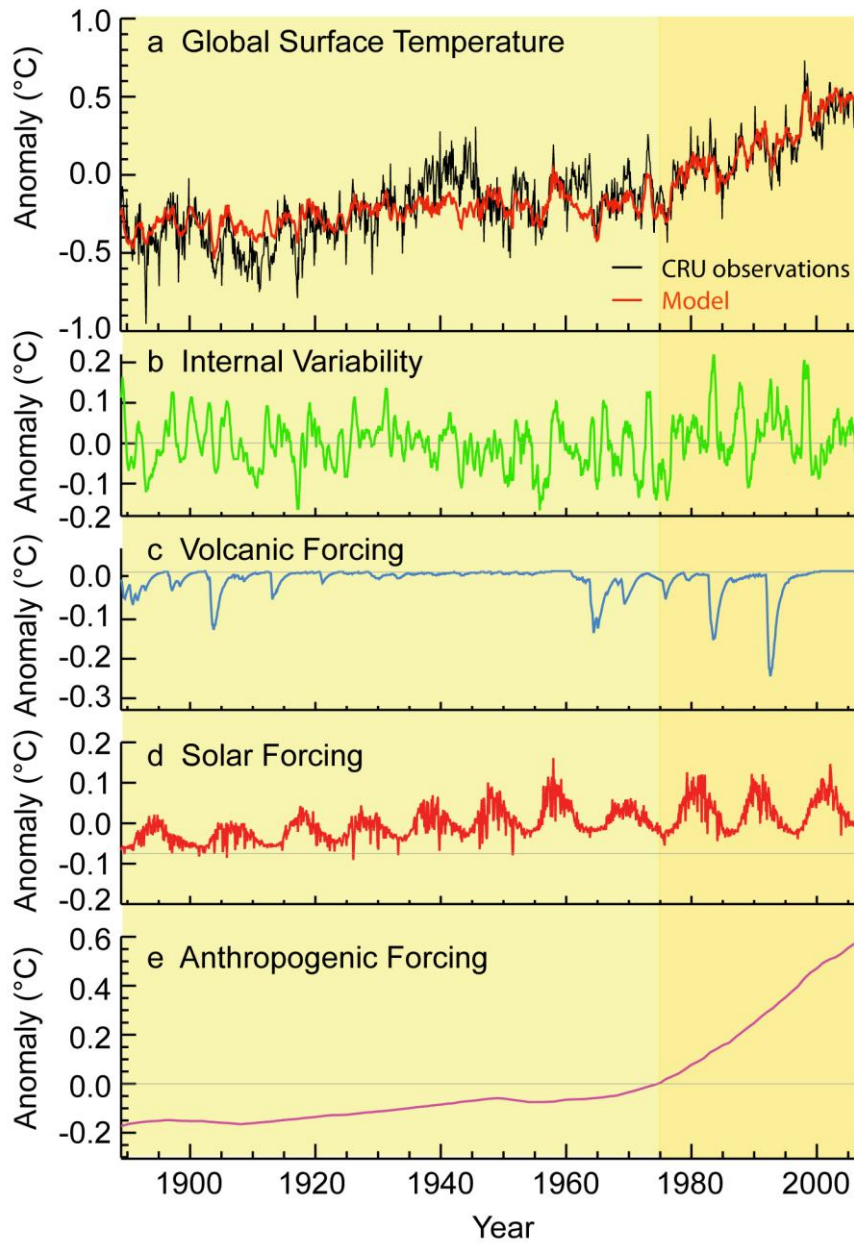
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Figure 5.19: Compilation of selected paleoenvironmental and climate model data for the abrupt Holocene cold event at ca. 8.2 ka, documenting temperature and ocean circulation changes around the event and the spatial extent of climate anomalies following the event. Published age constraints for the period of release of freshwater from glacier Lakes

1 Agassiz and Ojibway are bracketed inside the vertical blue bar. Vertical grey bar denotes the time of the main cold
2 event as found in Greenland Ice core records (Thomas et al., 2007). **a)** Black curve: NGrip $\delta^{18}\text{O}$ (temperature proxy)
3 from Greenland Summit (North Greenland Ice Core project members (2004). Red curve: Simulated Greenland
4 temperature in an 8.2-ka event simulation with the ECBilt-CLIO-VECODE model (Wiersma et al., 2011). Blue curve:
5 Simulated Greenland temperature in an 8.2-ka event simulation with the CCSM3 model (Morrill et al., 2011). **b)** North
6 Atlantic/Nordic Seas SST-reconstructions, age models are aligned on the peak of the cold-event (less than 100-year
7 adjustment). Blue curve: Nordic Seas (Risebrobakken et al., 2011). Black curve: Gardar Drift south of Iceland (Ellison
8 et al., 2006). **c)** Deep- and intermediate water records. Black curve: Sortable silt record (overflow strength proxy) from
9 Gardar Drift south of Iceland (Ellison et al., 2006), Atlantic intermediate water temperature reconstruction (Bamberg et
10 al., 2010). **d)** Black curve: $\delta^{13}\text{C}$ (deep water ventilation proxy) at 3.4 km water depth south of Greenland (Kleiven et al.,
11 2008). Age model is aligned on the minimum overflow strength in c) (less than 100-year adjustment). Modelled change
12 in the strength of the AMOC: Green curve: An 8.2-ka event simulation with the GISS model (LeGrande et al., 2006).
13 Red curve: An 8.2-ka event simulation with the ECBilt-CLIO-VECODE (v. 3) model (Wiersma et al., 2011). Blue
14 curve: An 8.2-ka event simulation with the CCSM3 model (Morrill et al., 2011). **e)** Spatial distribution of the ensemble
15 mean annual mean surface temperature anomaly ($^{\circ}\text{C}$) from a multi-model water hosing experiment with 0.1 Sv
16 freshwater forcing in the NW Atlantic (Stouffer et al., 2006). Paleoclimate data from records resolving the 8.2-ka event
17 are plotted with symbols: C=cold anomaly, W=warm anomaly, X=No significant anomaly. Main data sources:
18 (Wiersma et al., 2011); (Morrill et al., 2011) **f)** Spatial distribution of the ensemble mean annual mean precipitation
19 anomaly (mm day^{-1}) from a multi-model water hosing experiment with 0.1 Sv freshwater forcing in the NW Atlantic
20 (Stouffer et al., 2006). Paleoclimate data from records resolving the 8.2-ka event are plotted with symbols: D=dry
21 anomaly, W=wet anomaly, X=No significant anomaly. Main data sources: (Wiersma et al., 2011); (Morrill et al., 2011).
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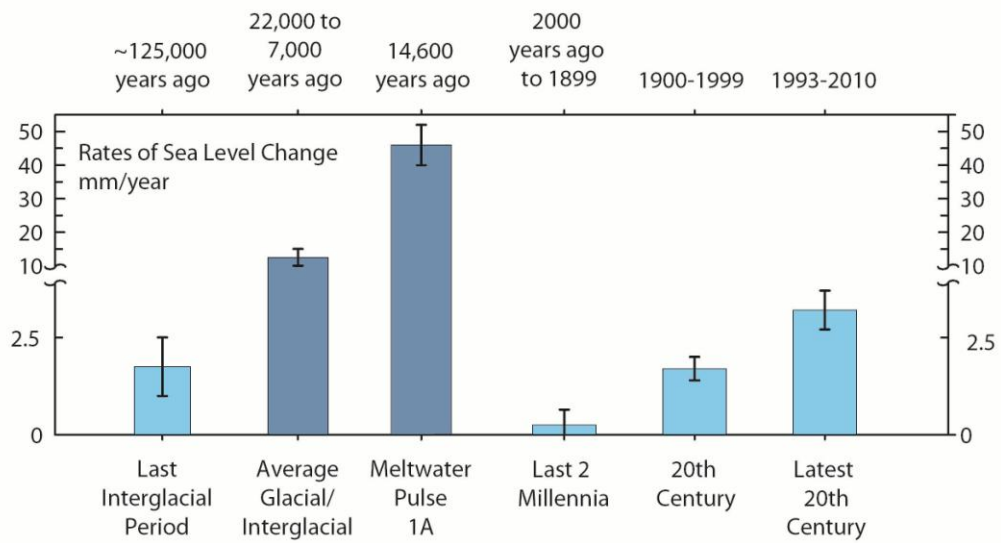
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FAQ 5.1, Figure 1: Global surface temperature variations from 1899 to 2006 and natural (internal, volcanic, and solar) and anthropogenic factors that influence surface temperatures (modified from Lean, 2010). a) Observed (black line) and model (red line) composed from the monthly mean global surface temperature time series in panels b to e. b) The El Niño Southern Ocean index, used as an indicator of short-term internal variability that cannot be directly attributed to an external forcing. c) Short-lived, but strong, cooling effects of volcanic eruptions, d) Solar forcing which consists of the 11-year sunspot cycle, superimposed on a steadily increasing trend during the first half of the 20th century. e) Anthropogenic forcing, consisting of a warming component due to greenhouse gases, and a cooling component due to aerosols.

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FAQ 5.2, Figure 1: Estimates of the average rate of sea level change (mm yr^{-1}) for 4 select time intervals: last 5 glacial/interglacial transitions; last 2 millennia; 20th century; satellite altimetry era (1993–2010).